

# Product Performance Report July 2018

Cardiac Rhythm Management  
Cumulative Survival Probability

**Product  
Performance Report  
July 2018**

Cardiac Rhythm Management  
Pacemakers  
ICDs  
Leads

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# Quality Excellence

BIOTRONIK has a long history of high quality in product design and performance. For more than 50 years, the name BIOTRONIK has been synonymous with excellent workmanship and reliable patient safety. Our quality concept follows an integrated approach and extends from preventative risk measures during a product's development phase through all the steps of the manufacturing and design process.

BIOTRONIK's quality assurance system guarantees strict adherence to internal quality standards as well as compliance with international standards and guidelines. Regular reviews of our product performance and manufacturing evaluations contribute significantly to the achievement of extraordinary quality. Our customers, patients, and physicians can rely on the highest degree of safety built into our products. We always welcome suggestions from users about how we can improve the quality of our products.

This Product Performance Report is an integral component of BIOTRONIK's commitment to provide detailed, accurate information regarding long term reliability. The Product Performance Report exemplifies BIOTRONIK's policy of transparent and timely communication with our customers.

As a means to obtain continuous improvement of the designs, BIOTRONIK carefully analyzes returned products and incorporates all findings into our quality assurance system. This Product Performance Report was prepared in accordance with International Standard ISO 5841-2: 2014 (E)<sup>1</sup> and is in compliance with the recommendations from the U.S. Heart Rhythm Society Task Force on Device Performance Policies and Guidelines. As an active member of AdvaMed and their Pacemaker/ICD Working Group, BIOTRONIK has worked extensively with the CRM industry to ensure comparable product performance data is reported by all manufacturers.

The data provided in BIOTRONIK's Product Performance Report incorporates the requirements and definitions as defined in AdvaMed's Requirements for Uniform Reporting of Clinical Performance of Pulse Generators, except as noted herein.

In BIOTRONIK's continuous efforts to provide accurate and transparent information and to ensure that a conservative estimate for device performance is reported, the Survival Probability calculations presented herein also consider reported pacemaker and ICD battery depletions as well as lead complications without the device having been returned for analysis.

<sup>1</sup> The ISO 5841-2:2014(E) is replacing the previous version ISO 5841-2:2000. As part of the update, AdvaMed's Requirements for Uniform Reporting of Clinical Performance of Pulse Generators were incorporated in the new ISO 5841-2:2014(E).

Because a significant portion of this report is based on analyses of returned products, BIOTRONIK urges all physicians to return explanted devices and to notify us when a product is explanted or no longer in use for any reason.

BIOTRONIK aims to continually improve and enhance the scope of this report while integrating the latest information and data concerning the performance of our products. Please contact our U.S. Compliance Department (888) 345-0374 or Worldwide CRM Technical Service Department at [ppr@biotronik.com](mailto:ppr@biotronik.com) with any comments, suggestions or questions regarding this report. Your feedback is highly appreciated and will be used to further develop this report.

BIOTRONIK, July 2018



A handwritten signature in blue ink that reads "R. Borkowski".

**Roman Borkowski**  
Senior Vice President  
Quality Management  
& Regulatory Affairs CRM  
BIOTRONIK SE & Co. KG



# Terms and Definitions

# 1. Terms and Definitions

The following terms and definitions are used for Pacemakers and Implantable Cardioverter Defibrillators (ICDs) as well as pacing and ICD leads throughout this Product Performance Report. These definitions form the basis for this Product Performance Report by clearly articulating the status of each device return and product analysis classification.

## **Elective Replacement Indicator**

All active implantable devices that are powered by an internal battery need to be replaced when their battery is depleted. BIOTRONIK pacemakers and ICDs have an Elective Replacement Indicator (ERI) feature aka Recommended Replacement Time (RRT) that notifies the health care provider when the device's battery is nearing the end of its useful life. Display of ERI is BIOTRONIK's recommendation to the user that the battery's present state will require device replacement in the near future. For further details please refer to the corresponding manual.

## **Battery Depletion**

Battery depletions are classified as either normal (expected) or premature. Premature battery depletions are defined as device malfunctions, while normal battery depletions are not device malfunctions. Batteries of returned devices are considered to have depleted normally when (a) a device is returned with no associated complaint and the device has reached its elective replacement indicator(s) with implant time that meets or exceeds the nominal (50 percentile) predicted longevity at default (labeled) settings, or (b) a device is returned and the device has reached its elective replacement indicator(s) with implant time exceeding 75% of the expected longevity using the longevity calculation tool available at time of product introduction, calculated using the device's actual use conditions and settings.

For consistency with previous product performance reports, for ICDs released prior to Lumax and pacemakers released prior to Philos II, batteries of returned devices are considered to have depleted normally if they have reached their elective replacement indicator and testing indicates that the battery and associated circuitry are within specifications.

## **Out of Specification**

Any component or software related event that causes the device's characteristics to not meet pre-defined performance specifications and requirements while implanted and in service. Returned product analysis that determines a device to be out of specification is considered a device malfunction. Normal battery depletions are not considered device malfunctions. BIOTRONIK defines the requirements and performance specifications for each product.

## **Device Malfunctions**

Any component or software related event that causes the device's characteristics to be out of specification while implanted and in service are considered as device malfunctions. Because it is impossible to verify that a device has malfunctioned without analyzing it, only returned devices can be classified as malfunctions for this report. Each returned lead, ICD and pacemaker is analyzed to determine if it has malfunctioned. If the analysis determines that a pacemaker or ICD failed to meet its specifications while implanted and in service, it is further classified as either a malfunction with compromised therapy or as a malfunction without compromised therapy. Devices damaged during implant, revision or after explant, damaged due to external causes (i.e. electrocautery) or due to failure to follow instructions, warnings or contraindications in its associated

technical manual are not considered malfunctions. Devices damaged due to interaction with other implanted devices (i.e., leads) are also not considered as malfunctions for the purposes of this Product Performance Report.

## **Malfunctions with Compromised Therapy**

The condition when a pacemaker or ICD is found to have malfunctioned in a manner that compromised pacing or defibrillation therapy (including complete loss or partial degradation) while implanted and in service. Therapy is considered to have been compromised if critical patient-protective pacing or defibrillation therapy is not available. Examples include: sudden loss of battery voltage; accelerated current drain such that a depleted battery was not detected before loss of therapy; sudden malfunction during a tachycardia or fibrillation event resulting in aborted delivery of therapy; intermittent malfunction where therapy is sporadically unavailable.

## **Malfunctions without Compromised Therapy**

The condition when a pacemaker or ICD is found to have malfunctioned in a manner that did not compromise pacing or defibrillation therapy while implanted and in service. Therapy is not compromised as long as critical patient-protective pacing and defibrillation therapies are available as determined through device analysis.

## **Lead Complications**

A lead performance issue where a complaint, associated with at least one of the clinical manifestations listed below, is reported and where the non-returned lead is:

- Verified by medical records to have been implanted and in-service, and

- Reported to have been removed from service,
- Modified to remedy the malfunction, or
- Left in service based on medical judgment.

Complications for leads implanted greater than 30 days are reported as Qualifying lead complications, whereas complications occurring during the first 30 days are reported as Acute lead observations.

In accordance with the latest AdvaMed guidelines and ISO 5841-2:2014(E), the complications are classified in the following categories:

- Failure to Capture
- Failure to Sense
- Oversensing
- Abnormal Pacing Impedance
- Abnormal Defibrillation Impedance
- Insulation Breach
- Conductor Fracture
- Lead Dislodgement
- Extracardiac Stimulation
- Cardiac Perforation
- Other

#### **Survival Probability Estimates**

The probability that a device remains operational during a discrete time interval is defined as survival probability. Survival probability, as presented in this report, is related to device survival only and not survival of the patient. The survival probability estimates in this report are based on BIOTRONIK's analysis of returned products as well as events that are reported to BIOTRONIK (e.g., battery depletions or lead complications).

#### **Cumulative Survival Probability Estimates**

The survival probability over a device's service time is the cumulative survival probability. It is calculated from all discrete survival probabilities of previous time intervals. This

characteristic is calculated separately for malfunction-free survival and all-cause survival (including normal battery depletions). Specific populations that are subject to a safety advisory notification are excluded and shown separately.

#### **Implanted Devices**

Only devices remaining implanted for at least one calendar day after the implantation date are considered as implanted. Devices that are removed from the patient on the same calendar day as the implant procedure do not contribute to the survival statistics.

#### **Active Implants**

The number of devices that remain operational within a discrete observation interval are active implants. Units are removed from this cohort due to patient death or explant for any reason.

#### **Underreporting**

A device status may change without being accounted for in the Product Performance database due to a lack of information being provided to BIOTRONIK. Underreporting adjustments deemed to be necessary are detailed in this report.

#### **Safety Advisory Notifications**

Any action taken by the manufacturer to inform clinicians concerning a device performance issue that may cause the device to not meet its predefined specifications is referred to as a Safety Advisory Notification.





# Methodology for Pacemaker and ICD Survival Estimates

## 2. Methodology for Pacemaker and ICD Survival Estimates

### 2.1 Cumulative Survival Probability

This report has been prepared in accordance with ISO 5841-2:2014(E) applying actuarial analysis for the calculation of survival probabilities. Survival estimates given in this report are considered to be generally representative for worldwide performance of BIOTRONIK devices.

The Cumulative Survival Probability is an estimate based on the percentage of pacemakers and ICDs that remain implanted and operational at various points of the product's service time in absence of concurrent events such as morbidity and voluntary explants for various reasons (e.g., device upgrade). The Device Survival Estimate over time is displayed in cumulative curves (Kaplan-Meier). The product's performance is evaluated in discrete one-month intervals. The survival probability for each month is given by the number of devices that remain implanted and operational through this month divided by the number of devices that entered the interval. The cumulative survival probability for any period is given by multiplying all survival probabilities of previous months.

At the time of implantation, the cumulative survival probability is 100%. Even though they are analyzed as part of our quality system monitoring, devices that are found to be out of specification prior to or during the implantation procedure are removed from the statistics as they do not contribute to a patient's risk of being subject to a device malfunction or replacement during the device's service time. Because this report is provided to describe product performance based on returned product analyses, the pacemaker and ICD data does not include information regarding medical complications such as erosion, infection or diaphragmatic stimulation.

In general, during the initial phase of the service time, devices which are out of specification are the primary contribution to reduction of survival probability. As the product lifecycle lengthens, normal battery depletion assumes a greater impact on the survival curve and becomes the dominating factor.

In order to make these two effects distinguishable, the cumulative survival probability curves are shown separately for devices that are confirmed to have malfunctioned only, and for total (all-cause) cumulative survival. In case of a device being subject to a safety advisory notification that significantly impacts the survival probability, this factor is displayed separately.

### 2.2 Data Acquisition

This report is based on the observation of BIOTRONIK's U.S. products through review of our device registration and tracking systems and analyses of returned products from all sources. Because the ability to perform decedent searches of patients with BIOTRONIK devices via the U.S. Social Security Administration, the use of U.S. data more accurately represents the active patient population for reporting purposes. In addition, device tracking regulations and vigilance reporting regulations vary throughout the world; therefore use of the U.S. data is most appropriate for accurate and consistent reporting of product performance.

In order to be included in the population under observation, a device must be registered and implanted for at least one calendar day. The cutoff date for the data included in this report is December 31, 2017. The number of U.S. devices that are implanted and remain active as well as the total number of products distributed worldwide are provided for each product family in this report.

Information is provided for separate product families, in that devices with nearly identical hardware and therapy functions are combined. For example, Lexos VR and VR-T (with Home Monitoring) ICDs are combined into a single family, Lexos Single Chamber ICDs.

Survival estimates are calculated for product families having accumulated at least 10,000 cumulative implant months. Because 10,000 implant months may take some time to accumulate, there may be a gap between U.S. market release and the start of graphical representation of survival probability. Products no longer being distributed with less than 500 active implants may be excluded from this report.

ISO 5841-2 describes a method for adjusting the device survival probability to compensate for underreported malfunctions and unrelated patient deaths. The factor for underreporting of malfunctions is unknown as currently no systematic data is available that reveals this factor. Consequently, this factor remains unaccounted for this report. Patient mortality is artificially elevated if the reported rate from our registration and tracking systems is below the annual mortality in clinical studies. Normal battery depletion rate is assumed if the reported rate of depletion decreases over time.

### 2.3 Returned Product Analysis

Information on malfunctioning for the pacemaker and ICD portions of this report is taken exclusively from the analysis of returned products. The outcome of this analysis is the basis for the final classification of the device's cause of explantation. Only analyzed products with confirmed device malfunctions are utilized in the

calculation of malfunction-free survival probability.

Every pacemaker and ICD returned to BIOTRONIK is analyzed per internal procedures and classified as functioning normally, normal battery depletion, or malfunctioning (including premature battery depletion) while implanted and in service. These device classifications are the basis for BIOTRONIK's cumulative survival estimates on pacemakers and ICDs.

As a significant portion of pacemakers and ICDs with normal battery depletion are not returned for analysis, BIOTRONIK also considers unconfirmed pacemaker and ICD battery depletions (reported, but device not returned) in the total survival estimates to ensure that a conservative estimate for device performance is reported.

## 2.4 Product Performance Graphs and Data

The product performance information is shown in each section in alphabetical order and by product type.

For each product, the report provides:

- Product versions that contribute to the evaluation
- U.S. and CE market release dates
- Worldwide quantity of products that have been distributed
- U.S. registered implants (number of products included in this report)
- Estimated active U.S. implants
- Number of U.S. normal battery depletions
- Number of U.S. confirmed malfunctions

The survival plots provide:

### 1. Total Survival

The combined cumulative survival probability for all causes that result in device removal or a system out of operation, excluding removals for clinical reasons unrelated to the device's performance (i.e., infections).

### 2. Malfunction-Free Survival

The cumulative survival probability free of component or software malfunctions excluding normal battery depletions, but including premature battery depletions. Normal battery depletions only have an impact on the total cumulative survival.

Products or subgroups of products may become subject to safety advisory notifications that can significantly impact the overall product performance. However, as these subgroups are clearly defined they are separated from the non-advisory devices. The impact of the advisory notification is then shown in a separate graph for total cumulative survival and for malfunction-free survival of the device population affected by the advisory notification. Current advisories are listed in chapter 9 of this report.

The cumulative survival data and the 95% confidence intervals according to the Greenwood's Formula<sup>1</sup> are shown in numerical form for the observed population.

<sup>1</sup> Greenwood, M. The natural duration of cancer. Reports on Public Health and Medical Subjects 33, London: Her Majesty's Stationery Office, 1-26, 1926.

# Performance of BIOTRONIK Pacemakers

- 3.1 Single-Chamber Pacemakers
- 3.2 Dual-Chamber Pacemakers
- 3.3 CRT Pacemakers

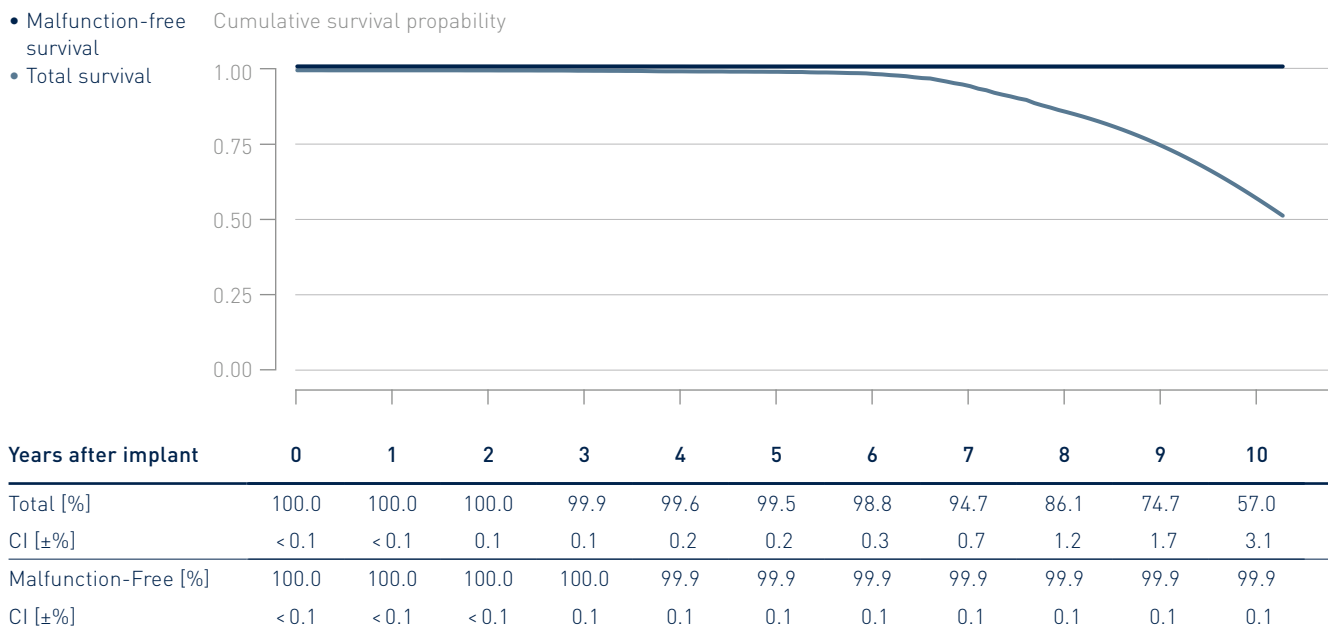


## 3.1 Single-Chamber Pacemakers

### Cylos and Cylos 990

Product Versions*	VR
NBG Codes	VVIR
US Market Release	Jan 2006
CE Market Release	Nov 2005 / Mar 2008
Worldwide Distributed Devices	25 900
Registered U.S. Implants	6 150
Estimated Active U.S. Implants	2 870
U.S. Normal Battery Depletions	615

	Quantity	Rate
<b>U.S. Confirmed Malfunctions</b>	4	0.07%
Therapy Compromised	1	0.02%
Therapy Available	3	0.05%



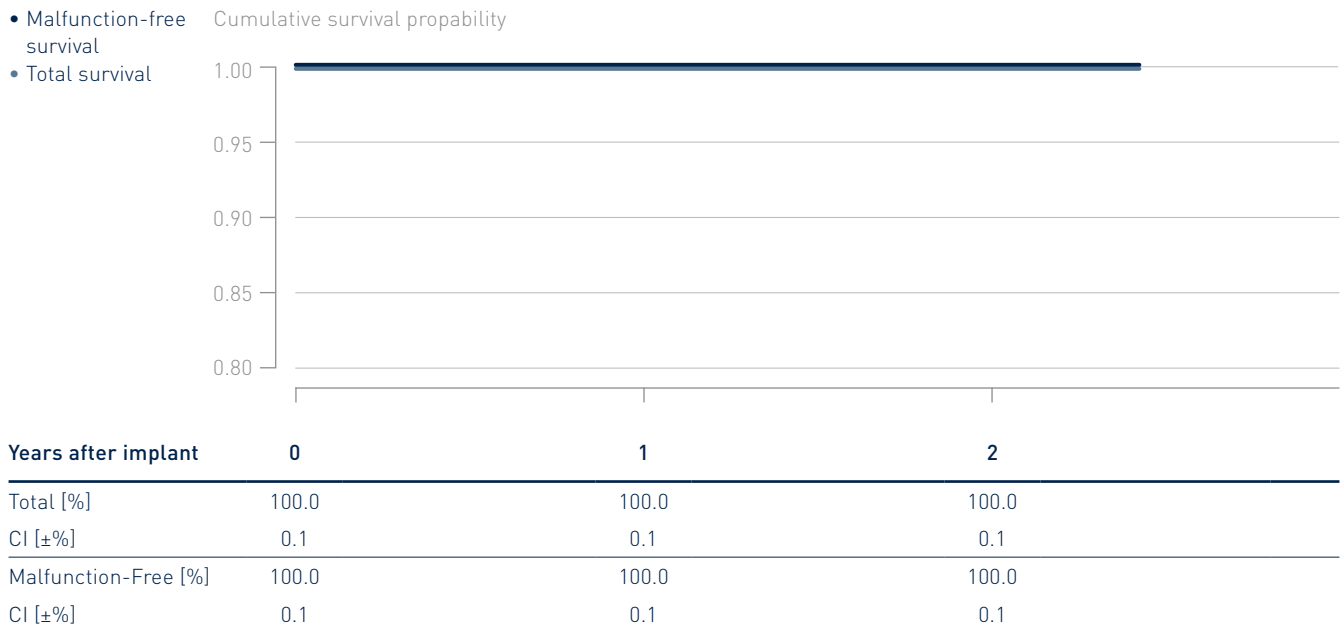
\* While Cylos 990 VR is not distributed in the U.S., the performance is expected to be similar to the U.S. distributed products

## 3.1 Single-Chamber Pacemakers

### Eluna 8

Product Versions _____	SR, SR-T
NBG Codes _____	AAIR, WIR
US Market Release _____	Dec 2014
CE Market Release _____	Aug 2014
Worldwide Distributed Devices _____	17 900
Registered U.S. Implants _____	5 060
Estimated Active U.S. Implants _____	4 650
U.S. Normal Battery Depletions _____	0

	Quantity	Rate
<b>U.S. Confirmed Malfunctions</b> _____	0	0.00%
Therapy Compromised _____	0	0.00%
Therapy Available _____	0	0.00%

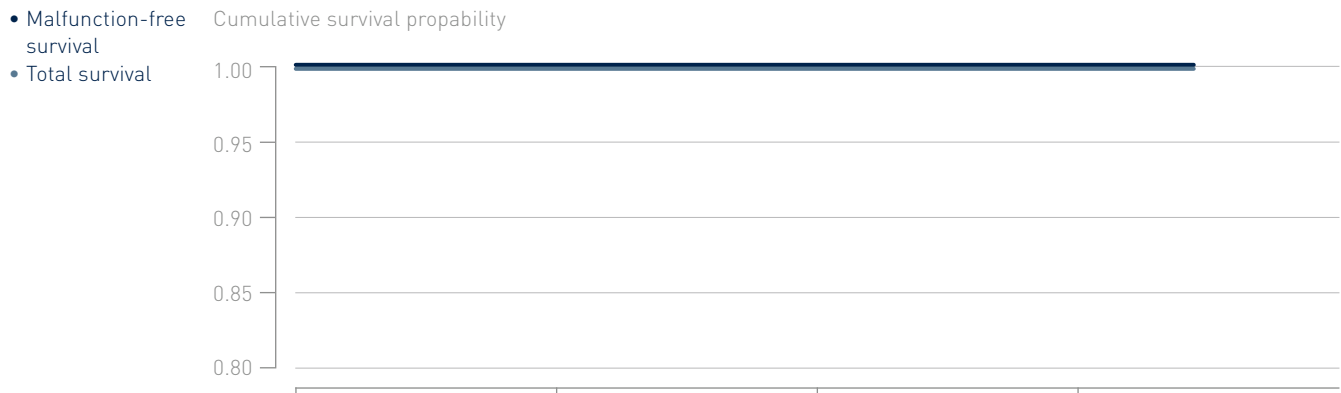


## 3.1 Single-Chamber Pacemakers

### Entovis

Product Versions _____	SR, SR-T
NBG Codes _____	AAIR, VVIR
US Market Release _____	Jun 2010
CE Market Release _____	Nov 2009
Worldwide Distributed Devices _____	28 100
Registered U.S. Implants _____	2400
Estimated Active U.S. Implants _____	1970
U.S. Normal Battery Depletions _____	0

	Quantity	Rate
<b>U.S. Confirmed Malfunctions</b> _____	0	0.00%
Therapy Compromised _____	0	0.00%
Therapy Available _____	0	0.00%



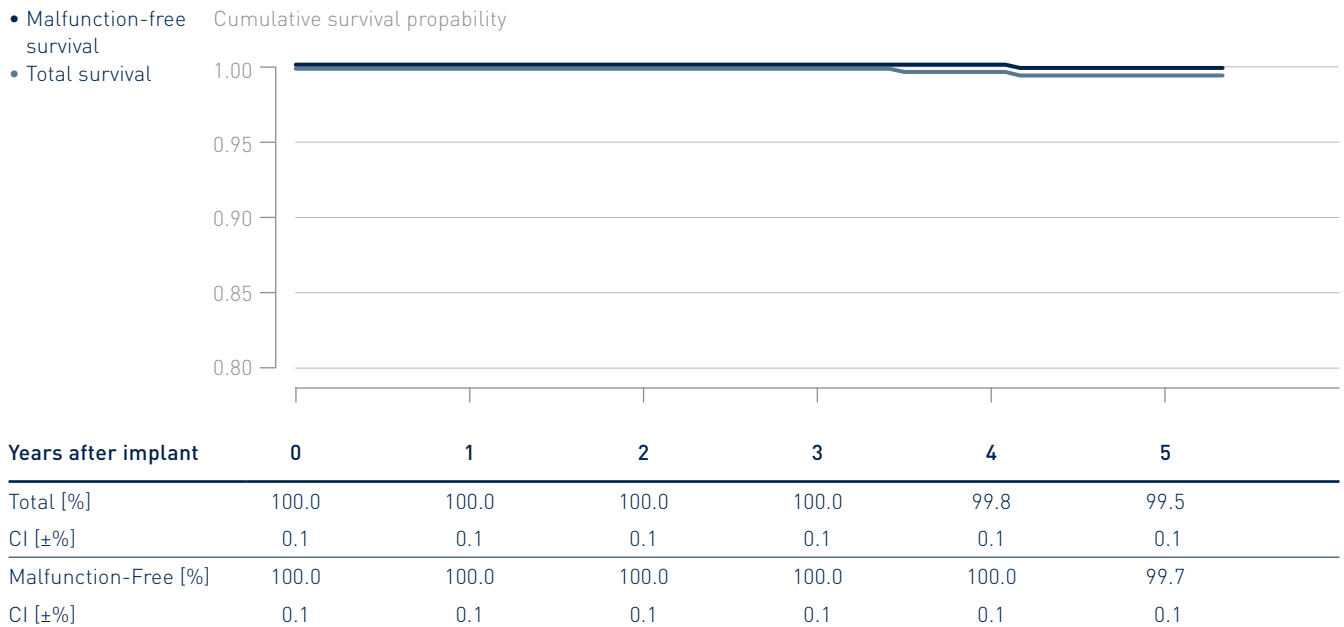
Years after implant	0	1	2	3
Total [%]	100.0	100.0	100.0	100.0
CI [±%]	0.1	0.1	0.1	0.1
Malfunction-Free [%]	100.0	100.0	100.0	100.0
CI [±%]	0.1	0.1	0.1	0.1

## 3.1 Single-Chamber Pacemakers

### Estella

Product Versions _____	SR, SR-T
NBG Codes _____	AAIR, WIR
US Market Release _____	Feb 2011
CE Market Release _____	Feb 2011
Worldwide Distributed Devices _____	28 900
Registered U.S. Implants _____	609
Estimated Active U.S. Implants _____	422
U.S. Normal Battery Depletions _____	1

	Quantity	Rate
<b>U.S. Confirmed Malfunctions</b> _____	1	0.16%
Therapy Compromised _____	0	0.00%
Therapy Available _____	1	0.16%



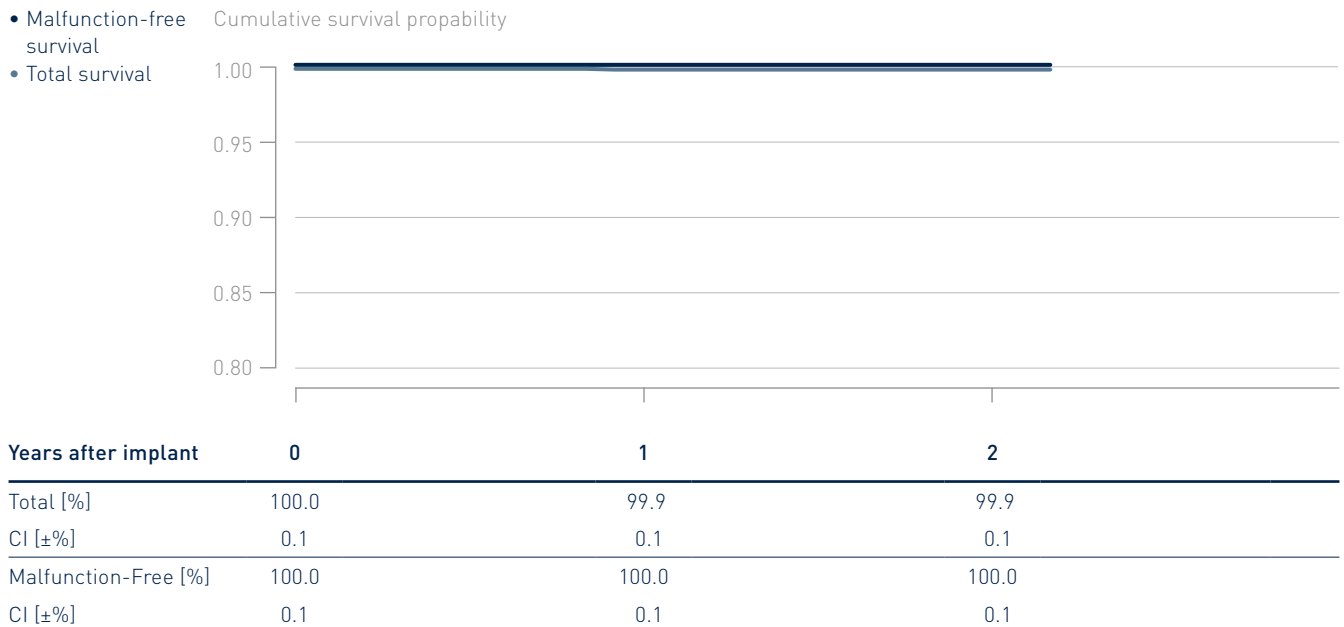


## 3.1 Single-Chamber Pacemakers

### Etrinsa 8

Product Versions _____	SR-T
NBG Codes _____	AAIR, WIR
US Market Release _____	Dec 2014
CE Market Release _____	Aug 2014
Worldwide Distributed Devices _____	17400
Registered U.S. Implants _____	1490
Estimated Active U.S. Implants _____	1330
U.S. Normal Battery Depletions _____	1

	Quantity	Rate
<b>U.S. Confirmed Malfunctions</b> _____	0	0.00%
Therapy Compromised _____	0	0.00%
Therapy Available _____	0	0.00%

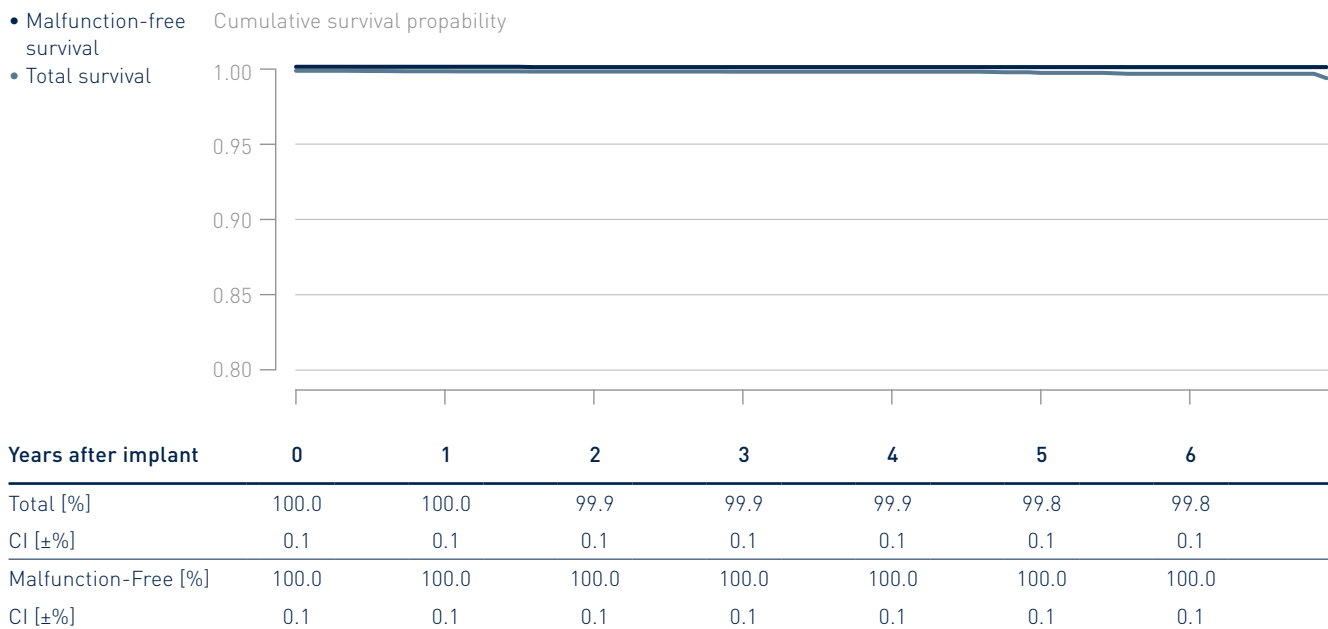


## 3.1 Single-Chamber Pacemakers

### Evia

Product Versions _____	SR, SR-T
NBG Codes _____	AAIR, WIR
US Market Release _____	May 2010
CE Market Release _____	Oct 2009
Worldwide Distributed Devices _____	58 300
Registered U.S. Implants _____	12 000
Estimated Active U.S. Implants _____	8 820
U.S. Normal Battery Depletions _____	13

	Quantity	Rate
<b>U.S. Confirmed Malfunctions</b> _____	1	0.01%
Therapy Compromised _____	1	0.01%
Therapy Available _____	0	0.00%

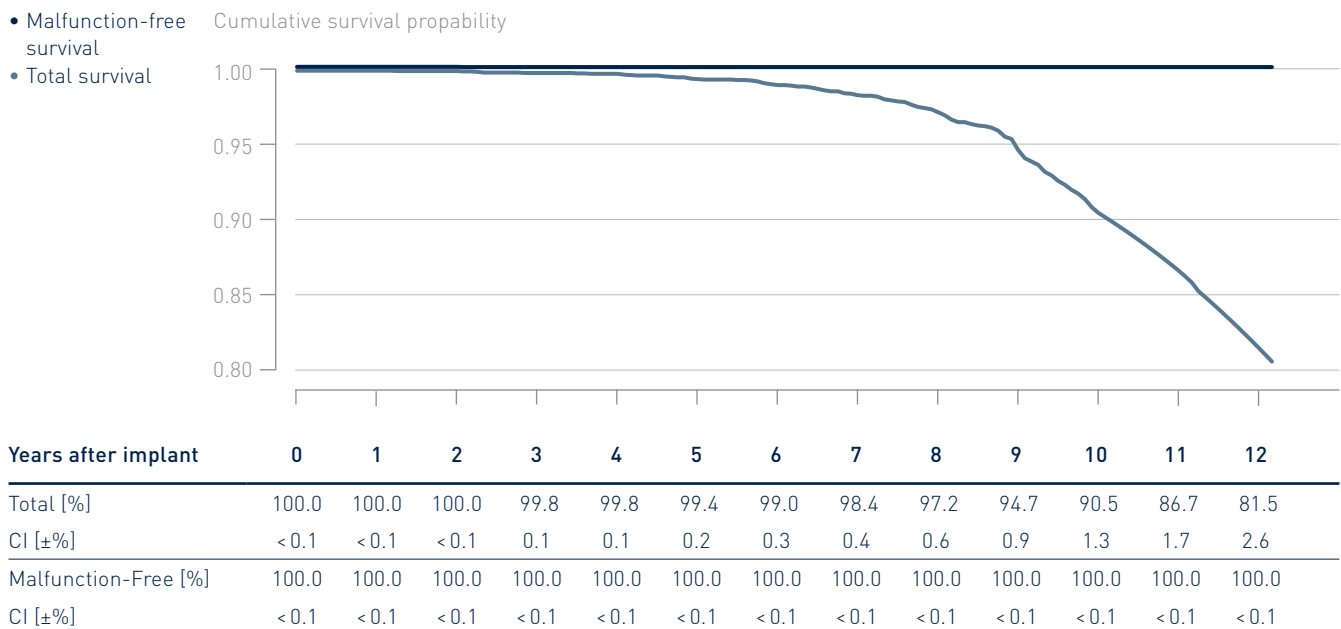


## 3.1 Single-Chamber Pacemakers

### Philos II and Talos

Product Versions* _____	S, SR
NBG Codes _____	SSI, SSIR
US Market Release _____	Sep 2004
CE Market Release _____	Feb 2004 / May 2006
Worldwide Distributed Devices _____	215 000
Registered U.S. Implants _____	5 240
Estimated Active U.S. Implants _____	2 710
U.S. Normal Battery Depletions _____	237

	Quantity	Rate
<b>U.S. Confirmed Malfunctions</b> _____	1	0.02%
Therapy Compromised _____	1	0.02%
Therapy Available _____	0	0.00%



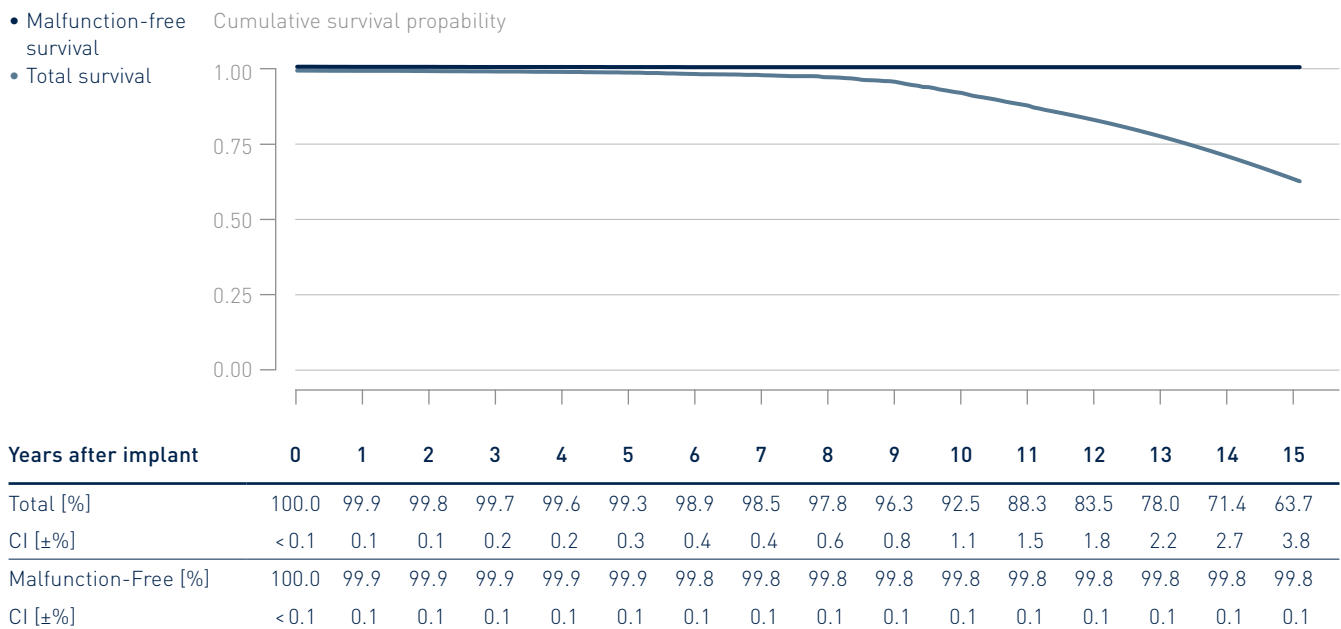
\* While Talos SR and Talos S are not distributed in the U.S., their performance is expected to be similar to the U.S. distributed products

### 3.1 Single-Chamber Pacemakers

## Philos

Product Versions _____	S, SR
NBG Codes _____	SSI, SSIR
US Market Release _____	Sep 2000
CE Market Release _____	Aug 2000
Worldwide Distributed Devices _____	109 000
Registered U.S. Implants _____	5 780
Estimated Active U.S. Implants _____	1 560
U.S. Normal Battery Depletions _____	258

	Quantity	Rate
<b>U.S. Confirmed Malfunctions</b> _____	7	0.12%
Therapy Compromised _____	0	0.00%
Therapy Available _____	7	0.12%

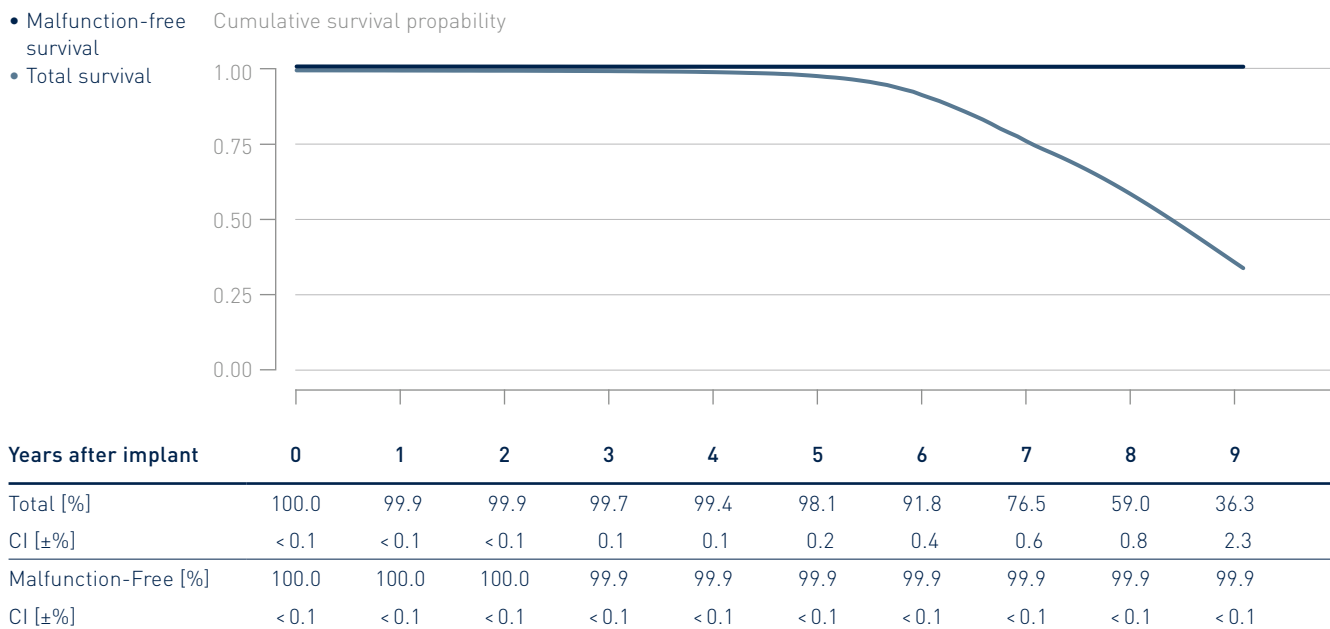


## 3.2 Dual-Chamber Pacemakers

### Cylos and Cylos 990

Product Versions* _____	DR, DR-T
NBG Codes _____	DDDR
US Market Release _____	Jan 2006
CE Market Release _____	Nov 2005 / Mar 2008
Worldwide Distributed Devices _____	81 300
Registered U.S. Implants _____	30 400
Estimated Active U.S. Implants _____	9 690
U.S. Normal Battery Depletions _____	6 862

	Quantity	Rate
<b>U.S. Confirmed Malfunctions</b> _____	27	0.09%
Therapy Compromised _____	7	0.02%
Therapy Available _____	20	0.07%



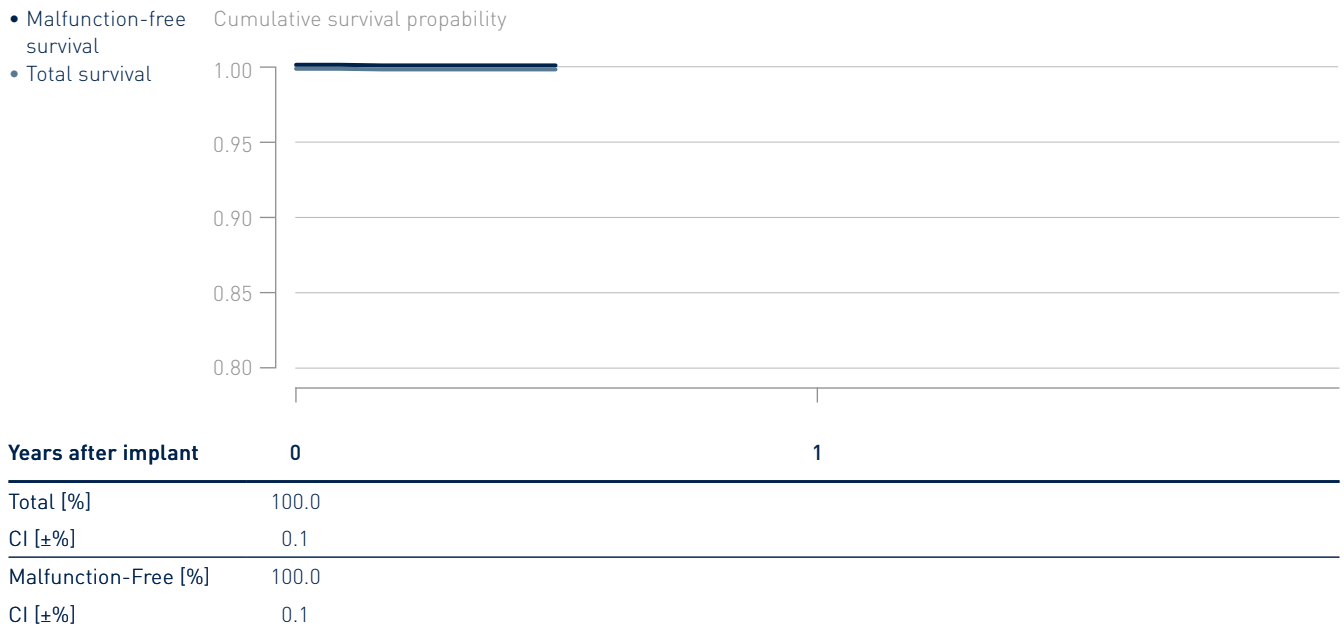
\*While Cylos 990 DR and Cylos 990 DR-T are not distributed in the U.S., the performance is expected to be similar to the U.S. distributed products

## 3.2 Dual-Chamber Pacemakers

### Edora 8

Product Versions _____	DR, DR-T
NBG Codes _____	DDDR
US Market Release _____	May 2017
CE Market Release _____	Jul 2016
Worldwide Distributed Devices _____	23 800
Registered U.S. Implants _____	5 270
Estimated Active U.S. Implants _____	5 220
U.S. Normal Battery Depletions _____	0

	Quantity	Rate
<b>U.S. Confirmed Malfunctions</b> _____	1	0.02%
Therapy Compromised _____	1	0.02%
Therapy Available _____	0	0.00%



## 3.2 Dual-Chamber Pacemakers

### Eluna 8

Product Versions _____	DR, DR-T
NBG Codes _____	DDDR
US Market Release _____	Dec 2014
CE Market Release _____	Aug 2014
Worldwide Distributed Devices _____	84400
Registered U.S. Implants _____	35300
Estimated Active U.S. Implants _____	32500
U.S. Normal Battery Depletions _____	8

	Quantity	Rate
<b>U.S. Confirmed Malfunctions</b> _____	0	0.00%
Therapy Compromised _____	0	0.00%
Therapy Available _____	0	0.00%



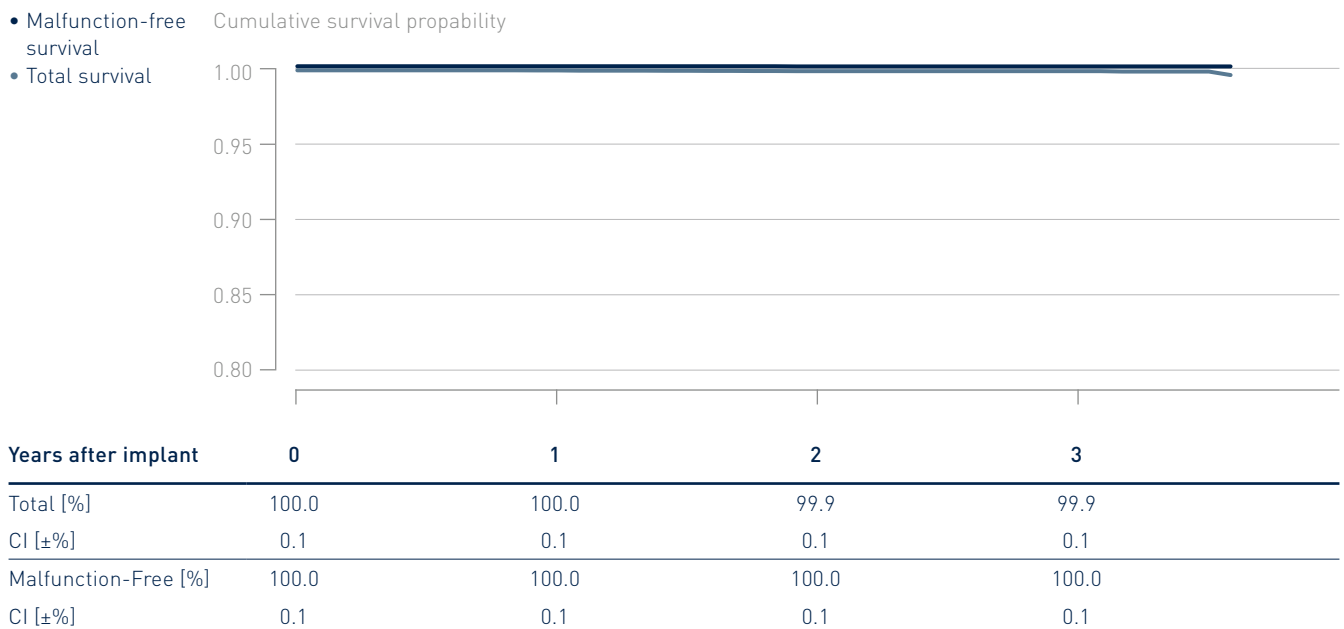
Years after implant	0	1	2
Total [%]	100.0	100.0	100.0
CI [±%]	0.1	0.1	0.1
Malfunction-Free [%]	100.0	100.0	100.0
CI [±%]	0.1	0.1	0.1

## 3.2 Dual-Chamber Pacemakers

### Entovis

Product Versions _____	DR, DR-T
NBG Codes _____	DDDR
US Market Release _____	Feb 2010
CE Market Release _____	Nov 2009
Worldwide Distributed Devices _____	106 000
Registered U.S. Implants _____	12 200
Estimated Active U.S. Implants _____	10 100
U.S. Normal Battery Depletions _____	8

	Quantity	Rate
<b>U.S. Confirmed Malfunctions</b> _____	2	0.02%
Therapy Compromised _____	1	0.01%
Therapy Available _____	1	0.01%



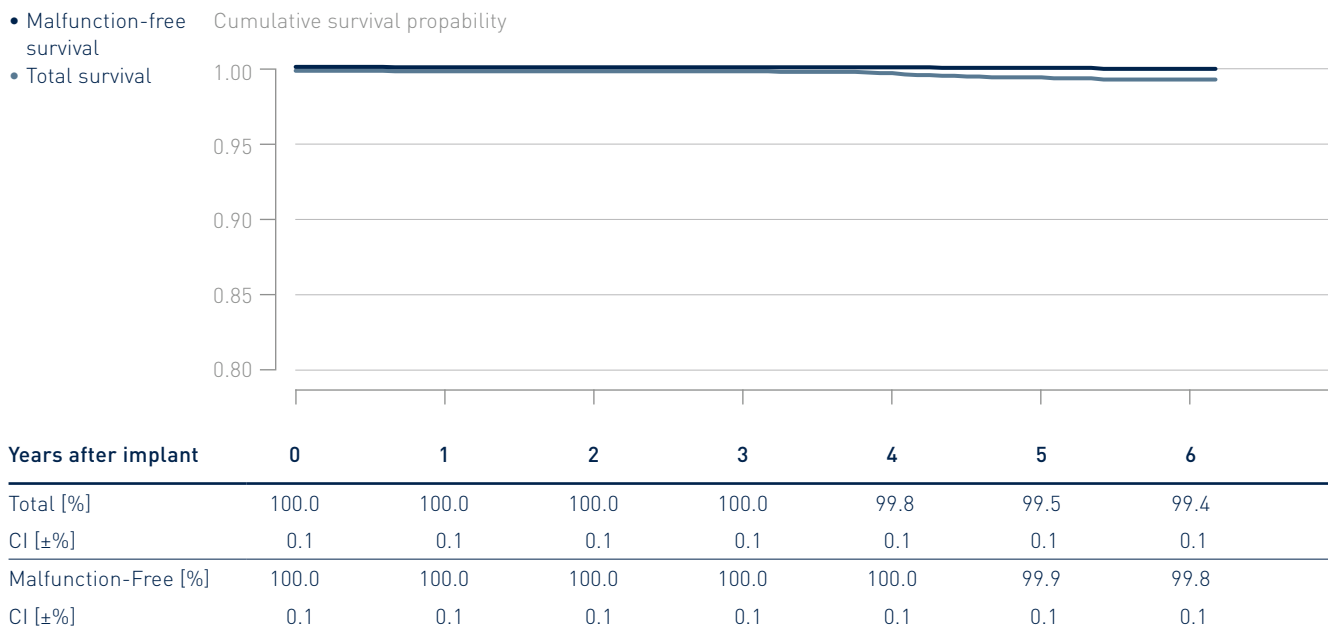


## 3.2 Dual-Chamber Pacemakers

### Estella

Product Versions _____	DR, DR-T
NBG Codes _____	DDDR
US Market Release _____	Feb 2011
CE Market Release _____	Feb 2011
Worldwide Distributed Devices _____	31 100
Registered U.S. Implants _____	2 950
Estimated Active U.S. Implants _____	2 160
U.S. Normal Battery Depletions _____	9

	Quantity	Rate
<b>U.S. Confirmed Malfunctions</b> _____	3	0.10%
Therapy Compromised _____	0	0.00%
Therapy Available _____	3	0.10%

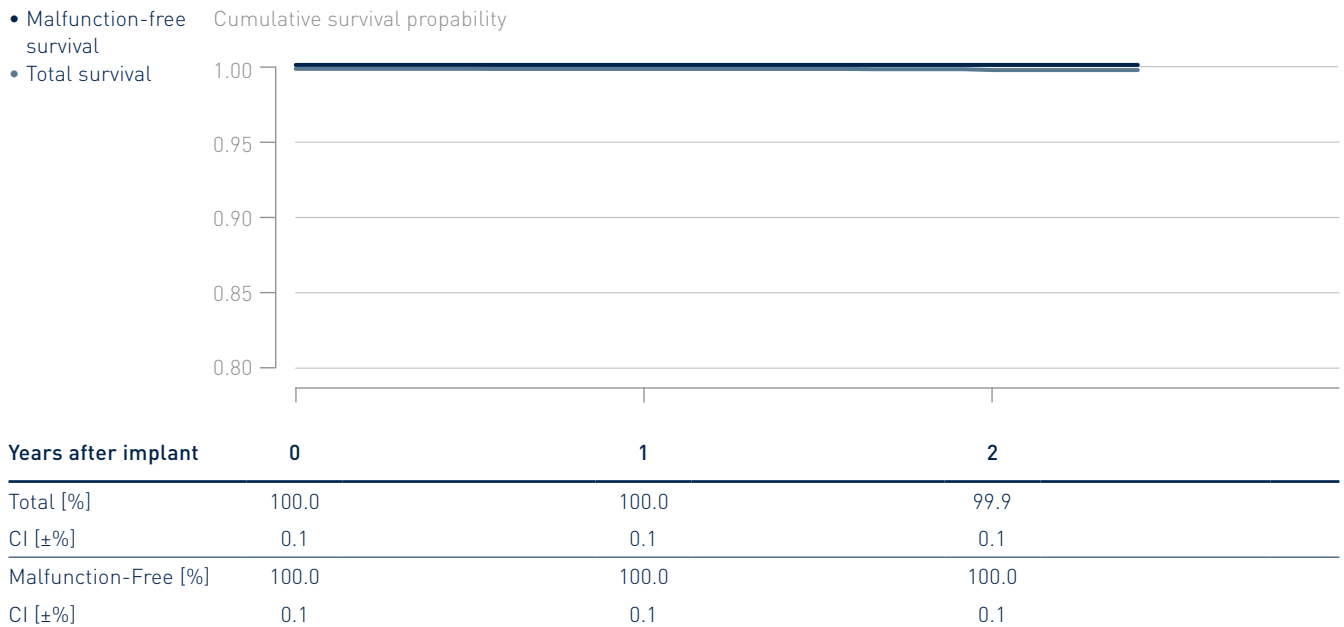


## 3.2 Dual-Chamber Pacemakers

### Etrinsa 8

Product Versions _____	DR-T
NBG Codes _____	DDDR
US Market Release _____	Dec 2014
CE Market Release _____	Aug 2014
Worldwide Distributed Devices _____	71 000
Registered U.S. Implants _____	10 200
Estimated Active U.S. Implants _____	9 380
U.S. Normal Battery Depletions _____	4

	Quantity	Rate
<b>U.S. Confirmed Malfunctions</b> _____	0	0.00%
Therapy Compromised _____	0	0.00%
Therapy Available _____	0	0.00%

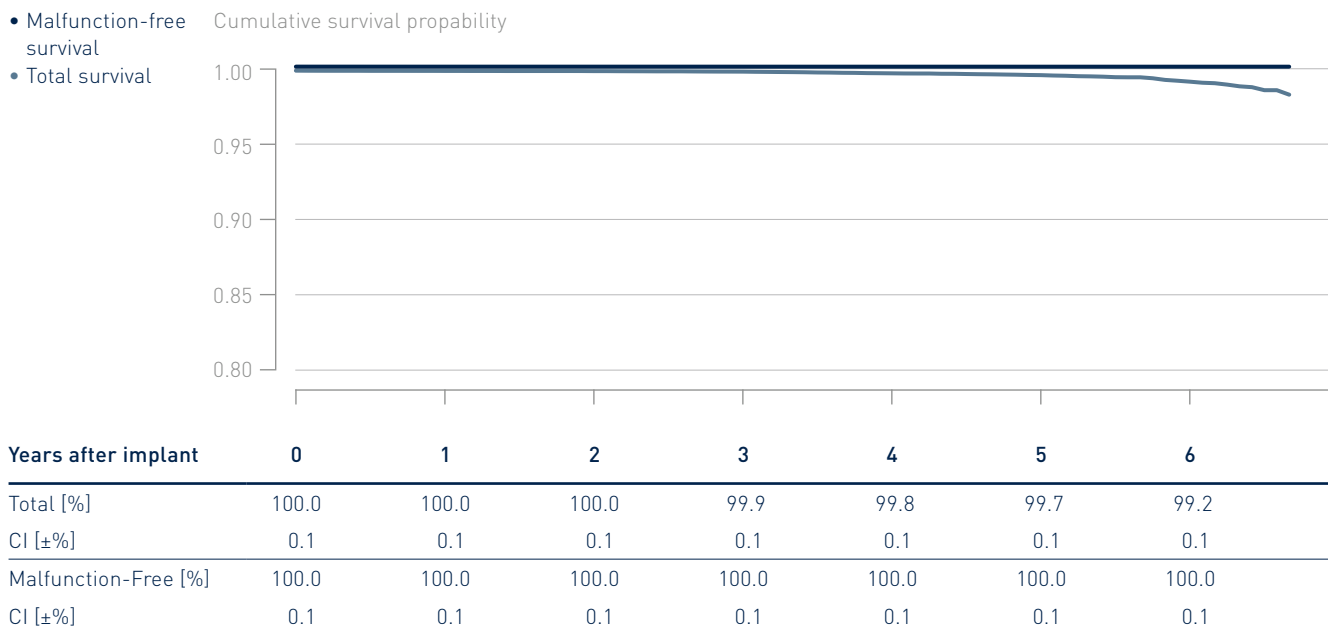


## 3.2 Dual-Chamber Pacemakers

### Evia

Product Versions _____	DR, DR-T
NBG Codes _____	DDDR
US Market Release _____	May 2010
CE Market Release _____	Oct 2009
Worldwide Distributed Devices _____	200 000
Registered U.S. Implants _____	61 900
Estimated Active U.S. Implants _____	46 200
U.S. Normal Battery Depletions _____	189

	Quantity	Rate
<b>U.S. Confirmed Malfunctions</b> _____	21	0.03%
Therapy Compromised _____	10	0.02%
Therapy Available _____	11	0.02%

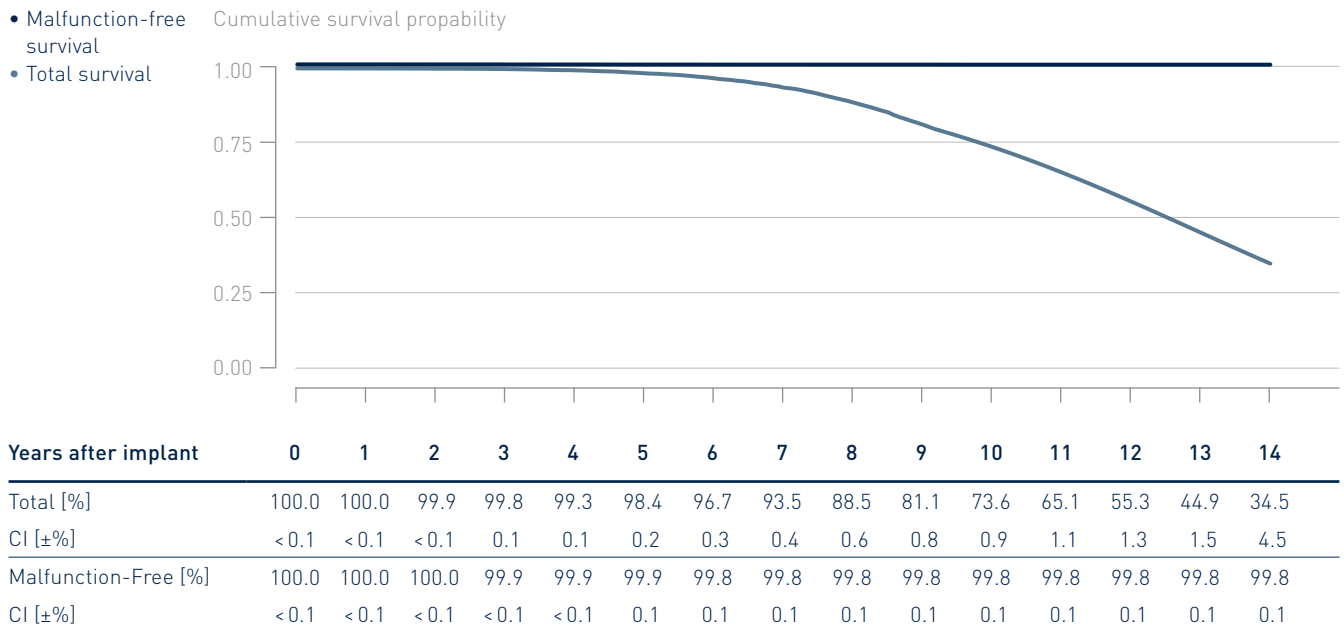


## 3.2 Dual-Chamber Pacemakers

### Philos

Product Versions _____	D, DR, DR-T, SLR
NBG Codes _____	DDD, DDDR, VDDR
US Market Release _____	Sep 2000
CE Market Release _____	Aug 2000
Worldwide Distributed Devices _____	172 000
Registered U.S. Implants _____	20 700
Estimated Active U.S. Implants _____	5 250
U.S. Normal Battery Depletions _____	2 546

	Quantity	Rate
<b>U.S. Confirmed Malfunctions</b> _____	28	0.14%
Therapy Compromised _____	5	0.02%
Therapy Available _____	23	0.11%

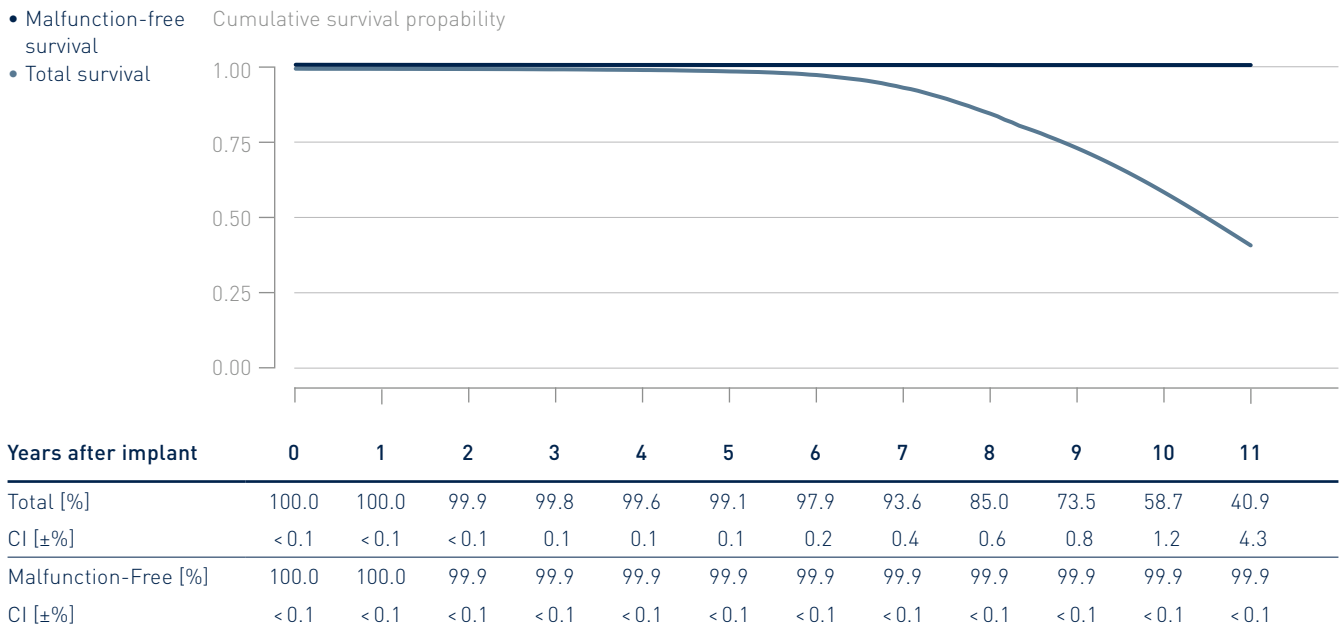


## 3.2 Dual-Chamber Pacemakers

### Philos II and Talos

Product Versions* _____	D, DR, DR-T (Philos II only), SLR
NBG Codes _____	DDD, DDDR, VDDR
US Market Release _____	Sep 2004
CE Market Release _____	Feb 2004 / May 2006
Worldwide Distributed Devices _____	372 000
Registered U.S. Implants _____	23 200
Estimated Active U.S. Implants _____	8 940
U.S. Normal Battery Depletions _____	3 547

	Quantity	Rate
<b>U.S. Confirmed Malfunctions</b> _____	21	0.09%
Therapy Compromised _____	0	0.00%
Therapy Available _____	21	0.09%



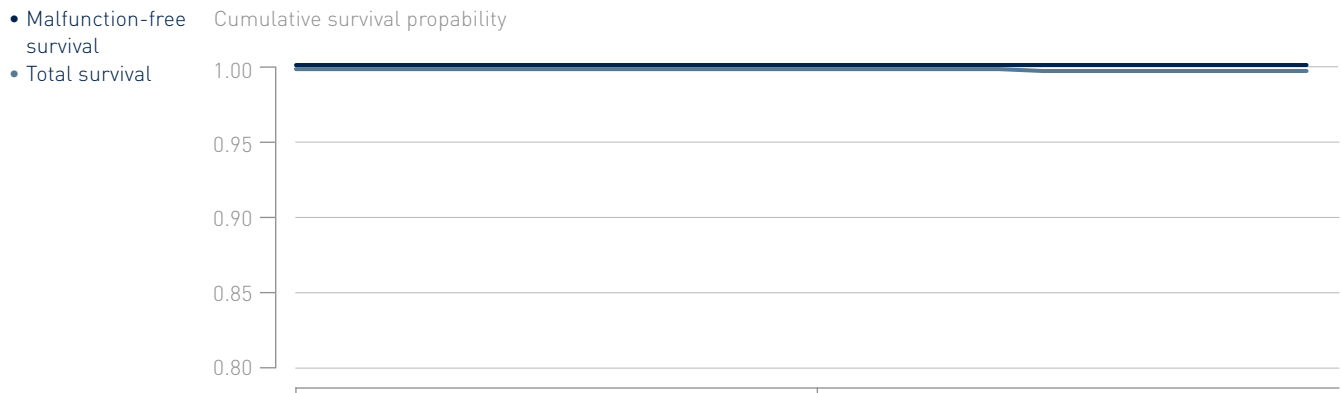
\* While Philos II SLR, Talos D, Talos DR and Talos SLR are not distributed in the U.S., their performance is expected to be similar to the U.S. distributed products

### 3.3 CRT Pacemakers

## Etrinsa 8

Product Versions _____	HF-T
NBG Codes _____	DDDRV
US Market Release _____	Dec 2014
CE Market Release _____	Aug 2014
Worldwide Distributed Devices _____	7 900
Registered U.S. Implants _____	1 570
Estimated Active U.S. Implants _____	1 330
U.S. Normal Battery Depletions _____	1

	Quantity	Rate
<b>U.S. Confirmed Malfunctions</b> _____	0	0.00%
Therapy Compromised _____	0	0.00%
Therapy Available _____	0	0.00%



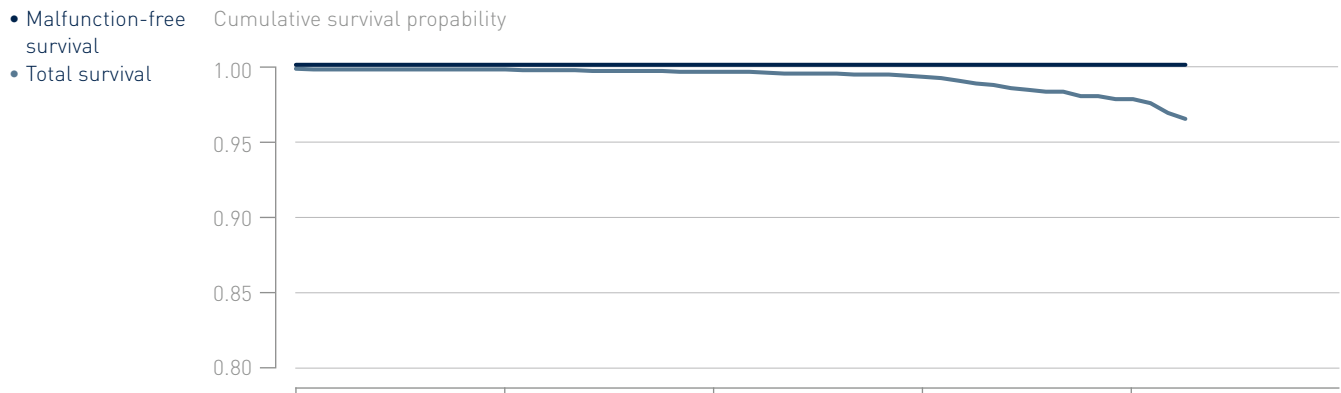
Years after implant	0	1
Total [%]	100.0	100.0
CI [±%]	0.1	0.1
Malfunction-Free [%]	100.0	100.0
CI [±%]	0.1	0.1

### 3.3 CRT Pacemakers

## Evia

Product Versions _____	HF, HF-T
NBG Codes _____	DDDRV
US Market Release _____	May 2010
CE Market Release _____	Oct 2009
Worldwide Distributed Devices _____	8 730
Registered U.S. Implants _____	2 250
Estimated Active U.S. Implants _____	1 440
U.S. Normal Battery Depletions _____	28

	Quantity	Rate
<b>U.S. Confirmed Malfunctions</b> _____	0	0.00%
Therapy Compromised _____	0	0.00%
Therapy Available _____	0	0.00%



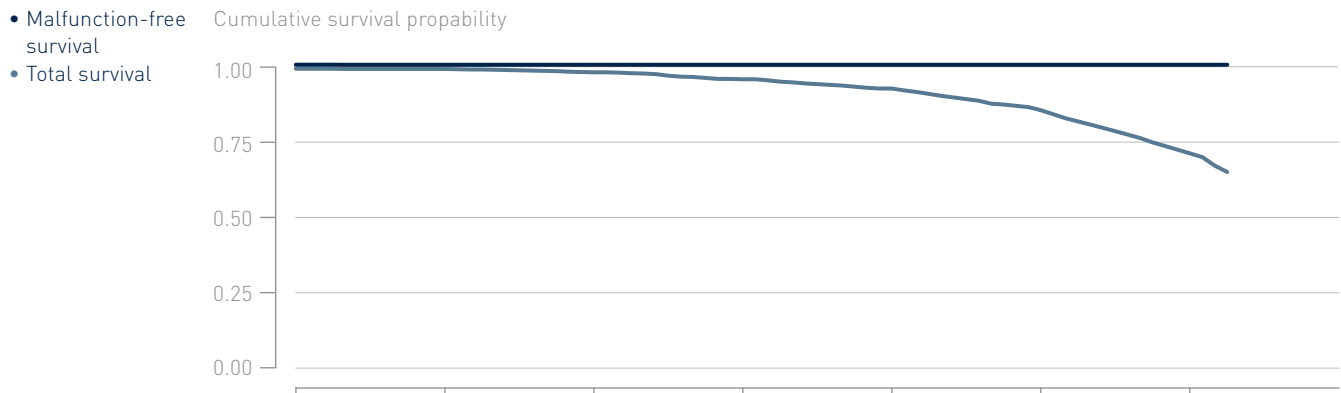
Years after implant	0	1	2	3	4
Total [%]	100.0	100.0	99.8	99.5	98.0
CI [±%]	< 0.1	0.1	0.2	0.4	0.9
Malfunction-Free [%]	100.0	100.0	100.0	100.0	100.0
CI [±%]	< 0.1	< 0.1	< 0.1	< 0.1	< 0.1

### 3.3 CRT Pacemakers

## Stratos

Product Versions _____	LV, LV-T
NBG Codes _____	DDDRV
US Market Release _____	May 2008
CE Market Release _____	Nov 2002
Worldwide Distributed Devices _____	21 400
Registered U.S. Implants _____	1 310
Estimated Active U.S. Implants _____	367
U.S. Normal Battery Depletions _____	231

	Quantity	Rate
<b>U.S. Confirmed Malfunctions</b> _____	1	0.08%
Therapy Compromised _____	0	0.00%
Therapy Available _____	1	0.08%

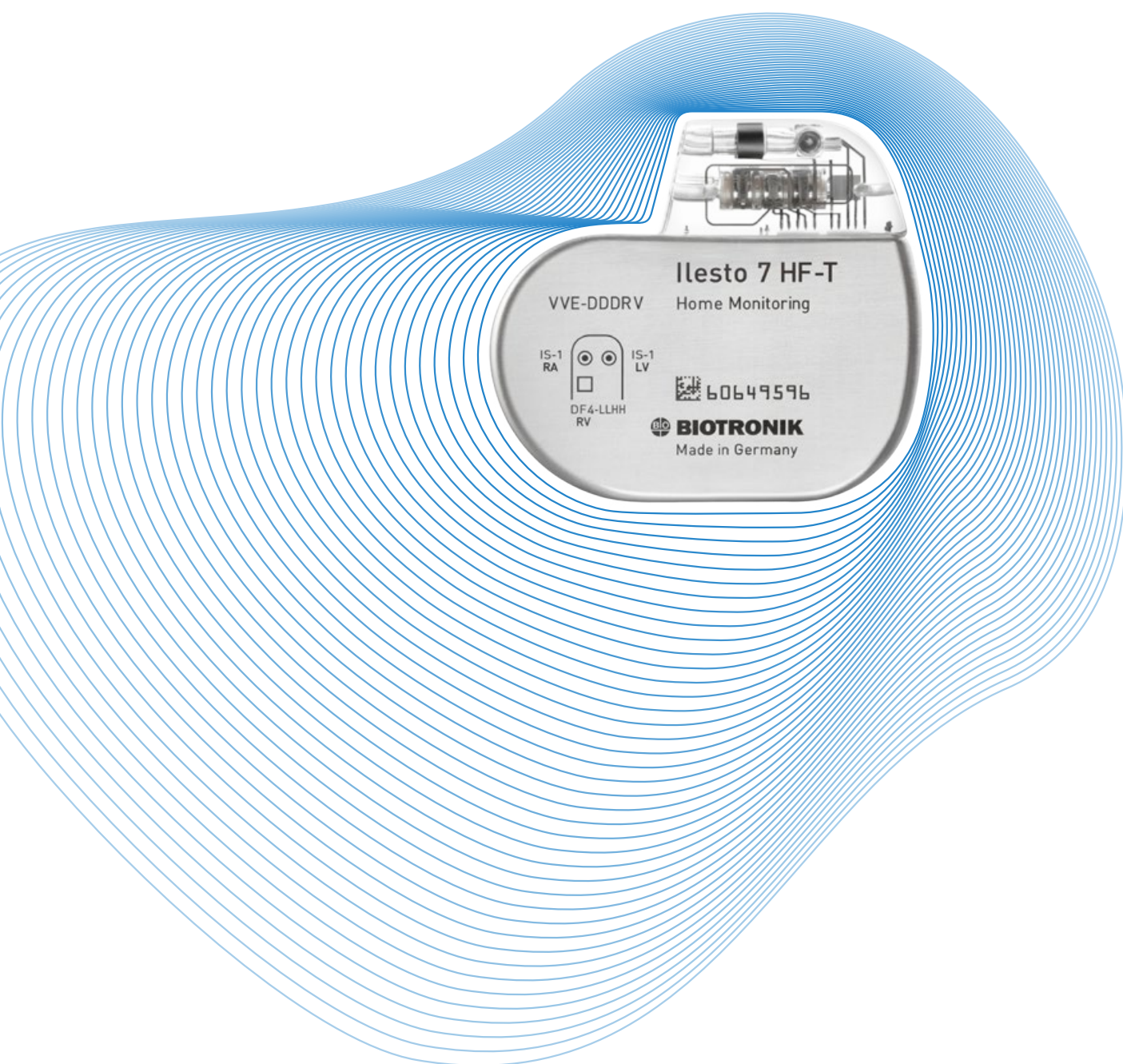


Years after implant	0	1	2	3	4	5	6
Total [%]	100.0	99.9	98.8	96.5	93.4	86.1	71.6
CI [±%]	< 0.1	0.2	0.7	1.2	1.6	2.4	3.7
Malfunction-Free [%]	100.0	99.9	99.9	99.9	99.9	99.9	99.9
CI [±%]	< 0.1	0.2	0.2	0.2	0.2	0.2	0.2



# Performance of BIOTRONIK ICDs

- 4.1 Single-Chamber ICDs
- 4.2 Dual-Chamber ICDs
- 4.3 CRT ICDs

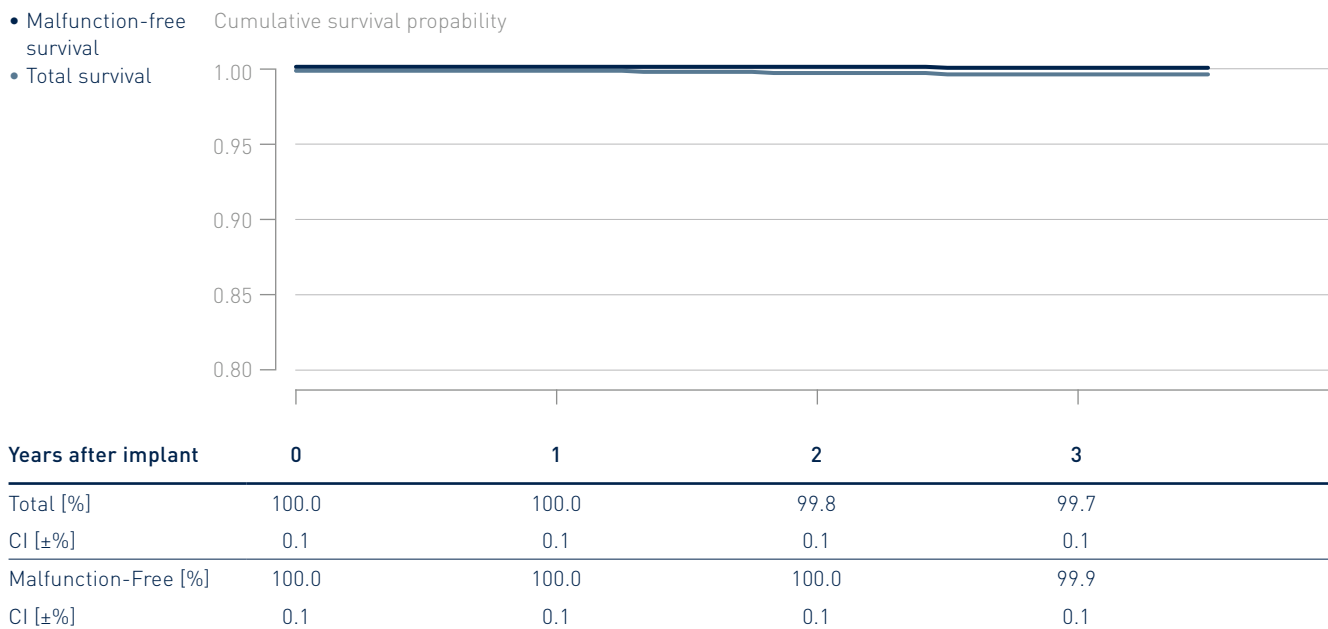


## 4.1 Single-Chamber ICDs

### Ilesto 7

Product Versions _____	VR-T
NBG Codes _____	VVE-VVIR
Maximum Energy J _____	40
US Market Release _____	Sep 2013
CE Market Release _____	Jun 2013
Worldwide Distributed Devices _____	2490
Registered U.S. Implants _____	1270
Estimated Active U.S. Implants _____	1060
U.S. Normal Battery Depletions _____	2

	Quantity	Rate
<b>U.S. Confirmed Malfunctions</b> _____	1	0.08%
Therapy Compromised _____	0	0.00%
Therapy Available _____	1	0.08%



## 4.1 Single-Chamber ICDs

### Ilesto 7 DF4

Product Versions _____	VR-T
NBG Codes _____	VVE-VVIR
Maximum Energy J _____	40
US Market Release _____	Sep 2013
CE Market Release _____	Jun 2013
Worldwide Distributed Devices _____	2420
Registered U.S. Implants _____	466
Estimated Active U.S. Implants _____	381
U.S. Normal Battery Depletions _____	1

	Quantity	Rate
<b>U.S. Confirmed Malfunctions</b> _____	1	0.21%
Therapy Compromised _____	1	0.21%
Therapy Available _____	0	0.00%



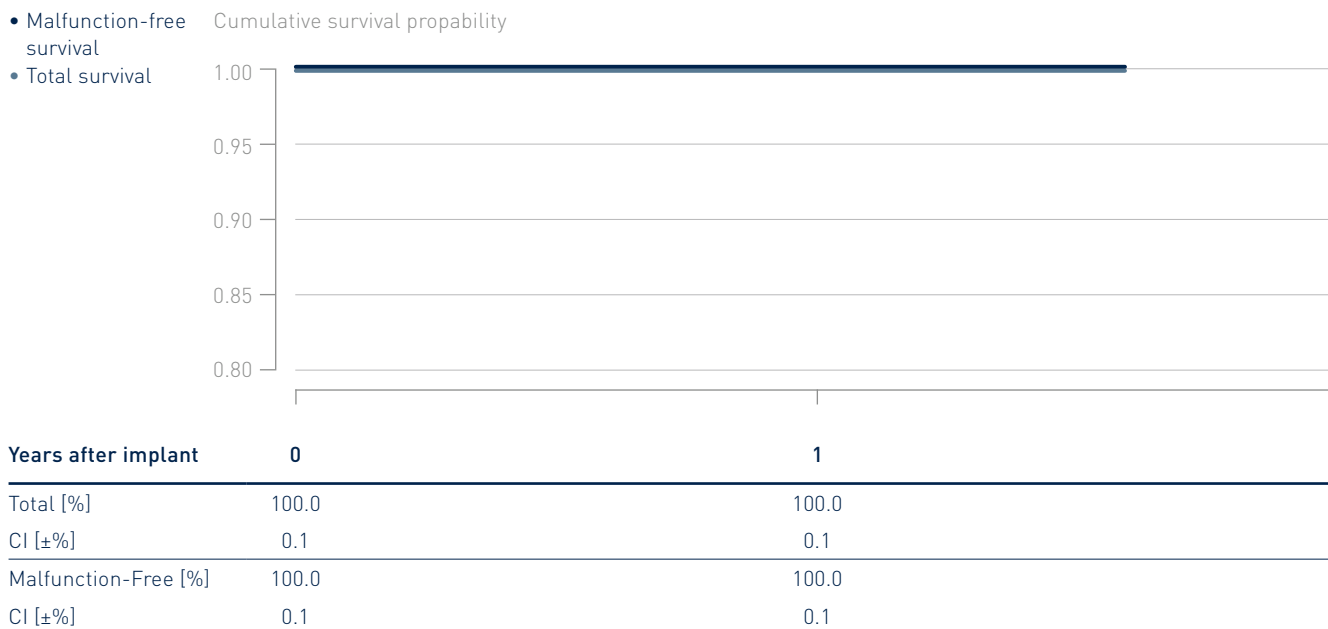
Years after implant	0	1	2
Total [%]	100.0	99.8	99.8
CI [±%]	0.1	0.1	0.1
Malfunction-Free [%]	100.0	100.0	100.0
CI [±%]	0.1	0.1	0.1

## 4.1 Single-Chamber ICDs

### Itrevia 7

Product Versions _____	VR-T
NBG Codes _____	VVE-VVIR
Maximum Energy J _____	40
US Market Release _____	Mar 2015
CE Market Release _____	Dec 2014
Worldwide Distributed Devices _____	1300
Registered U.S. Implants _____	557
Estimated Active U.S. Implants _____	507
U.S. Normal Battery Depletions _____	0

	Quantity	Rate
<b>U.S. Confirmed Malfunctions</b> _____	0	0.00%
Therapy Compromised _____	0	0.00%
Therapy Available _____	0	0.00%

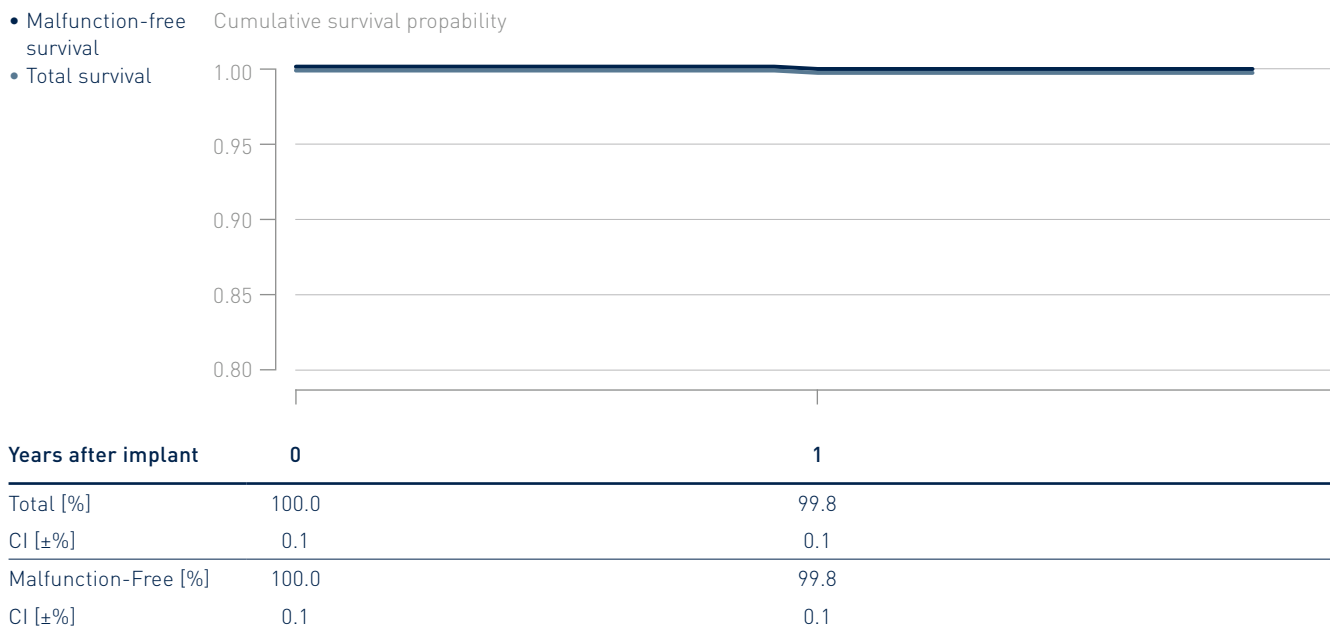


## 4.1 Single-Chamber ICDs

### Itrevia 7 DF4

Product Versions _____	VR-T
NBG Codes _____	VVE-VVIR
Maximum Energy J _____	40
US Market Release _____	Mar 2015
CE Market Release _____	Dec 2014
Worldwide Distributed Devices _____	1450
Registered U.S. Implants _____	761
Estimated Active U.S. Implants _____	677
U.S. Normal Battery Depletions _____	0

	Quantity	Rate
<b>U.S. Confirmed Malfunctions</b> _____	1	0.13%
Therapy Compromised _____	0	0.00%
Therapy Available _____	1	0.13%

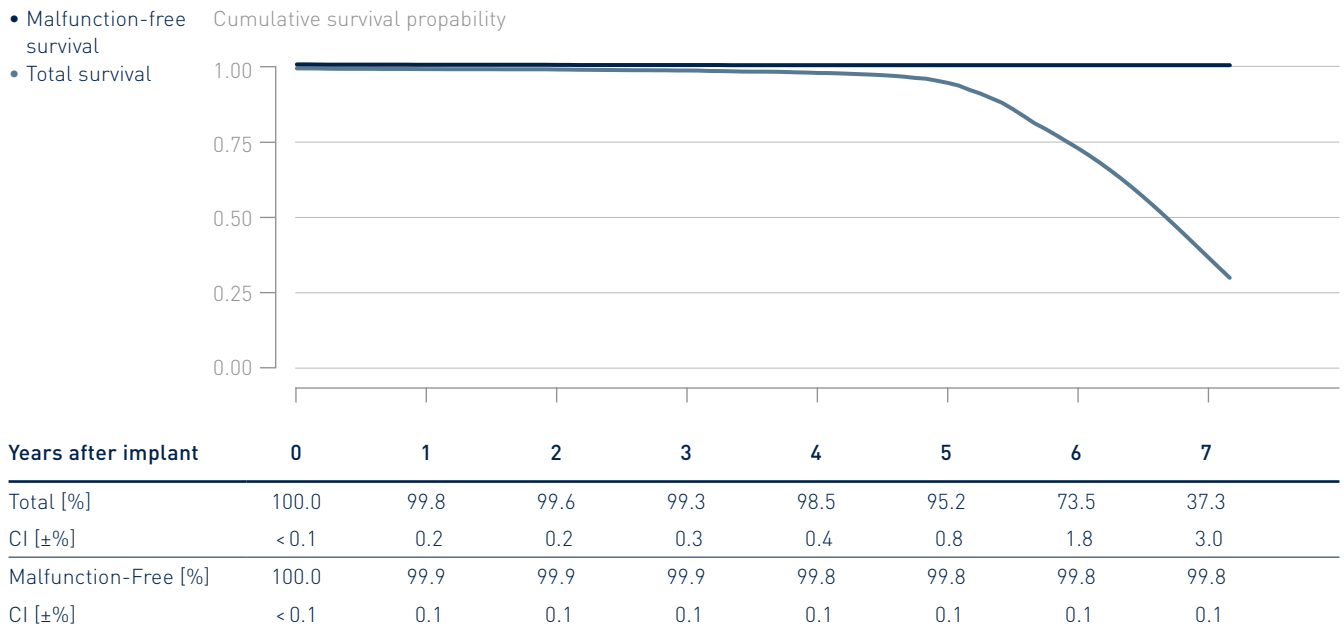


## 4.1 Single-Chamber ICDs

### Lumax 340

Product Versions _____	VR, VR-T
NBG Codes _____	VVE-VVIR
Maximum Energy J _____	40
US Market Release _____	Feb 2007
CE Market Release _____	Feb 2007
Worldwide Distributed Devices _____	27 200
Registered U.S. Implants _____	3 990
Estimated Active U.S. Implants _____	200
U.S. Normal Battery Depletions _____	726

	Quantity	Rate
<b>U.S. Confirmed Malfunctions</b> _____	6	0.15%
Therapy Compromised _____	4	0.10%
Therapy Available _____	2	0.05%

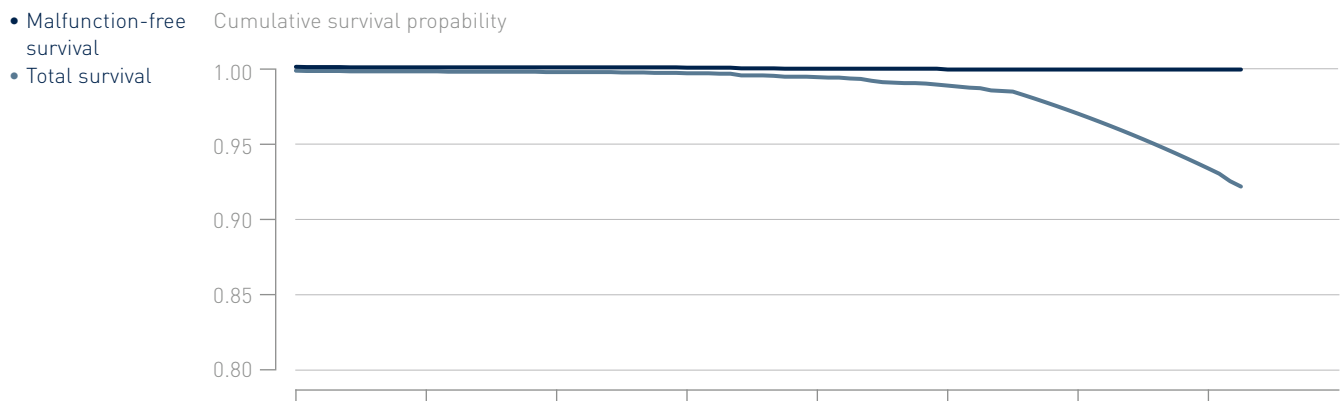


## 4.1 Single-Chamber ICDs

### Lumax 540

Product Versions _____	VR-T
NBG Codes _____	VVE-VVIR
Maximum Energy J _____	40
US Market Release _____	May 2009
CE Market Release _____	Jun 2008
Worldwide Distributed Devices _____	20 000
Registered U.S. Implants _____	4 550
Estimated Active U.S. Implants _____	2 820
U.S. Normal Battery Depletions _____	71

	Quantity	Rate
<b>U.S. Confirmed Malfunctions</b> _____	8	0.18%
Therapy Compromised _____	4	0.09%
Therapy Available _____	4	0.09%



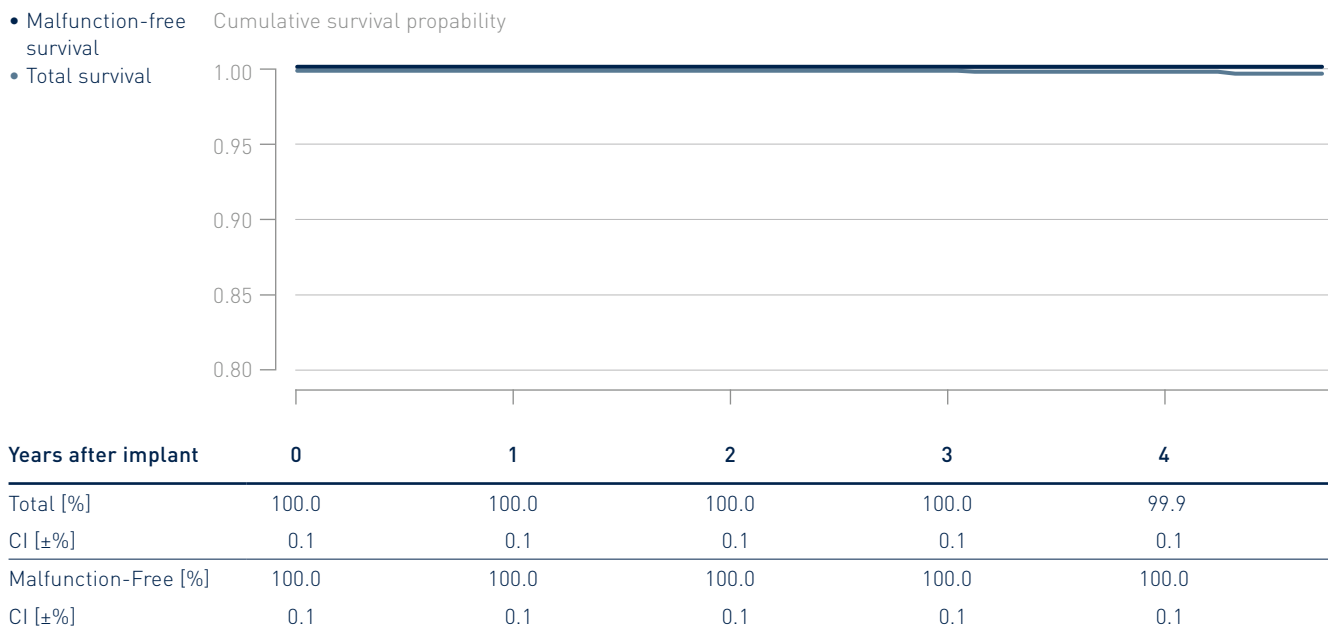
Years after implant	0	1	2	3	4	5	6	7
Total [%]	100.0	99.9	99.9	99.8	99.5	99.0	97.1	93.4
CI [±%]	< 0.1	0.1	0.1	0.1	0.2	0.3	0.7	1.4
Malfunction-Free [%]	100.0	100.0	100.0	99.9	99.8	99.8	99.8	99.8
CI [±%]	< 0.1	0.1	0.1	0.1	0.1	0.2	0.2	0.2

## 4.1 Single-Chamber ICDs

### Lumax 740

Product Versions _____	VR-T
NBG Codes _____	VVE-VVIR
Maximum Energy J _____	40
US Market Release _____	Sep 2012
CE Market Release _____	Apr 2012
Worldwide Distributed Devices _____	4 810
Registered U.S. Implants _____	1 580
Estimated Active U.S. Implants _____	1 130
U.S. Normal Battery Depletions _____	2

	Quantity	Rate
<b>U.S. Confirmed Malfunctions</b> _____	0	0.00%
Therapy Compromised _____	0	0.00%
Therapy Available _____	0	0.00%



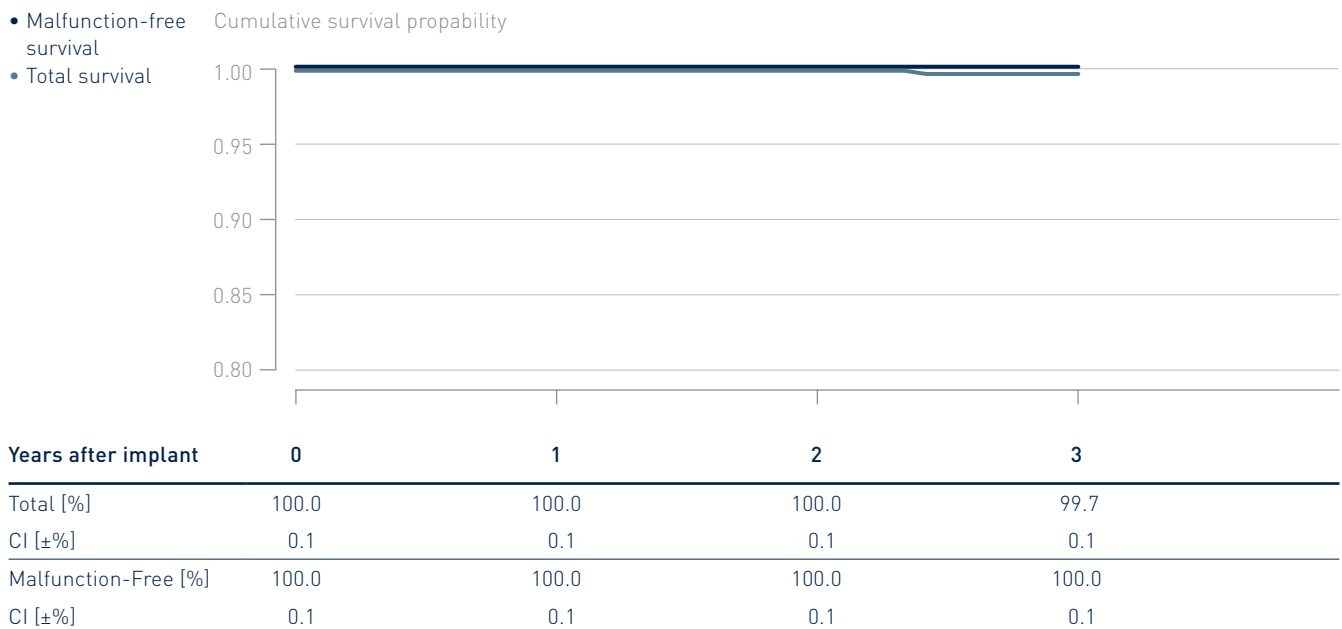


## 4.2 Dual-Chamber ICDs

### Iforia 7

Product Versions _____	DR-T
NBG Codes _____	VVE-VDDR
Maximum Energy J _____	40
US Market Release _____	Sep 2013
CE Market Release _____	Jun 2013
Worldwide Distributed Devices _____	1060
Registered U.S. Implants _____	614
Estimated Active U.S. Implants _____	521
U.S. Normal Battery Depletions _____	1

	Quantity	Rate
<b>U.S. Confirmed Malfunctions</b> _____	0	0.00%
Therapy Compromised _____	0	0.00%
Therapy Available _____	0	0.00%

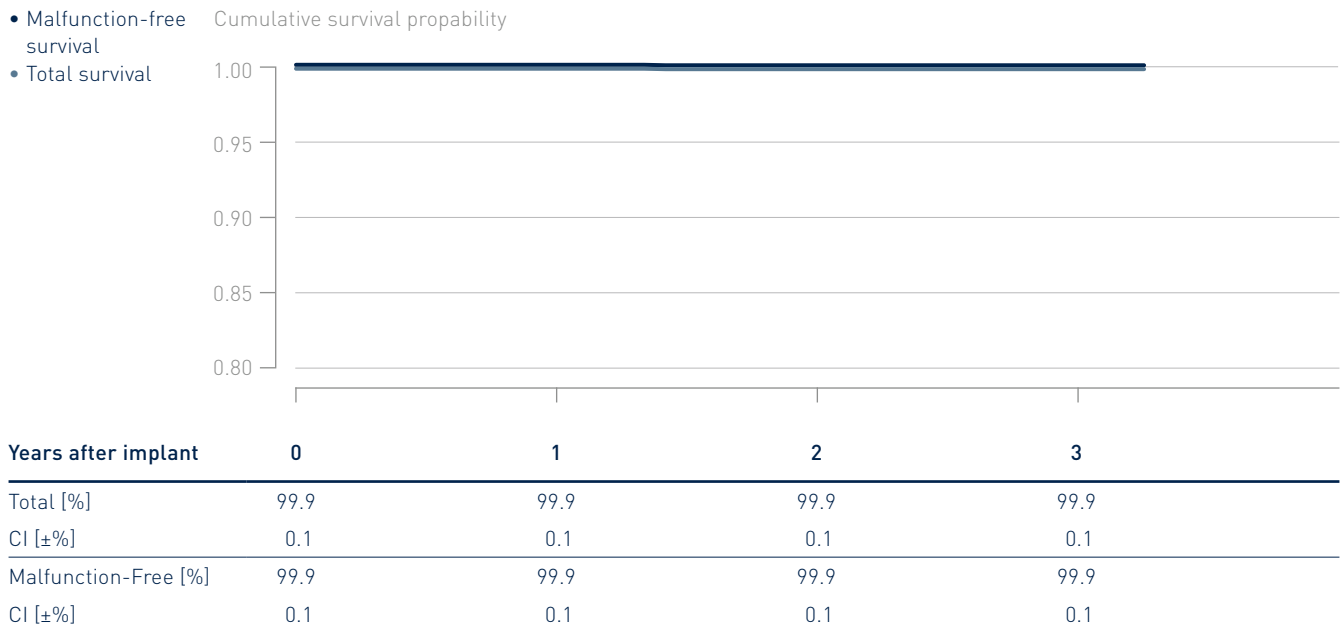


## 4.2 Dual-Chamber ICDs

### Iforia 7 DX

Product Versions _____	VR-T
NBG Codes _____	VVE-VDDR
Maximum Energy J _____	40
US Market Release _____	Sep 2013
CE Market Release _____	Jun 2013
Worldwide Distributed Devices _____	2 630
Registered U.S. Implants _____	1 470
Estimated Active U.S. Implants _____	1 230
U.S. Normal Battery Depletions _____	0

	Quantity	Rate
<b>U.S. Confirmed Malfunctions</b> _____	2	0.14%
Therapy Compromised _____	2	0.14%
Therapy Available _____	0	0.00%

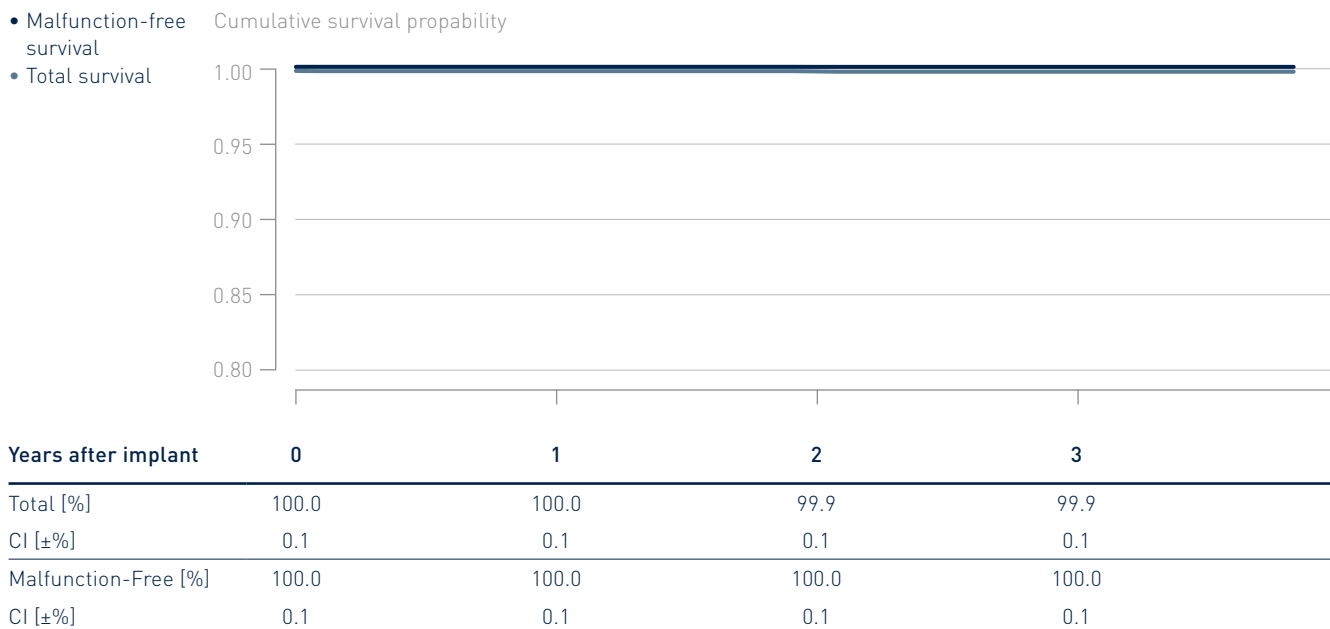


## 4.2 Dual-Chamber ICDs

### Ilesto 7

Product Versions _____	DR-T
NBG Codes _____	WE-DDDR
Maximum Energy J _____	40
US Market Release _____	Sep 2013
CE Market Release _____	Jun 2013
Worldwide Distributed Devices _____	5 140
Registered U.S. Implants _____	3490
Estimated Active U.S. Implants _____	2760
U.S. Normal Battery Depletions _____	2

	Quantity	Rate
<b>U.S. Confirmed Malfunctions</b> _____	1	0.03%
Therapy Compromised _____	1	0.03%
Therapy Available _____	0	0.00%

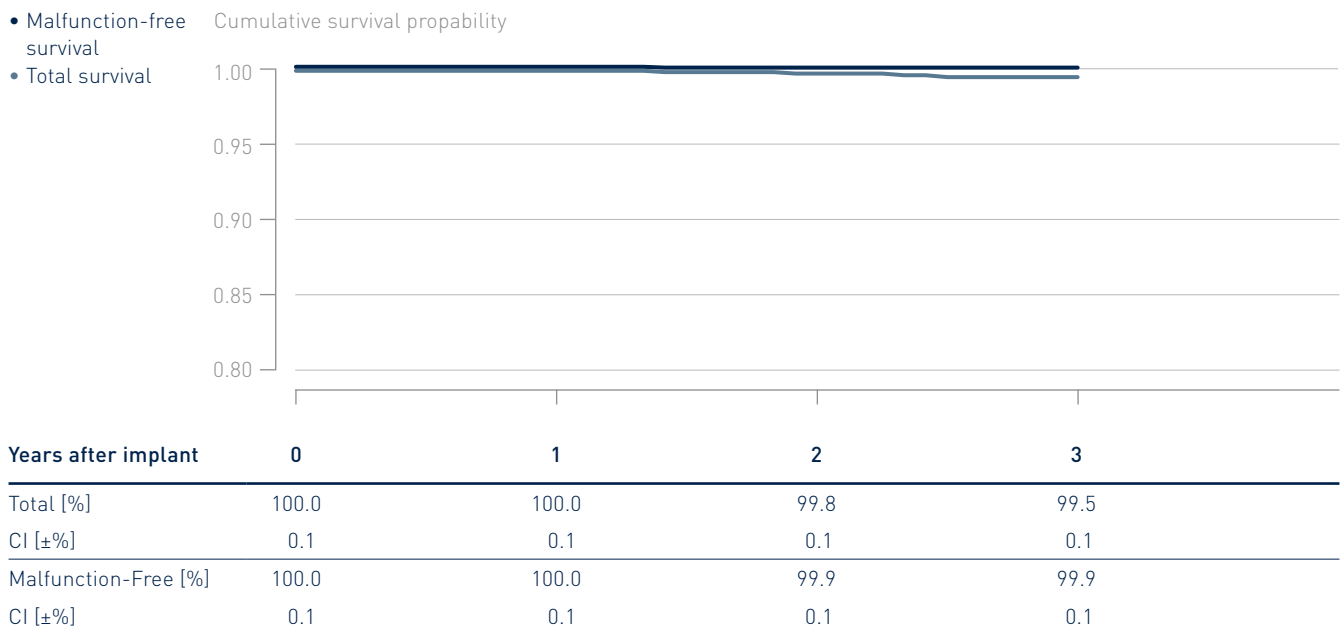


## 4.2 Dual-Chamber ICDs

### Ilesto 7 DF4

Product Versions _____	DR-T
NBG Codes _____	WE-DDDR
Maximum Energy J _____	40
US Market Release _____	Jul 2014
CE Market Release _____	Jul 2013
Worldwide Distributed Devices _____	3780
Registered U.S. Implants _____	1150
Estimated Active U.S. Implants _____	943
U.S. Normal Battery Depletions _____	3

	Quantity	Rate
<b>U.S. Confirmed Malfunctions</b> _____	1	0.09%
Therapy Compromised _____	0	0.00%
Therapy Available _____	1	0.09%

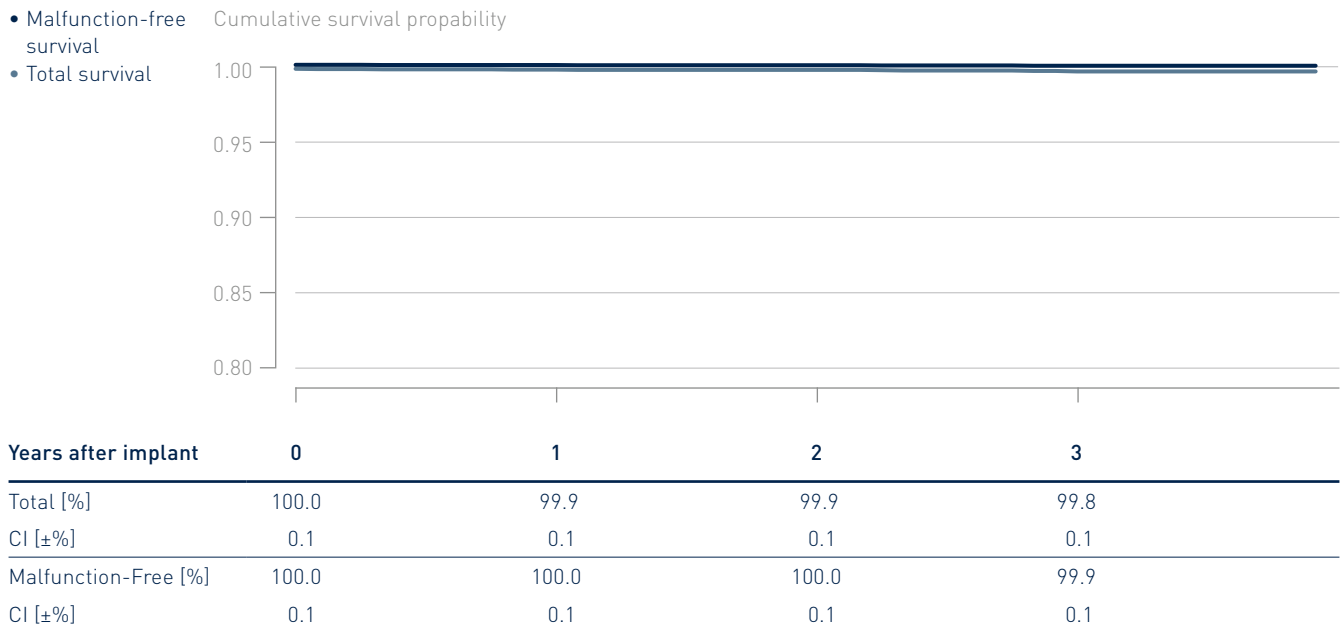


## 4.2 Dual-Chamber ICDs

### Ilesto 7 DX

Product Versions _____	VR-T
NBG Codes _____	VVE-VDDR
Maximum Energy J _____	40
US Market Release _____	Sep 2013
CE Market Release _____	Jun 2013
Worldwide Distributed Devices _____	6 620
Registered U.S. Implants _____	4 720
Estimated Active U.S. Implants _____	3 900
U.S. Normal Battery Depletions _____	5

	Quantity	Rate
<b>U.S. Confirmed Malfunctions</b> _____	4	0.08%
Therapy Compromised _____	2	0.04%
Therapy Available _____	2	0.04%

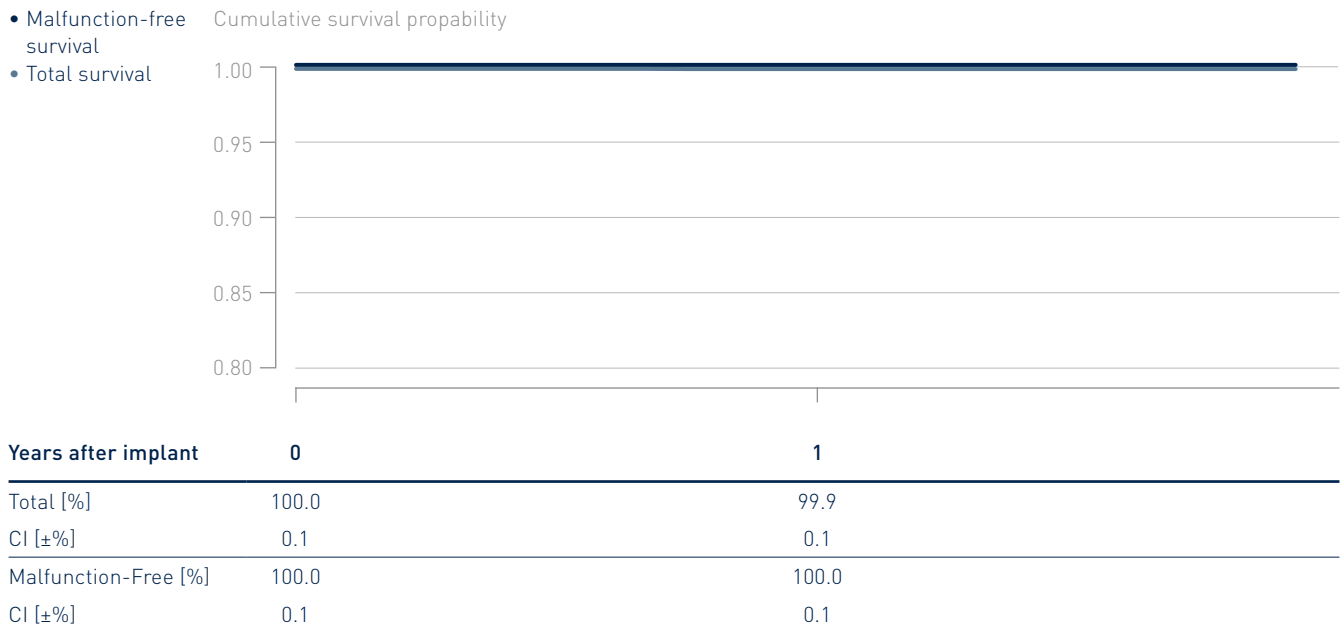


## 4.2 Dual-Chamber ICDs

### Inventra 7 DX

Product Versions _____	VR-T
NBG Codes _____	VVE-VDDR
Maximum Energy J _____	45
US Market Release _____	Mar 2015
CE Market Release _____	Dec 2014
Worldwide Distributed Devices _____	3810
Registered U.S. Implants _____	3030
Estimated Active U.S. Implants _____	2810
U.S. Normal Battery Depletions _____	1

	Quantity	Rate
<b>U.S. Confirmed Malfunctions</b> _____	0	0.00%
Therapy Compromised _____	0	0.00%
Therapy Available _____	0	0.00%

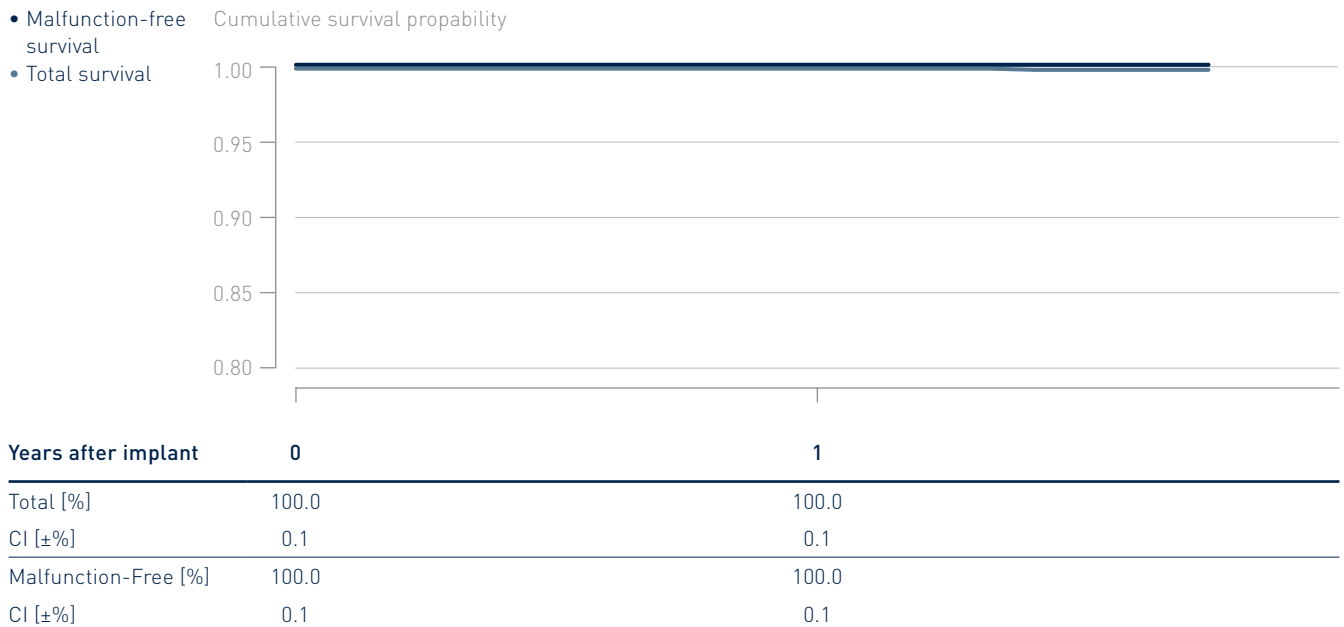


## 4.2 Dual-Chamber ICDs

### Iperia 7 DF4

Product Versions _____	DR-T
NBG Codes _____	WE-DDDR
Maximum Energy J _____	40
US Market Release _____	Dec 2015
CE Market Release _____	Dec 2014
Worldwide Distributed Devices _____	6840
Registered U.S. Implants _____	3270
Estimated Active U.S. Implants _____	3010
U.S. Normal Battery Depletions _____	1

	Quantity	Rate
<b>U.S. Confirmed Malfunctions</b> _____	0	0.00%
Therapy Compromised _____	0	0.00%
Therapy Available _____	0	0.00%

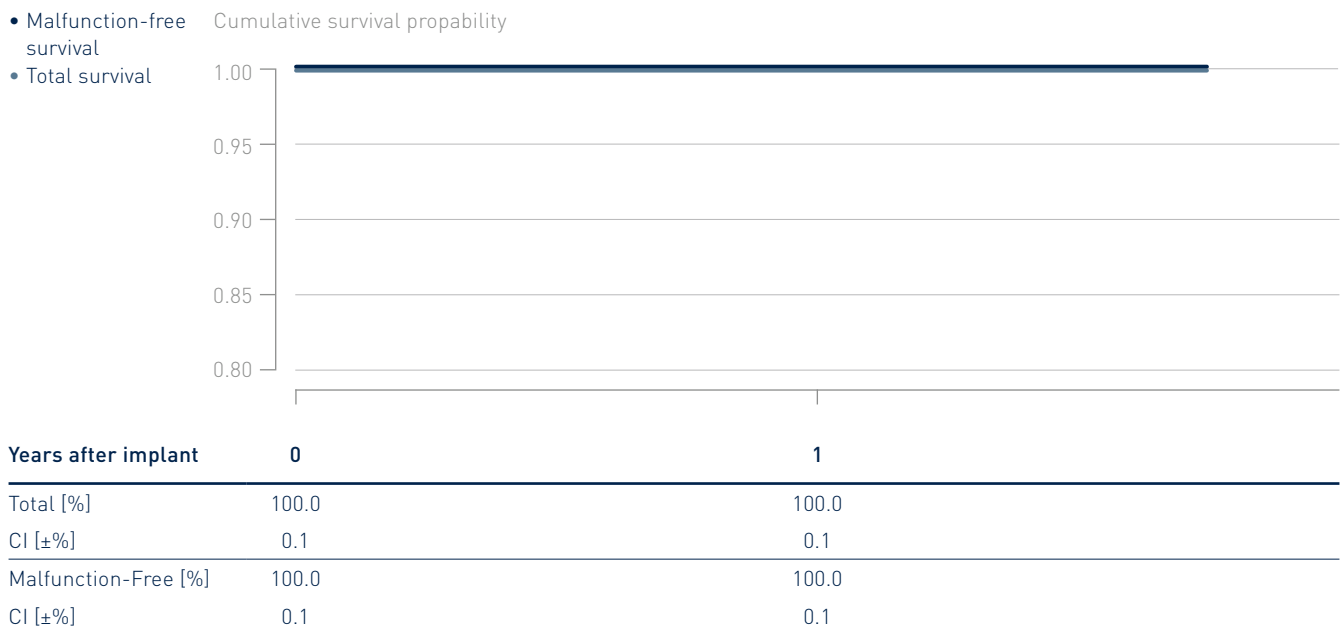


## 4.2 Dual-Chamber ICDs

### Iperia 7 DX

Product Versions _____	VR-T
NBG Codes _____	VVE-VDDR
Maximum Energy J _____	40
US Market Release _____	Dec 2015
CE Market Release _____	Dec 2014
Worldwide Distributed Devices _____	6 000
Registered U.S. Implants _____	4 010
Estimated Active U.S. Implants _____	3 710
U.S. Normal Battery Depletions _____	0

	Quantity	Rate
<b>U.S. Confirmed Malfunctions</b> _____	0	0.00%
Therapy Compromised _____	0	0.00%
Therapy Available _____	0	0.00%



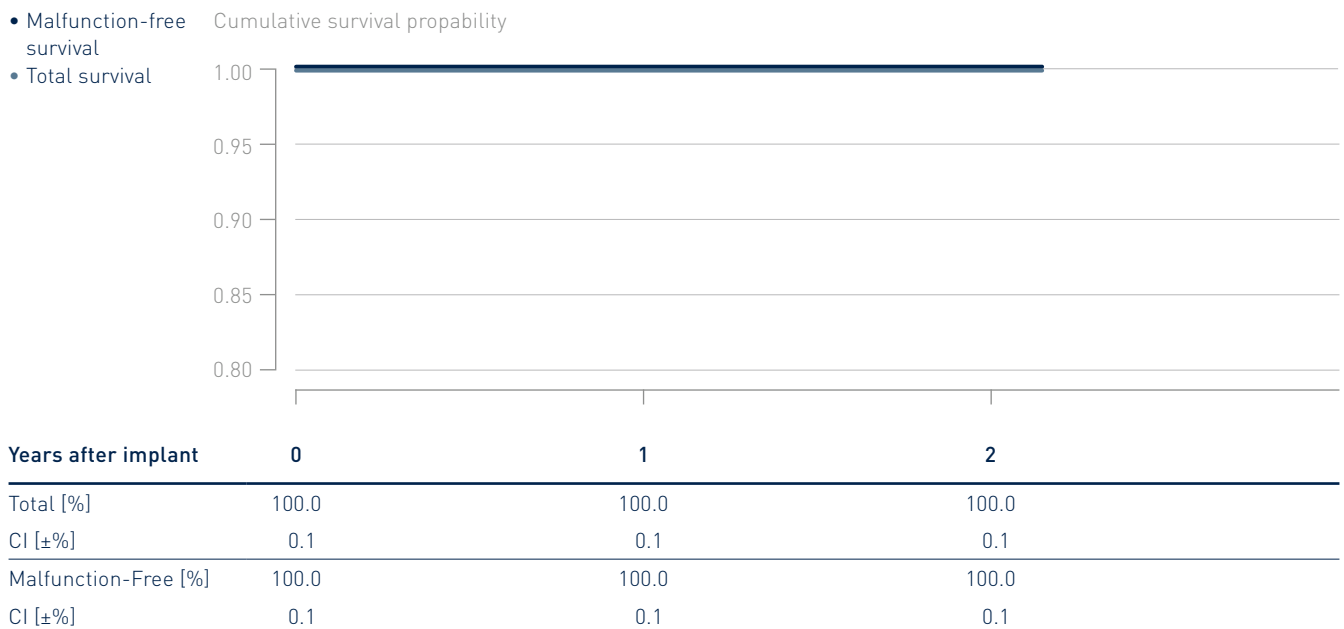


## 4.2 Dual-Chamber ICDs

### Itrevia 7

Product Versions _____	DR-T
NBG Codes _____	WE-DDDR
Maximum Energy J _____	40
US Market Release _____	Mar 2015
CE Market Release _____	Dec 2014
Worldwide Distributed Devices _____	2090
Registered U.S. Implants _____	1 160
Estimated Active U.S. Implants _____	1040
U.S. Normal Battery Depletions _____	0

	Quantity	Rate
<b>U.S. Confirmed Malfunctions</b> _____	0	0.00%
Therapy Compromised _____	0	0.00%
Therapy Available _____	0	0.00%

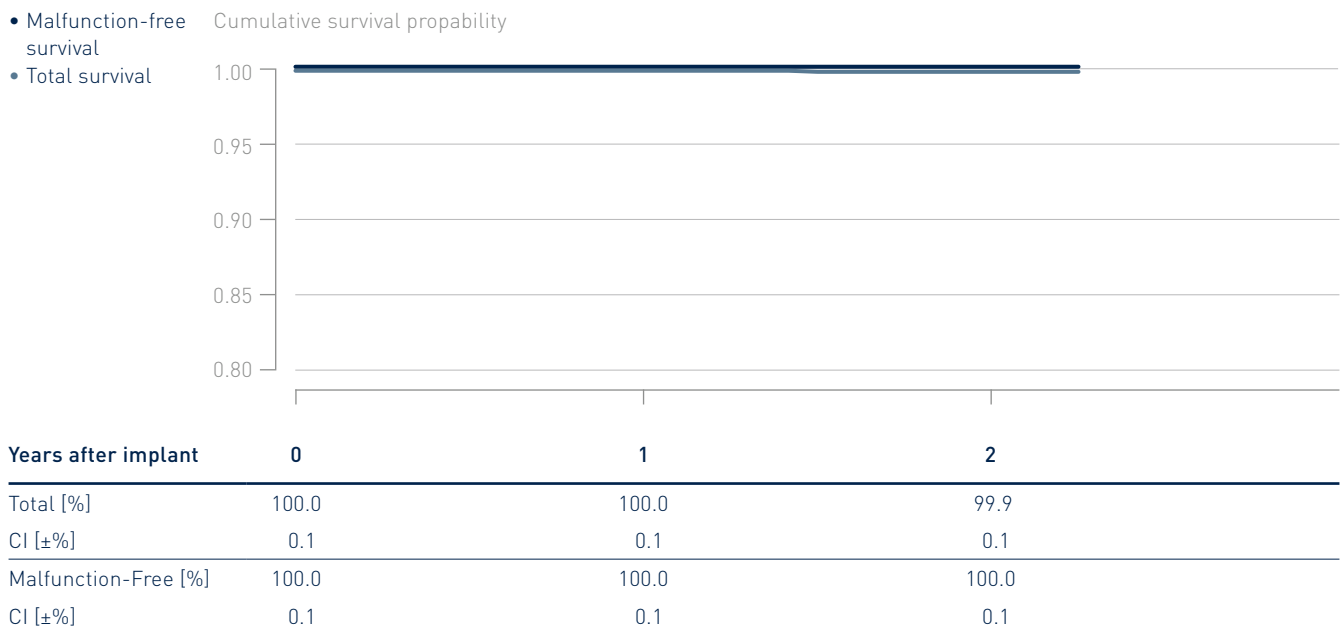


## 4.2 Dual-Chamber ICDs

### Itrevia 7 DF4

Product Versions _____	DR-T
NBG Codes _____	WE-DDDR
Maximum Energy J _____	40
US Market Release _____	Mar 2015
CE Market Release _____	Dec 2014
Worldwide Distributed Devices _____	2320
Registered U.S. Implants _____	1270
Estimated Active U.S. Implants _____	1100
U.S. Normal Battery Depletions _____	1

	Quantity	Rate
<b>U.S. Confirmed Malfunctions</b> _____	0	0.00%
Therapy Compromised _____	0	0.00%
Therapy Available _____	0	0.00%

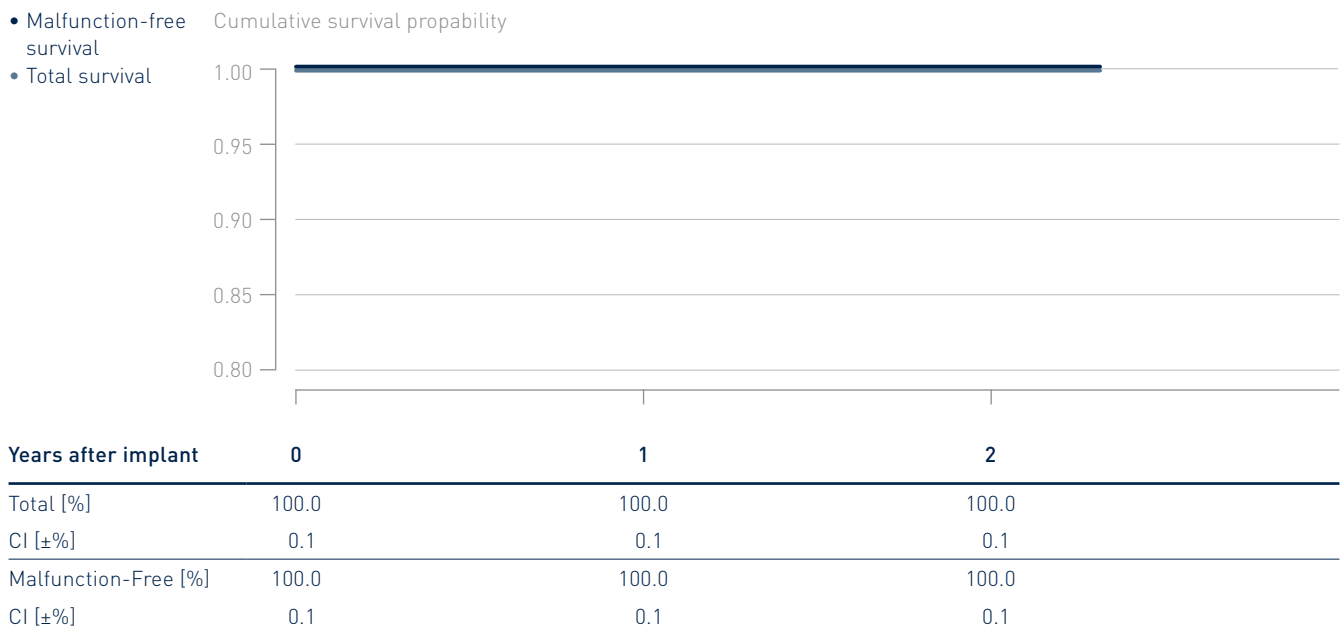


## 4.2 Dual-Chamber ICDs

### Itrevia 7 DX

Product Versions _____	VR-T
NBG Codes _____	VVE-VDDR
Maximum Energy J _____	40
US Market Release _____	Mar 2015
CE Market Release _____	Dec 2014
Worldwide Distributed Devices _____	2780
Registered U.S. Implants _____	1240
Estimated Active U.S. Implants _____	1080
U.S. Normal Battery Depletions _____	0

	Quantity	Rate
<b>U.S. Confirmed Malfunctions</b> _____	0	0.00%
Therapy Compromised _____	0	0.00%
Therapy Available _____	0	0.00%

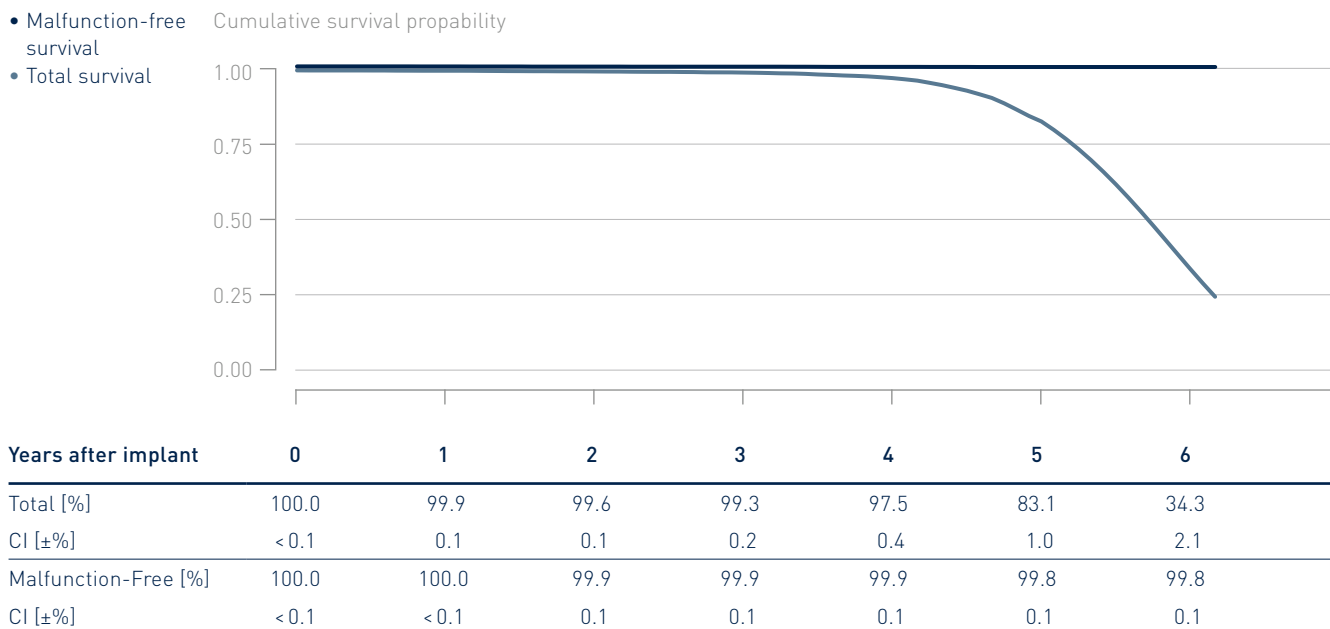


## 4.2 Dual-Chamber ICDs

### Lumax 340

Product Versions _____	DR, DR-T
NBG Codes _____	WE-DDDR
Maximum Energy J _____	40
US Market Release _____	Feb 2007
CE Market Release _____	Feb 2007
Worldwide Distributed Devices _____	26 500
Registered U.S. Implants _____	8 220
Estimated Active U.S. Implants _____	1 410
U.S. Normal Battery Depletions _____	1 851

	Quantity	Rate
<b>U.S. Confirmed Malfunctions</b> _____	10	0.12%
Therapy Compromised _____	8	0.10%
Therapy Available _____	2	0.02%

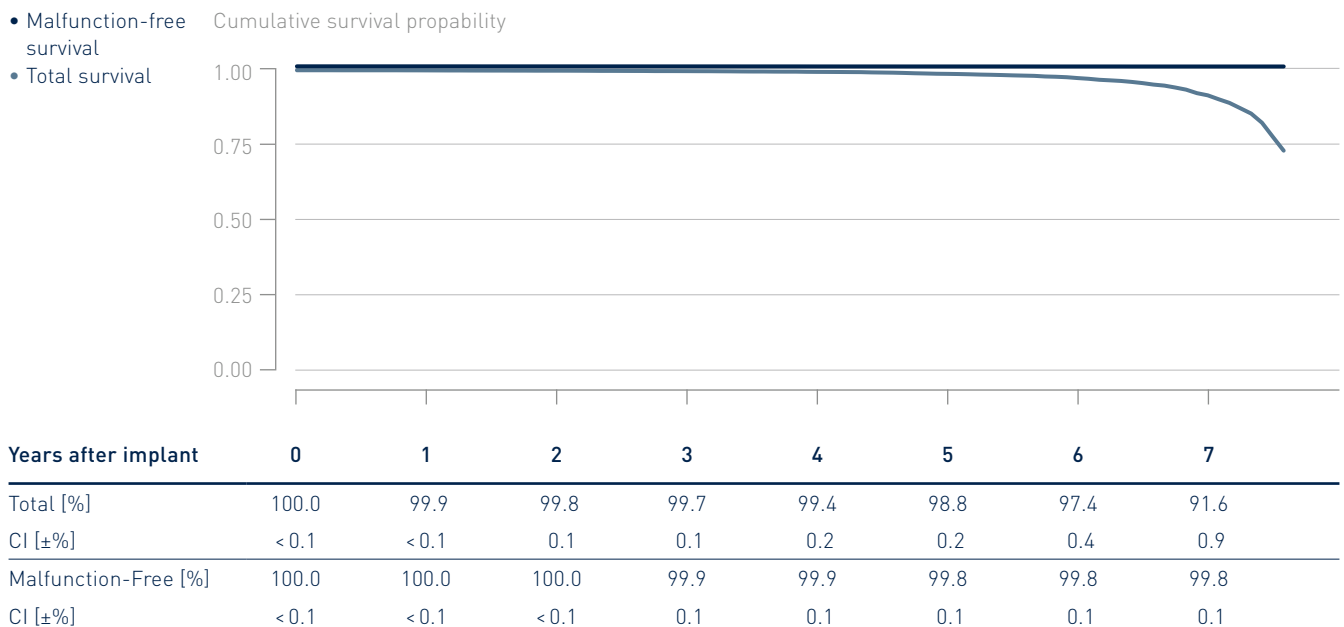


## 4.2 Dual-Chamber ICDs

### Lumax 540

Product Versions _____	DR-T
NBG Codes _____	WE-DDDR
Maximum Energy J _____	40
US Market Release _____	May 2009
CE Market Release _____	Jun 2008
Worldwide Distributed Devices _____	26 000
Registered U.S. Implants _____	11 600
Estimated Active U.S. Implants _____	6 540
U.S. Normal Battery Depletions _____	538

	Quantity	Rate
<b>U.S. Confirmed Malfunctions</b> _____	16	0.14%
Therapy Compromised _____	8	0.07%
Therapy Available _____	8	0.07%

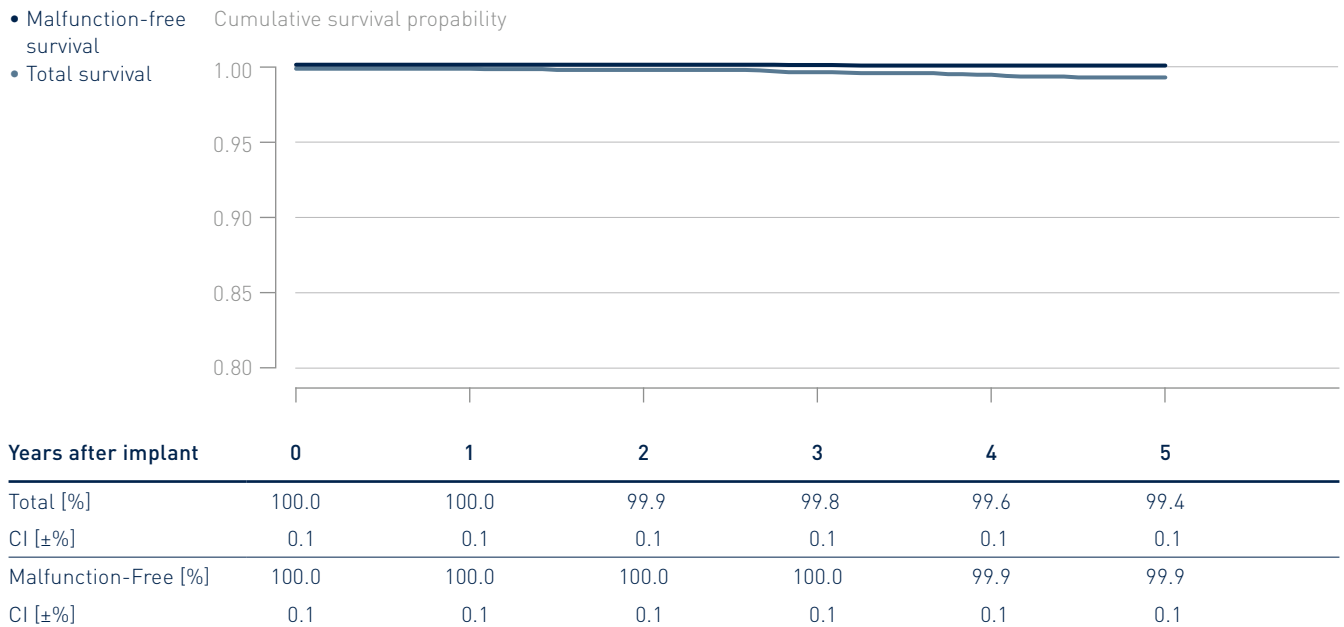


## 4.2 Dual-Chamber ICDs

### Lumax 740

Product Versions _____	DR-T
NBG Codes _____	WE-DDDR
Maximum Energy J _____	40
US Market Release _____	Sep 2012
CE Market Release _____	Apr 2012
Worldwide Distributed Devices _____	7 980
Registered U.S. Implants _____	3 820
Estimated Active U.S. Implants _____	2 780
U.S. Normal Battery Depletions _____	15

	Quantity	Rate
<b>U.S. Confirmed Malfunctions</b> _____	3	0.08%
Therapy Compromised _____	1	0.03%
Therapy Available _____	2	0.05%

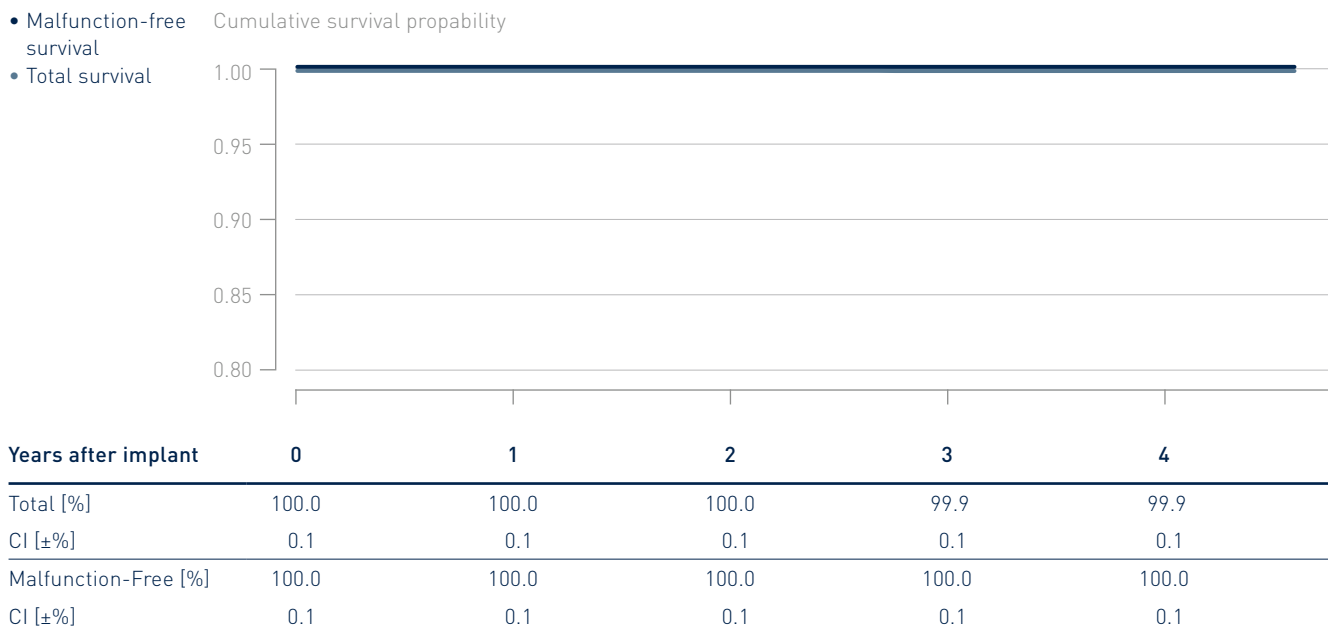


## 4.2 Dual-Chamber ICDs

### Lumax 740 DX

Product Versions _____	VR-T
NBG Codes _____	VVE-VDDR
Maximum Energy J _____	40
US Market Release _____	May 2012
CE Market Release _____	Nov 2011
Worldwide Distributed Devices _____	4 570
Registered U.S. Implants _____	2 230
Estimated Active U.S. Implants _____	1 650
U.S. Normal Battery Depletions _____	1

	Quantity	Rate
<b>U.S. Confirmed Malfunctions</b> _____	0	0.00%
Therapy Compromised _____	0	0.00%
Therapy Available _____	0	0.00%

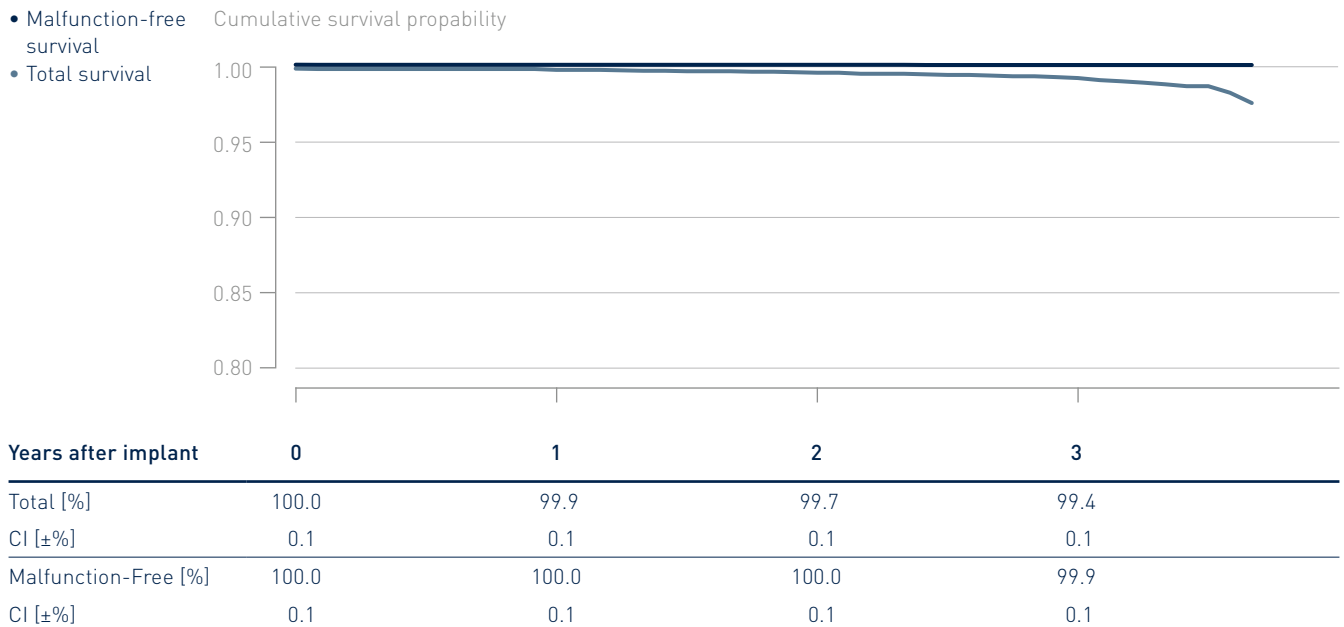


## 4.3 CRT ICDs

### Ilesto 7

Product Versions _____	HF-T
NBG Codes _____	WE-DDDRV
Maximum Energy J _____	40
US Market Release _____	Sep 2013
CE Market Release _____	Jun 2013
Worldwide Distributed Devices _____	5330
Registered U.S. Implants _____	3840
Estimated Active U.S. Implants _____	2660
U.S. Normal Battery Depletions _____	29

	Quantity	Rate
<b>U.S. Confirmed Malfunctions</b> _____	2	0.05%
Therapy Compromised _____	2	0.05%
Therapy Available _____	0	0.00%





### 4.3 CRT ICDs

## Ilesto 7 DF4

Product Versions _____	HF-T
NBG Codes _____	WE-DDDRV
Maximum Energy J _____	40
US Market Release _____	Jul 2014
CE Market Release _____	Jun 2013
Worldwide Distributed Devices _____	2410
Registered U.S. Implants _____	968
Estimated Active U.S. Implants _____	714
U.S. Normal Battery Depletions _____	2

	Quantity	Rate
<b>U.S. Confirmed Malfunctions</b> _____	1	0.10%
Therapy Compromised _____	1	0.10%
Therapy Available _____	0	0.00%



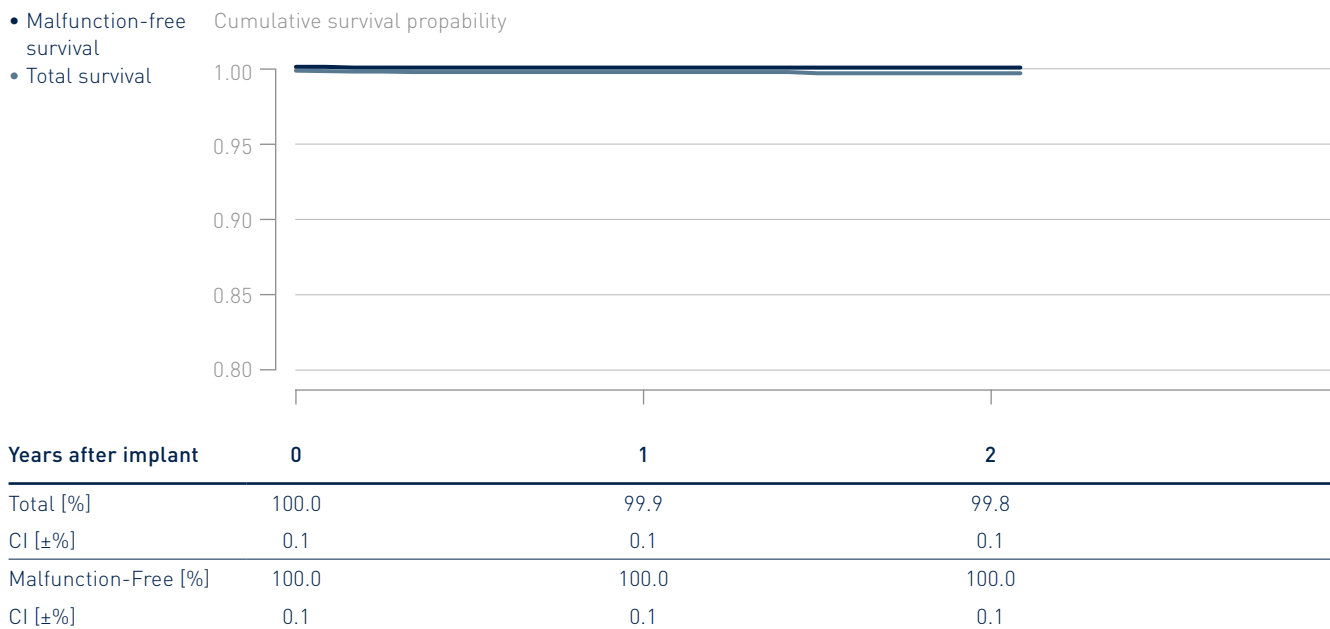
Years after implant	0	1	2
Total [%]	100.0	99.9	99.8
CI [±%]	0.1	0.1	0.1
Malfunction-Free [%]	100.0	100.0	99.9
CI [±%]	0.1	0.1	0.1

## 4.3 CRT ICDs

### Itrevia 7

Product Versions _____	HF-T
NBG Codes _____	VDE-DDDRV
Maximum Energy J _____	40
US Market Release _____	Mar 2015
CE Market Release _____	Dec 2014
Worldwide Distributed Devices _____	4 480
Registered U.S. Implants _____	2 760
Estimated Active U.S. Implants _____	2 330
U.S. Normal Battery Depletions _____	3

	Quantity	Rate
<b>U.S. Confirmed Malfunctions</b> _____	1	0.04%
Therapy Compromised _____	0	0.00%
Therapy Available _____	1	0.04%

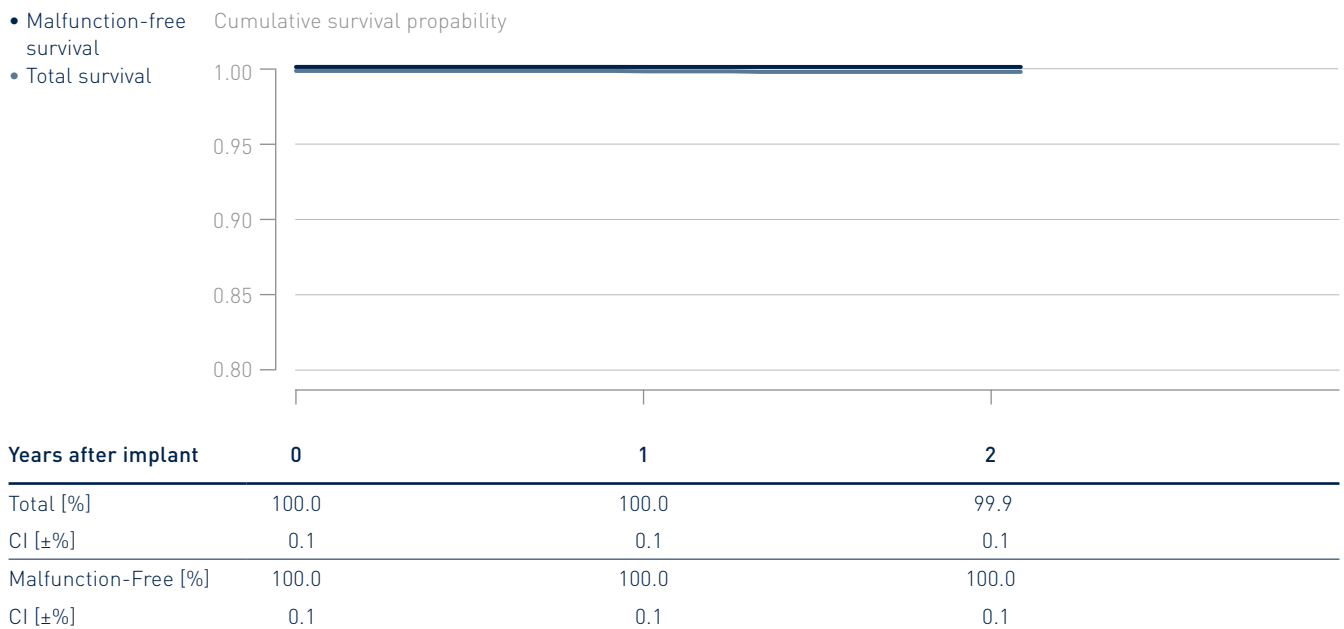


## 4.3 CRT ICDs

### Itrevia 7 DF4

Product Versions _____	HF-T, HF-T QP
NBG Codes _____	VDE-DDDRV
Maximum Energy J _____	40
US Market Release _____	Mar 2015
CE Market Release _____	Dec 2014
Worldwide Distributed Devices _____	5790
Registered U.S. Implants _____	3120
Estimated Active U.S. Implants _____	2600
U.S. Normal Battery Depletions _____	1

	Quantity	Rate
<b>U.S. Confirmed Malfunctions</b> _____	1	0.03%
Therapy Compromised _____	0	0.00%
Therapy Available _____	1	0.03%

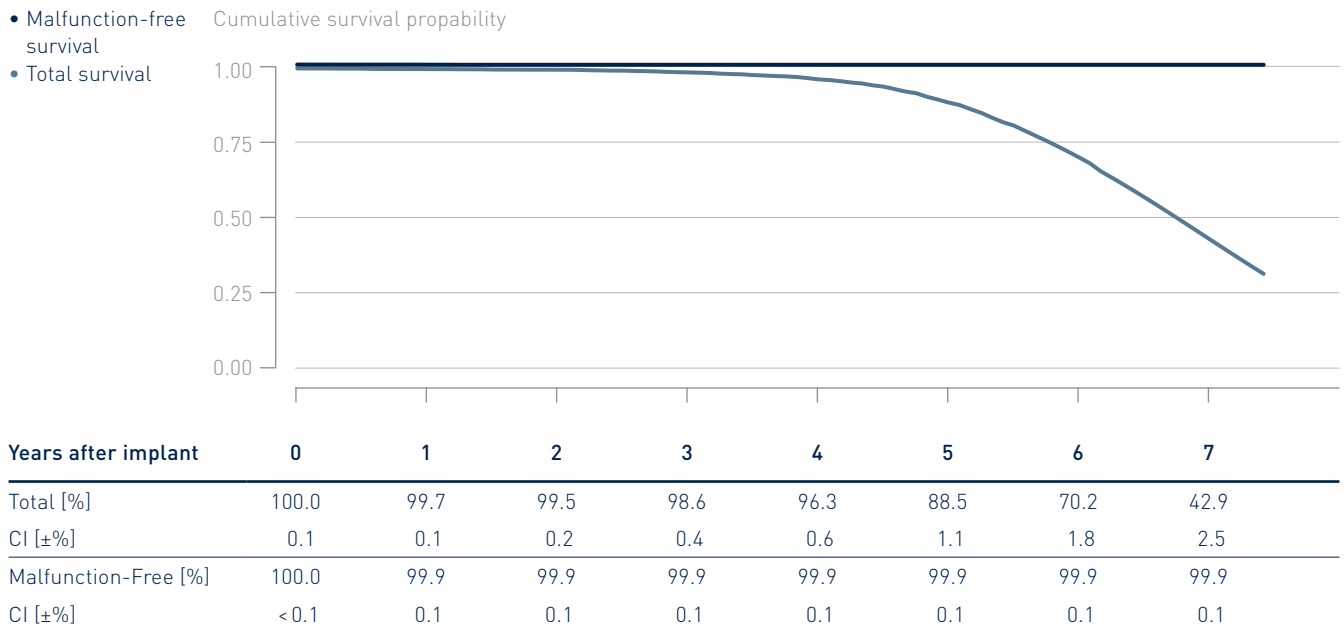


## 4.3 CRT ICDs

### Lumax 340

Product Versions _____	HF, HF-T
NBG Codes _____	VVE-DDDRV
Maximum Energy J _____	40
US Market Release _____	Feb 2007
CE Market Release _____	Dec 2006
Worldwide Distributed Devices _____	20 800
Registered U.S. Implants _____	5 310
Estimated Active U.S. Implants _____	805
U.S. Normal Battery Depletions _____	1 075

	Quantity	Rate
<b>U.S. Confirmed Malfunctions</b> _____	4	0.08%
Therapy Compromised _____	2	0.04%
Therapy Available _____	2	0.04%

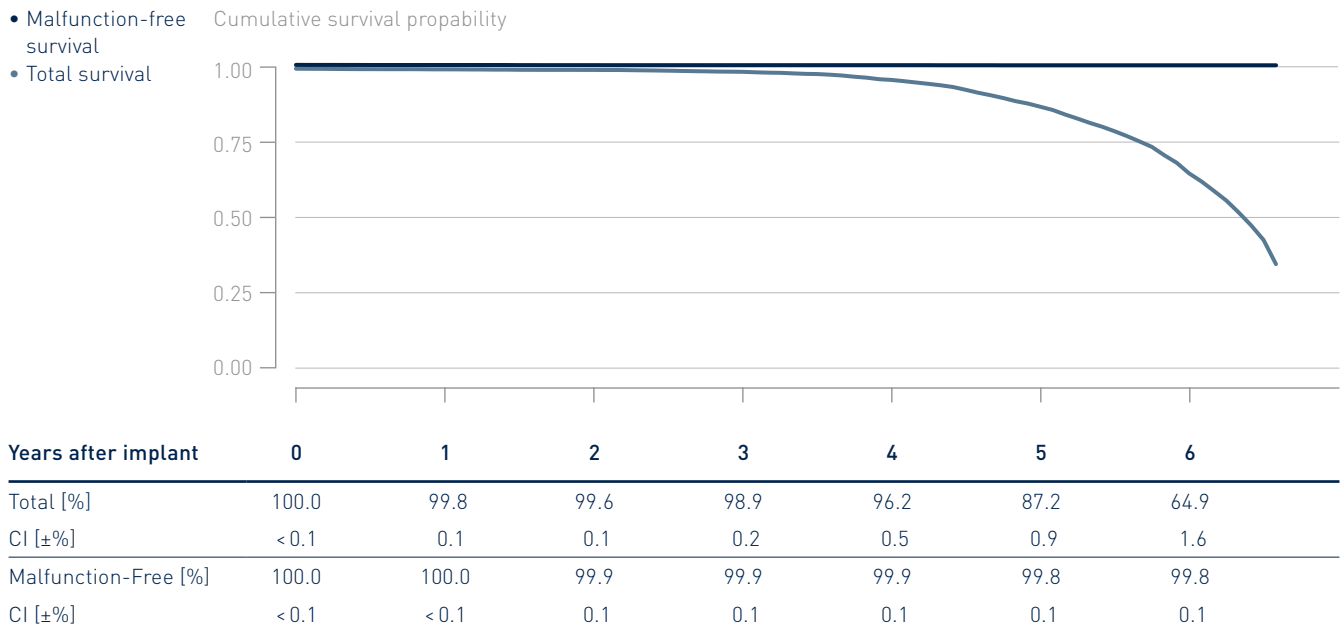


## 4.3 CRT ICDs

### Lumax 540

Product Versions _____	HF-T
NBG Codes _____	VVE-DDDRV
Maximum Energy J _____	40
US Market Release _____	May 2009
CE Market Release _____	Jun 2008
Worldwide Distributed Devices _____	24 800
Registered U.S. Implants _____	8 660
Estimated Active U.S. Implants _____	2 450
U.S. Normal Battery Depletions _____	1 721

	Quantity	Rate
<b>U.S. Confirmed Malfunctions</b> _____	11	0.13%
Therapy Compromised _____	5	0.06%
Therapy Available _____	6	0.07%

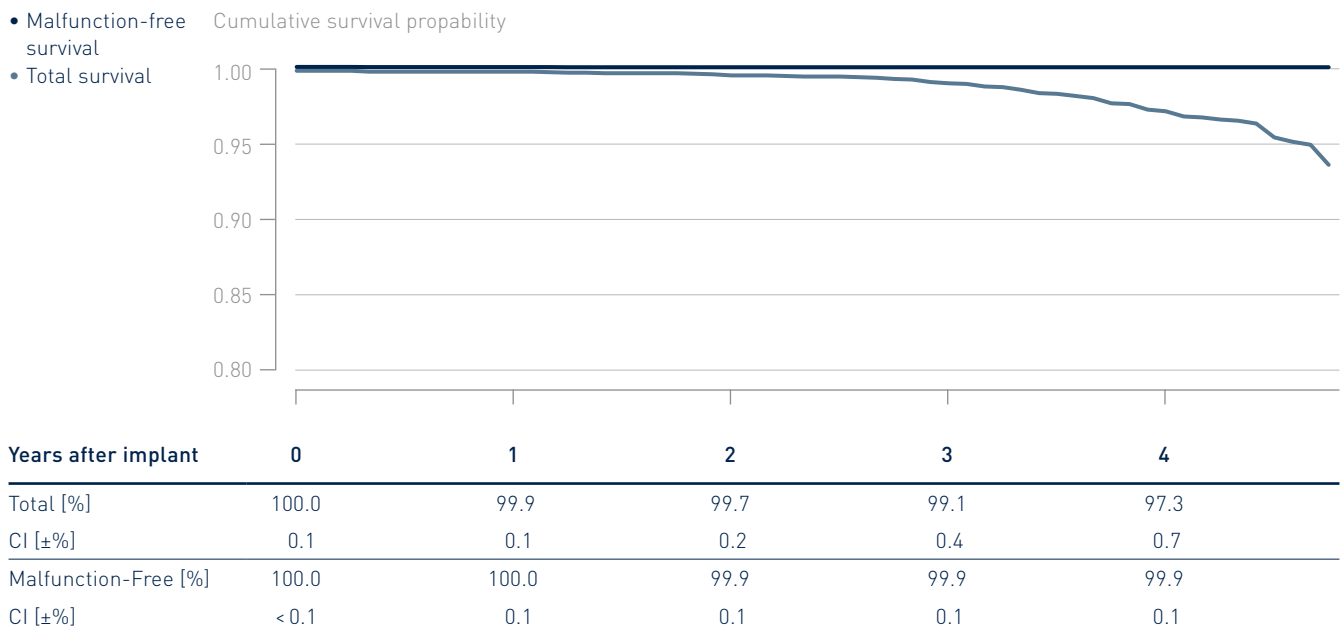


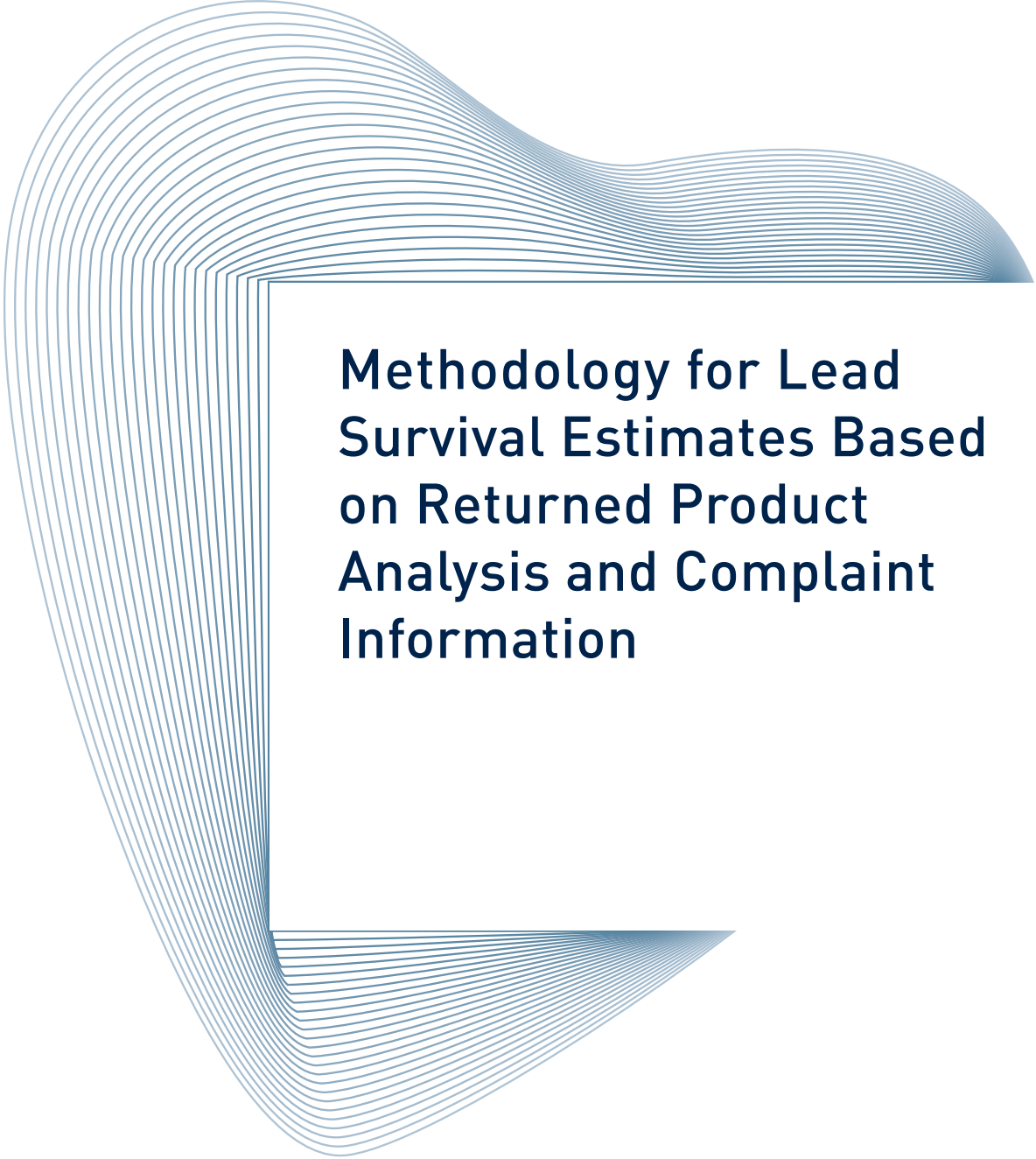
## 4.3 CRT ICDs

### Lumax 740

Product Versions _____	HF-T
NBG Codes _____	WE-DDDRV
Maximum Energy J _____	40
US Market Release _____	Sep 2012
CE Market Release _____	Apr 2012
Worldwide Distributed Devices _____	7 050
Registered U.S. Implants _____	3 410
Estimated Active U.S. Implants _____	2 000
U.S. Normal Battery Depletions _____	87

	Quantity	Rate
<b>U.S. Confirmed Malfunctions</b> _____	2	0.06%
Therapy Compromised _____	0	0.00%
Therapy Available _____	2	0.06%





**Methodology for Lead  
Survival Estimates Based  
on Returned Product  
Analysis and Complaint  
Information**

## 5. Methodology for Lead Survival Estimates Based on Returned Product Analysis and Complaint Information

### 5.1 Cumulative Lead Survival Probability

This report has been prepared in accordance with ISO 5841-2:2014(E) applying actuarial analysis for the calculation of lead survival probabilities based on returned product analysis. Lead Survival Estimates given in this report are considered to be generally representative for worldwide performance of BIOTRONIK's pacing and ICD leads.

The Cumulative Survival Probability for leads is an estimate based on the percentage of devices that remain implanted and in service at various points of the product's service time in the absence of concurrent events such as morbidity. The Lead Survival Estimate over time is displayed in cumulative survival curves (Kaplan-Meier). The product's performance is evaluated in discrete one-month intervals. The survival probability for each month is given by the number of leads that remain implanted and active through this month divided by the number of leads that were actively implanted at the start of the interval. The cumulative survival probability for any period is given by multiplying all survival probabilities of previous months.

At the time of implantation, the cumulative lead survival probability is 100 %. Even though they are analyzed as part of our quality system monitoring, leads that are found to be out of specification prior to or during the implantation procedure are removed from the statistics as they do not contribute to a patient's risk of being subject to a device malfunction or replacement during the device's

service time. Because this report is provided to communicate information regarding product performance, it does not include data regarding medical complications such as erosion, infection or diaphragmatic stimulation.

Compared to pacemakers and ICDs, a considerable portion of leads with observed or suspected failures are not explanted and returned for laboratory analysis. This is primarily because it is much more difficult and risky to the patient to remove chronically implanted leads. In order to report a conservative measure of lead performance, unconfirmed reports of lead complications are therefore also included in the calculation of a lead's survival probability.

In order to be classified as a qualifying lead complication and thus contributing to the survival probability calculation the same way as a confirmed malfunction, the reported anomaly must have occurred at least 30 days post-implant. Otherwise, factors not related to the lead would likely be the root cause of the observed anomaly, (i.e., patientspecific conditions or implant techniques).

In order to minimize the effect of underreporting of lead malfunctions, BIOTRONIK additionally includes the long term performance post market study data if available.

### 5.2 Lead Data Acquisition

The following sections of this report provide performance data on BIOTRONIK's pacing and ICD leads and are based on the observation of BIOTRONIK's U.S. products through



review of our device registration and tracking systems, and through the analyses of both, returned leads as well as reports of lead complications of non-returned leads. The prospective data from BIOTRONIK's post-approval studies is presented separately in chapters 7 and 8.

In order to be included in the population under observation a lead must be registered and implanted for at least one calendar day. The cut-off date for the data included in this report is December 31, 2017. The sample sizes of U.S. leads that are implanted and remain active as well as the total number of products distributed worldwide are provided for each lead family in this report.

Survival estimates are calculated for lead families having accumulated at least 10,000 cumulative implant months. Products no longer being distributed with less than 500 active implants may be excluded from this report.

ISO 5841-2:2014(E) describes a method for adjusting the device survival probability for underreported malfunctions and unrelated patient deaths that result in an overestimation of the device's survival probability. The factor for U.S. underreporting of malfunctions of pacing and ICD leads is unknown as currently no systematic data is available that reveals this factor. Consequently, this factor remains unaccounted for in this report. Patient mortality is artificially elevated if the reported rate from our registration and tracking systems is below the annual mortality in clinical studies.

### 5.3 Returned Product Analysis

Information for the lead sections of this report is taken from the analysis of returned products. The outcome of this analysis is the basis for the final classification of the cause for explantation of the lead. Additionally, reports of lead complications not confirmed by laboratory analysis are taken into consideration. Both, leads

with confirmed malfunctions as well as unconfirmed lead complications decrease a lead's total survival probability.

Every lead and lead segment returned to BIOTRONIK is analyzed per our internal procedures and classified as within specification, damaged by external causes, or out of specification (malfunction) while implanted and in service.

Those leads found to be out of specification, are divided into the following categories as proposed by AdvaMed and ISO 5841-2:2014(E):

#### **Conductor Fracture**

Conductor break with complete or intermittent loss of continuity that could interrupt current flow (e.g. fractured conductors)

#### **Crimps, Welds and Bonds**

Any interruption in the conductor or lead body associated with a point of connection

#### **Insulation Breach**

Any lead insulation breach

#### **Other**

Includes specific proprietary lead mechanical attributes.

## 5.4 Lead Complications

A considerable portion of leads with observed or suspected failures are not explanted and returned for laboratory analysis. A clinical observation is considered a lead complication if a complaint, associated with at least one of the clinical manifestations listed below, is reported and where the non-returned lead is:

- Verified by medical records to have been implanted and in-service, and
- Reported to have been removed from service,
- Modified surgically or electrically to remedy the malfunction, or
- Left in service based on medical judgment.

Complications for leads implanted greater than 30 days are reported as Qualifying lead complications, whereas complications occurring during the first 30 days are reported as Acute Lead Observations.

In accordance with the latest AdvaMed guidelines and ISO 5841-2:2014(E) such clinical observations are classified in the following categories:

#### **Failure to Capture**

Intermittent or complete failure to achieve cardiac stimulation at programmed output delivered outside of the cardiac refractory period. Sudden and significant increase in the pacing threshold value at which 2:1 safety margin can no longer be achieved.

#### **Failure to Sense**

Intermittent or complete loss of sensing or failure to detect intended intrinsic cardiac signals during non-refractory periods at programmed sensitivity settings

#### **Oversensing**

Misinterpretation of cardiac or non-cardiac events as cardiac depolarization

#### **Abnormal Pacing Impedance**

Pacing impedance is typically considered abnormal if a measurement is < 200 ohms or > 3000 ohms

#### **Abnormal Defibrillation Impedance**

Defibrillation impedance is typically considered abnormal if a measurement is < 20 ohms or > 200 ohms. Including high or low shock impedance when attempting to deliver a shock

#### **Insulation Breach**

A disruption or break in lead insulation observed visually, electrically, or radiographically

#### **Conductor Fracture**

A mechanical break within the lead conductor observed visually, electrically, or radiographically

### **Lead Dislodgement**

Radiographic, electrical or electrocardiographic evidence of electrode displacement from the original implant site or electrode displacement that adversely affects pacing and/or lead performance

### **Extracardiac Stimulation**

Clinical observation of inadvertent nerve/muscle stimulation other than cardiac muscle

### **Cardiac Perforation**

Penetration of the lead tip through the myocardium, clinically suspected and confirmed by chest x-ray, fluoroscopy, echocardiogram, or visual observation, which results in clinical symptoms, typically degradation of pacing/ICD lead electrical performance, chest pain, and tamponade

### **Other**

Specific proprietary attributes of a lead such as sensors which affect a lead's ability to perform as designed or remain in service

In order to report a conservative measure of lead performance, qualifying lead complications are also included in the calculation of a lead's survival probability.

Acute Lead Observations may be subject to a number of factors, including patient-specific anatomy, clinical conditions and/or varying implant conditions/techniques. Therefore, acute lead observations are not included in lead survival probability.

## 5.5 Lead Product Performance Graphs and Data

The lead performance information is shown in each section in alphabetical order and by product name.

For each lead, the report provides:

- Product versions that contribute to the evaluation
- Types of leads
- Polarity
- Steroid
- CE and U.S. market release dates
- Worldwide quantity of products that have been distributed
- U.S. registered implants (number of products included in this report)
- Estimated active U.S. implants
- Number of U.S. qualifying complications
- Number of U.S. acute lead observations
- Number of U.S. confirmed malfunctions
- Number of U.S. leads or partial leads returned post-implant for analysis with a complaint

The survival plots provide:

### **Total Survival**

The cumulative survival probability free of component malfunction or unconfirmed observation of an anomaly. Removals for clinical reasons unrelated to the device's performance (i.e., infections) are excluded.

Products or subgroups of products may become subject to advisory notifications that can significantly impact the overall product performance.

Current advisories are listed in chapter 9 of this report, however to date, BIOTRONIK has never had a pacing or ICD lead safety advisory notification, therefore no summary of lead advisories is provided.

The cumulative survival data and the 95% confidence intervals according to the Greenwood's Formula<sup>1</sup> are shown in numerical form for the observed sample population.

<sup>1</sup> Greenwood, M. The natural duration of cancer. Reports on Public Health and Medical Subjects 33, London: Her Majesty's Stationery Office, 1-26, 1926.

# Performance of BIOTRONIK Leads

Based on Returned Products  
and Complaint Data Information

6.1 Pacing Leads

6.2 ICD Leads

6.3 CRT Leads



## 6.1 Pacing Leads

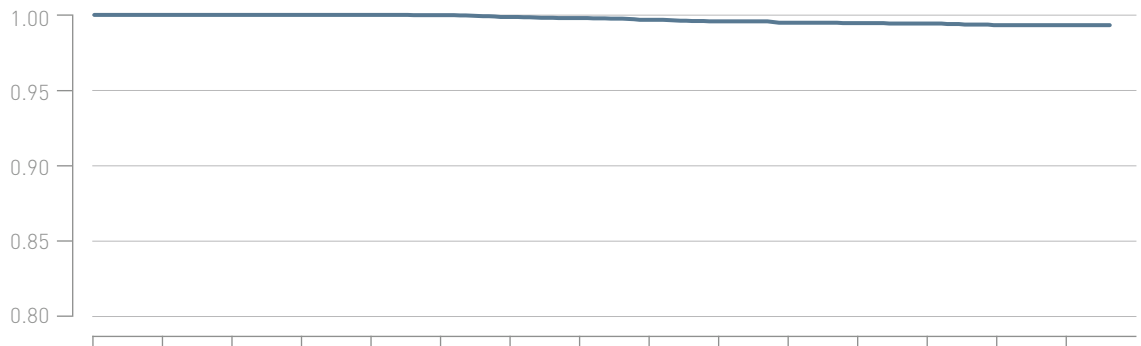
### Arox

Product Versions _____	53-BP, 60-BP
Lead Type _____	straight, passive fixation
Polarity _____	bipolar
Steroid _____	no
U.S. Market Release _____	Sep 2002
CE Market Release _____	Jan 2002
Worldwide Distributed Devices _____	36 500
Registered U.S. Implants _____	8 550
Estimated Active U.S. Implants _____	4 480
U.S. Total Returned _____	19

	Quantity	Rate		Quantity	Rate
<b>U.S. Qualifying Complications</b> _____	31	0.36%	<b>U.S. Confirmed Malfunctions</b> _____	1	0.01%
Abnormal pacing impedance _____	9	0.11%	Insulation Breach _____	1	0.01%
Conductor fracture _____	2	0.02%			
Failure to capture _____	15	0.18%	<b>U.S. Acute Lead Observations</b> _____	2	0.02%
Insulation breach _____	2	0.02%	Lead dislodgement _____	2	0.02%
Oversensing _____	1	0.01%			
Other _____	2	0.02%			

• Total survival

Cumulative survival probability



Years after implant	0	1	2	3	4	5	6	7	8	9	10	11	12	13	14
Total [%]	100.0	100.0	100.0	100.0	100.0	100.0	99.9	99.8	99.7	99.5	99.4	99.4	99.4	99.3	99.3
CI [±%]	<0.1	<0.1	<0.1	<0.1	<0.1	<0.1	0.1	0.1	0.2	0.2	0.2	0.2	0.2	0.3	0.3

## 6.1 Pacing Leads

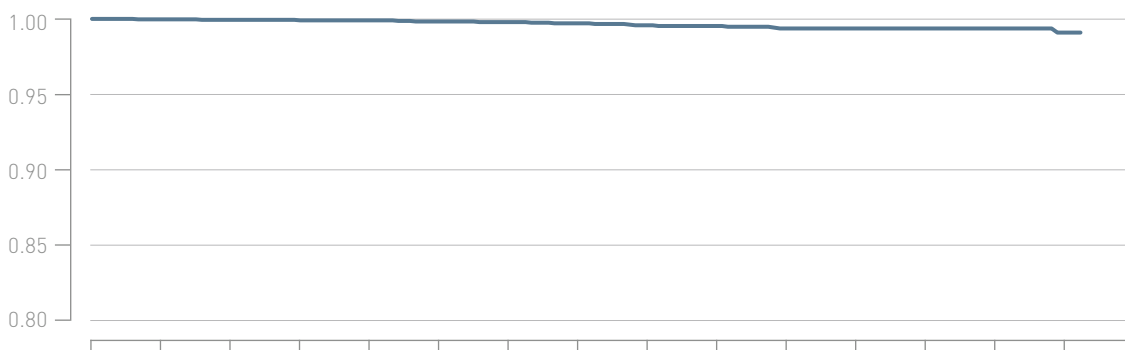
### Arox J

Product Versions _____	45-JBP, 53-JBP
Lead Type _____	J-shape, passive fixation
Polarity _____	bipolar
Steroid _____	no
U.S. Market Release _____	Sep 2002
CE Market Release _____	Jan 2002
Worldwide Distributed Devices _____	8 760
Registered U.S. Implants _____	3 470
Estimated Active U.S. Implants _____	2 080
U.S. Total Returned _____	8

	Quantity	Rate		Quantity	Rate
<b>U.S. Qualifying Complications</b> _____	16	0.46%	<b>U.S. Confirmed Malfunctions</b> _____	0	0.00%
Abnormal pacing impedance _____	2	0.06%			
Conductor fracture _____	1	0.03%	<b>U.S. Acute Lead Observations</b> _____	0	0.00%
Failure to capture _____	10	0.29%			
Lead dislodgement _____	2	0.06%			
Oversensing _____	1	0.03%			

• Total survival

Cumulative survival probability



Years after implant	0	1	2	3	4	5	6	7	8	9	10	11	12	13	14
Total [%]	100.0	100.0	99.9	99.9	99.9	99.8	99.8	99.7	99.6	99.5	99.3	99.3	99.3	99.3	99.0
CI [±%]	<0.1	0.1	0.1	0.1	0.1	0.2	0.2	0.2	0.3	0.3	0.3	0.3	0.3	0.3	0.7

## 6.1 Pacing Leads

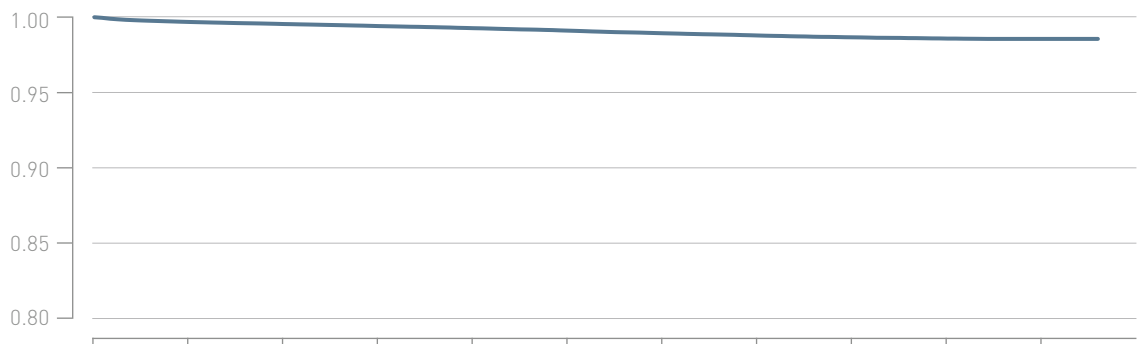
### Dextrus

Product Versions _____	4135, 4136, 4137
Lead Type _____	straight, active fixation
Polarity _____	bipolar
Steroid _____	yes
U.S. Market Release _____	Apr 2007
CE Market Release _____	May 2007
Worldwide Distributed Devices _____	487 000
Registered U.S. Implants _____	384 000
Estimated Active U.S. Implants _____	269 000
U.S. Total Returned _____	2 184

	Quantity	Rate		Quantity	Rate
<b>U.S. Qualifying Complications</b> _____	2806	0.73%	<b>U.S. Confirmed Malfunctions</b> _____	292	0.08%
Abnormal pacing impedance _____	211	0.05%	Conductor Fracture _____	115	0.03%
Cardiac perforation _____	24	0.01%	Insulation Breach _____	172	0.04%
Conductor fracture _____	79	0.02%	Other _____	5	0.00%
Extracardiac stimulation _____	17	0.00%	<b>U.S. Acute Lead Observations</b> _____	1 513	0.39%
Failure to capture _____	781	0.20%	Abnormal pacing impedance _____	27	0.01%
Failure to sense _____	112	0.03%	Cardiac perforation _____	63	0.02%
Insulation breach _____	65	0.02%	Extracardiac stimulation _____	15	0.00%
Lead dislodgement _____	481	0.13%	Failure to capture _____	205	0.05%
Oversensing _____	524	0.14%	Failure to sense _____	56	0.01%
Other _____	512	0.13%	Insulation breach _____	9	0.00%
			Lead dislodgement _____	610	0.16%
			Oversensing _____	38	0.01%
			Other _____	490	0.13%

• Total survival

Cumulative survival probability



Years after implant	0	1	2	3	4	5	6	7	8	9	10
Total [%]	100.0	99.7	99.5	99.4	99.3	99.1	98.9	98.8	98.6	98.5	98.5
CI [±%]	<0.1	<0.1	<0.1	<0.1	<0.1	<0.1	<0.1	<0.1	0.1	0.1	0.1

## 6.1 Pacing Leads

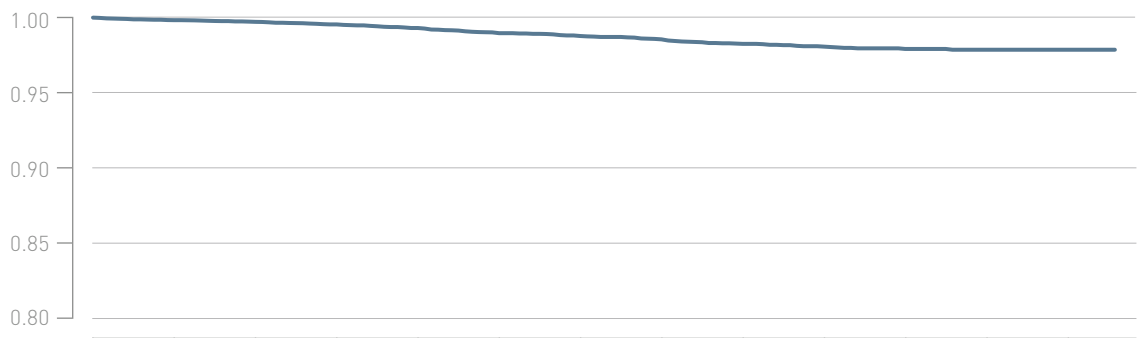
### Selox JT

Product Versions _____	45, 53
Lead Type _____	J-shape, passive fixation
Polarity _____	bipolar
Steroid _____	yes
U.S. Market Release _____	Nov 2004
CE Market Release _____	Nov 2004
Worldwide Distributed Devices _____	148 000
Registered U.S. Implants _____	16 400
Estimated Active U.S. Implants _____	12 300
U.S. Total Returned _____	109

	Quantity	Rate		Quantity	Rate
<b>U.S. Qualifying Complications</b> _____	183	1.12%	<b>U.S. Confirmed Malfunctions</b> _____	8	0.05%
Abnormal pacing impedance _____	25	0.15%	Insulation Breach _____	8	0.05%
Cardiac perforation _____	1	0.01%			
Conductor fracture _____	7	0.04%	<b>U.S. Acute Lead Observations</b> _____	44	0.27%
Extracardiac stimulation _____	1	0.01%	Failure to capture _____	8	0.05%
Failure to capture _____	84	0.52%	Lead dislodgement _____	33	0.20%
Failure to sense _____	7	0.04%	Other _____	3	0.02%
Insulation breach _____	8	0.05%			
Lead dislodgement _____	30	0.18%			
Oversensing _____	3	0.02%			
Other _____	17	0.10%			

• Total survival

Cumulative survival propability



Years after implant	0	1	2	3	4	5	6	7	8	9	10	11	12
Total [%]	100.0	99.8	99.7	99.5	99.3	99.0	98.8	98.5	98.2	98.0	97.9	97.8	97.8
CI [±%]	<0.1	0.1	0.1	0.1	0.1	0.2	0.2	0.2	0.3	0.3	0.3	0.3	0.3

## 6.1 Pacing Leads

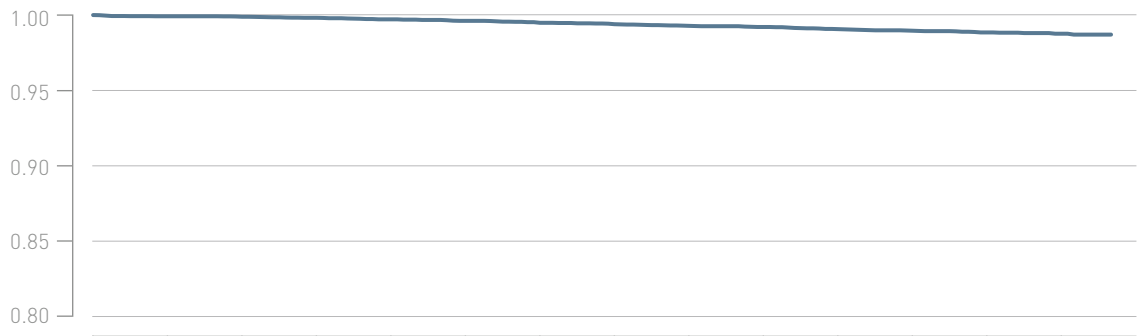
### Selox SR

Product Versions _____	45, 53, 60
Lead Type _____	straight, active fixation
Polarity _____	bipolar
Steroid _____	yes
U.S. Market Release _____	Mar 2004
CE Market Release _____	Feb 2004
Worldwide Distributed Devices _____	172 000
Registered U.S. Implants _____	14 400
Estimated Active U.S. Implants _____	7 150
U.S. Total Returned _____	61

	Quantity	Rate		Quantity	Rate
<b>U.S. Qualifying Complications</b> _____	99	0.69%	<b>U.S. Confirmed Malfunctions</b> _____	11	0.08%
Abnormal pacing impedance _____	4	0.03%	Insulation Breach _____	11	0.08%
Conductor fracture _____	8	0.06%			
Extracardiac stimulation _____	2	0.01%	<b>U.S. Acute Lead Observations</b> _____	21	0.15%
Failure to capture _____	39	0.27%	Cardiac perforation _____	1	0.01%
Failure to sense _____	1	0.01%	Failure to capture _____	11	0.08%
Insulation breach _____	6	0.04%	Insulation breach _____	1	0.01%
Lead dislodgement _____	14	0.10%	Lead dislodgement _____	8	0.06%
Oversensing _____	11	0.08%			
Other _____	14	0.10%			

• Total survival

Cumulative survival probability



Years after implant	0	1	2	3	4	5	6	7	8	9	10	11	12	13
Total [%]	100.0	99.9	99.9	99.8	99.7	99.6	99.5	99.4	99.3	99.2	99.0	98.9	98.8	98.7
CI [±%]	<0.1	<0.1	0.1	0.1	0.1	0.1	0.1	0.2	0.2	0.2	0.2	0.2	0.2	0.3



## 6.1 Pacing Leads

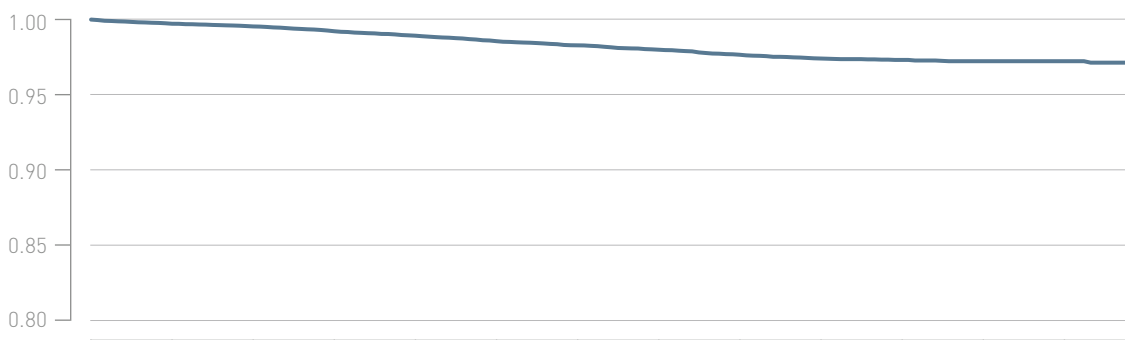
### Selox ST

Product Versions _____	53, 60
Lead Type _____	straight, passive fixation
Polarity _____	bipolar
Steroid _____	yes
U.S. Market Release _____	Nov 2004
CE Market Release _____	Nov 2004
Worldwide Distributed Devices _____	373 000
Registered U.S. Implants _____	31 600
Estimated Active U.S. Implants _____	22 700
U.S. Total Returned _____	159

	Quantity	Rate		Quantity	Rate
<b>U.S. Qualifying Complications</b> _____	489	1.55%	<b>U.S. Confirmed Malfunctions</b> _____	14	0.04%
Abnormal pacing impedance _____	106	0.34%	Conductor Fracture _____	1	0.00%
Cardiac perforation _____	3	0.01%	Crimps, Welds and Bonds _____	1	0.00%
Conductor fracture _____	50	0.16%	Insulation Breach _____	12	0.04%
Extracardiac stimulation _____	7	0.02%			
Failure to capture _____	238	0.76%	<b>U.S. Acute Lead Observations</b> _____	46	0.15%
Failure to sense _____	1	0.00%	Abnormal pacing impedance _____	1	0.00%
Insulation breach _____	34	0.11%	Failure to capture _____	19	0.06%
Lead dislodgement _____	20	0.06%	Lead dislodgement _____	20	0.06%
Oversensing _____	8	0.03%	Other _____	6	0.02%
Other _____	22	0.07%			

• Total survival

Cumulative survival probability



Years after implant	0	1	2	3	4	5	6	7	8	9	10	11	12
Total [%]	100.0	99.7	99.5	99.2	98.9	98.5	98.3	98.0	97.6	97.4	97.3	97.2	97.2
CI [±%]	<0.1	0.1	0.1	0.1	0.1	0.2	0.2	0.2	0.2	0.2	0.3	0.3	0.3

## 6.1 Pacing Leads

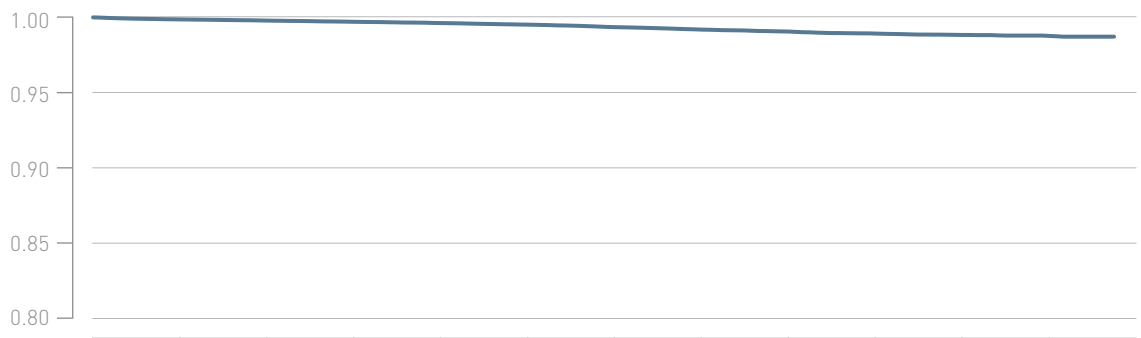
### Setrox S

Product Versions _____	45, 53, 60
Lead Type _____	straight, active fixation
Polarity _____	bipolar
Steroid _____	yes
U.S. Market Release _____	Apr 2006
CE Market Release _____	Mar 2006
Worldwide Distributed Devices _____	665 000
Registered U.S. Implants _____	245 000
Estimated Active U.S. Implants _____	20 100
U.S. Total Returned _____	1 483

	Quantity	Rate		Quantity	Rate
<b>U.S. Qualifying Complications</b> _____	1 146	0.47%	<b>U.S. Confirmed Malfunctions</b> _____	134	0.05%
Abnormal pacing impedance _____	82	0.03%	Conductor Fracture _____	49	0.02%
Cardiac perforation _____	8	0.00%	Insulation Breach _____	83	0.03%
Conductor fracture _____	52	0.02%	Other _____	2	0.00%
Extracardiac stimulation _____	9	0.00%			
Failure to capture _____	397	0.16%	<b>U.S. Acute Lead Observations</b> _____	272	0.11%
Failure to sense _____	29	0.01%	Abnormal pacing impedance _____	1	0.00%
Insulation breach _____	62	0.03%	Cardiac perforation _____	22	0.01%
Lead dislodgement _____	278	0.11%	Failure to capture _____	36	0.01%
Oversensing _____	135	0.06%	Failure to sense _____	3	0.00%
Other _____	94	0.04%	Insulation breach _____	4	0.00%
			Lead dislodgement _____	191	0.08%
			Other _____	15	0.01%

• Total survival

Cumulative survival probability



Years after implant	0	1	2	3	4	5	6	7	8	9	10	11
Total [%]	100.0	99.9	99.8	99.7	99.6	99.5	99.3	99.2	99.0	98.9	98.8	98.7
CI [±%]	<0.1	<0.1	<0.1	<0.1	<0.1	<0.1	<0.1	0.1	0.1	0.1	0.1	0.1

## 6.1 Pacing Leads

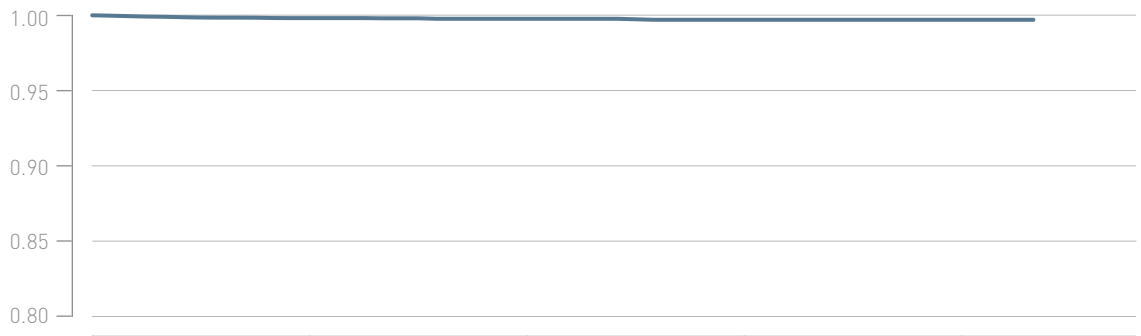
### Siello S/Solia S

Product Versions _____	45, 53, 60
Lead Type _____	straight, active fixation
Polarity _____	bipolar
Steroid _____	yes
U.S. Market Release _____	Jun 2016
CE Market Release _____	Jul 2009
Worldwide Distributed Devices _____	898 000
Registered U.S. Implants _____	53 800
Estimated Active U.S. Implants _____	51 800
U.S. Total Returned _____	179

	Quantity	Rate		Quantity	Rate
<b>U.S. Qualifying Complications</b> _____	83	0.15%	<b>U.S. Confirmed Malfunctions</b> _____	6	0.01%
Abnormal pacing impedance _____	1	0.00%	Conductor fracture _____	1	0.00%
Cardiac perforation _____	6	0.01%	Insulation breach _____	5	0.01%
Conductor fracture _____	1	0.00%			
Failure to capture _____	28	0.05%	<b>U.S. Acute Lead Observations</b> _____	72	0.13%
Failure to sense _____	2	0.00%	Abnormal pacing impedance _____	1	0.00%
Insulation breach _____	1	0.00%	Cardiac perforation _____	5	0.01%
Lead dislodgement _____	42	0.08%	Conductor fracture _____	1	0.00%
Oversensing _____	1	0.00%	Failure to capture _____	17	0.03%
Other _____	1	0.00%	Failure to sense _____	2	0.00%
			Lead dislodgement _____	42	0.08%
			Oversensing _____	2	0.00%
			Other _____	2	0.00%

• Total survival

Cumulative survival probability



Years after implant	0	1	2	3	4
Total [%]	100.0	99.8	99.7	99.7	99.7
CI [±%]	< 0.1	< 0.1	0.1	0.1	0.1

## 6.1 Pacing Leads

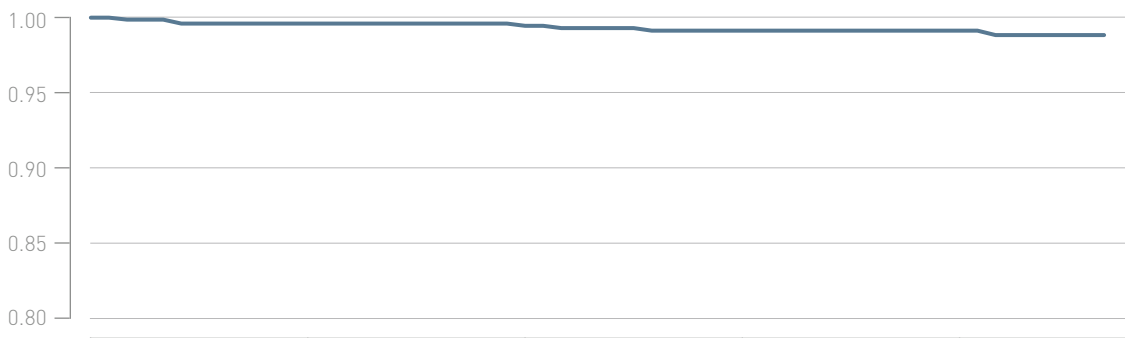
### Tilda JT

Product Versions _____	45, 53
Lead Type _____	J-shape, passive fixation
Polarity _____	bipolar
Steroid _____	yes
U.S. Market Release _____	Feb 2012
CE Market Release _____	Sep 2011
Worldwide Distributed Devices _____	17000
Registered U.S. Implants _____	748
Estimated Active U.S. Implants _____	727
U.S. Total Returned _____	0

	Quantity	Rate		Quantity	Rate
<b>U.S. Qualifying Complications</b> _____	7	0.94%	<b>U.S. Confirmed Malfunctions</b>	0	0.00%
Abnormal pacing impedance _____	2	0.27%			
Failure to capture _____	2	0.27%	<b>U.S. Acute Lead Observations</b>	1	0.13%
Lead dislodgement _____	3	0.40%	Lead dislodgement	1	0.13%

• Total survival

Cumulative survival propability



Years after implant	0	1	2	3	4
Total [%]	100.0	99.6	99.4	99.1	99.1
CI [±%]	< 0.1	0.5	0.6	0.7	0.7

## 6.1 Pacing Leads

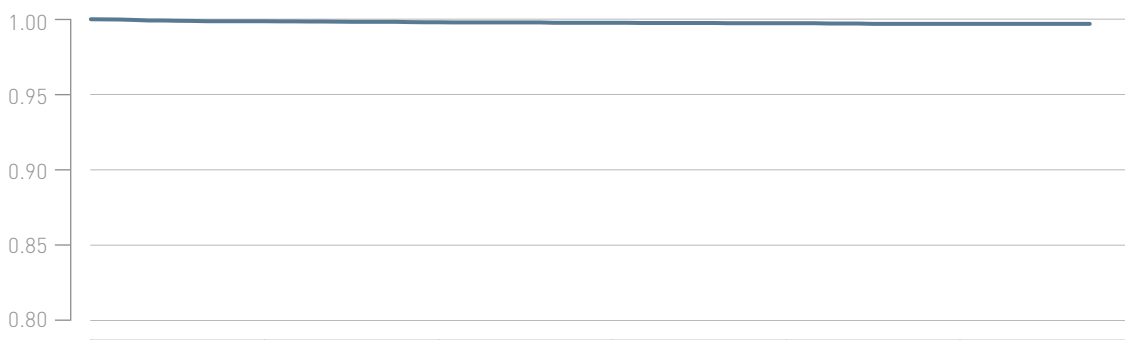
### Tilda R

Product Versions _____	45, 53, 60
Lead Type _____	straight, active fixation
Polarity _____	bipolar
Steroid _____	yes
U.S. Market Release _____	Dec 2011
CE Market Release _____	Aug 2011
Worldwide Distributed Devices _____	41 800
Registered U.S. Implants _____	9 550
Estimated Active U.S. Implants _____	9 180
U.S. Total Returned _____	15

	Quantity	Rate		Quantity	Rate
<b>U.S. Qualifying Complications</b> _____	27	0.28%	<b>U.S. Confirmed Malfunctions</b> _____	1	0.01%
Abnormal pacing impedance _____	1	0.01%	Conductor Fracture _____	1	0.01%
Conductor fracture _____	3	0.03%			
Extracardiac stimulation _____	1	0.01%	<b>U.S. Acute Lead Observations</b> _____	9	0.09%
Failure to capture _____	7	0.07%	Failure to capture _____	1	0.01%
Insulation breach _____	2	0.02%	Lead dislodgement _____	8	0.08%
Lead dislodgement _____	9	0.09%			
Oversensing _____	1	0.01%			
Other _____	3	0.03%			

• Total survival

Cumulative survival probability



Years after implant	0	1	2	3	4	5
Total [%]	100.0	99.8	99.8	99.7	99.7	99.6
CI [±%]	<0.1	0.1	0.1	0.1	0.1	0.1

## 6.1 Pacing Leads

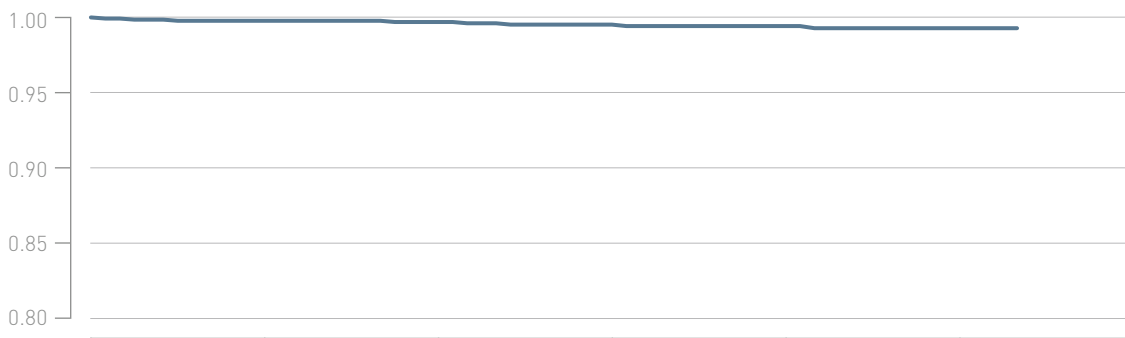
### Tilda T

Product Versions _____	53, 60
Lead Type _____	straight, passive fixation
Polarity _____	bipolar
Steroid _____	yes
U.S. Market Release _____	Dec 2011
CE Market Release _____	Aug 2011
Worldwide Distributed Devices _____	22300
Registered U.S. Implants _____	1300
Estimated Active U.S. Implants _____	1250
U.S. Total Returned _____	1

	Quantity	Rate		Quantity	Rate
<b>U.S. Qualifying Complications</b> _____	8	0.62%	<b>U.S. Confirmed Malfunctions</b> _____	0	0.00%
Abnormal pacing impedance _____	3	0.23%			
Conductor fracture _____	1	0.08%	<b>U.S. Acute Lead Observations</b> _____	0	0.00%
Insulation breach _____	1	0.08%			
Lead dislodgement _____	3	0.23%			

• Total survival

Cumulative survival propability



Years after implant	0	1	2	3	4	5
Total [%]	100.0	99.8	99.7	99.5	99.4	99.2
CI [±%]	<0.1	0.3	0.3	0.4	0.5	0.5

## 6.1 Pacing Leads

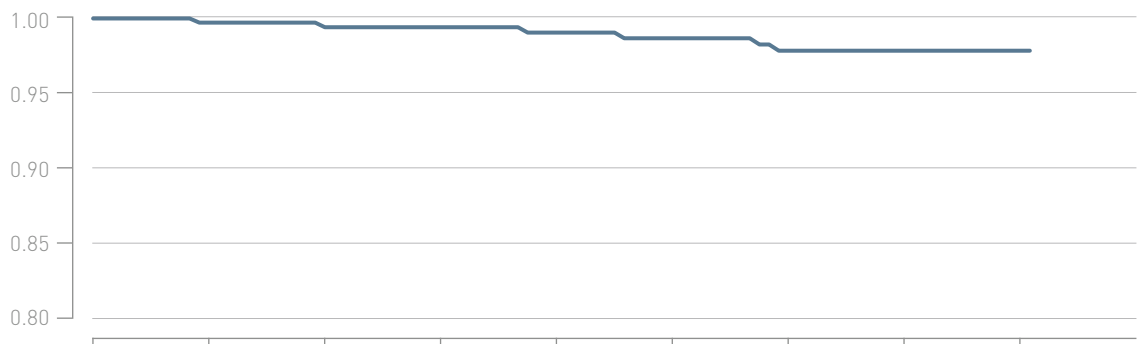
### Kentrox RV

Product Versions _____	65, 75, -Steroid
Lead Type _____	single-coil, passive fixation
Polarity _____	bipolar
Steroid _____	yes/no
U.S. Market Release _____	Mar 2002 / Oct 2004
CE Market Release _____	Jan 2001 / Dec 2004
Worldwide Distributed Devices _____	5490
Registered U.S. Implants _____	409
Estimated Active U.S. Implants _____	173
U.S. Total Returned _____	8

	Quantity	Rate		Quantity	Rate
<b>U.S. Qualifying Complications</b> _____	7	1.71%	<b>U.S. Confirmed Malfunctions</b> _____	2	0.49%
Conductor fracture _____	1	0.24%	Conductor Fracture _____	1	0.24%
Failure to capture _____	1	0.24%	Insulation Breach _____	1	0.24%
Insulation breach _____	1	0.24%			
Oversensing _____	4	0.98%	<b>U.S. Acute Lead Observations</b> _____	0	0.00%

• Total survival

Cumulative survival propability



Years after implant	0	1	2	3	4	5	6	7	8
Total [%]	100.0	99.7	99.4	99.4	99.0	98.7	97.8	97.8	97.8
CI [±%]	<0.1	0.6	0.8	0.8	1.1	1.3	1.8	1.8	1.8

## 6.1 Pacing Leads

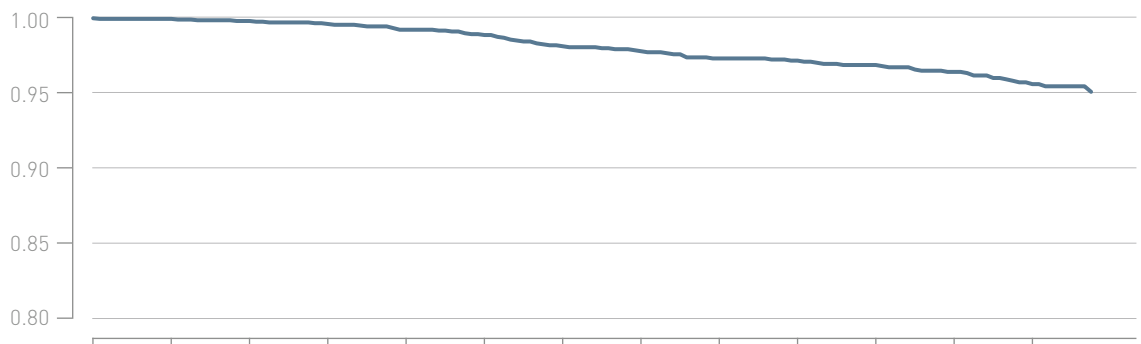
### Kentrox SL-S

Product Versions _____	65/16, 18 -Steroid
Lead Type _____	dual-coil, active fixation
Polarity _____	bipolar
Steroid _____	yes/no
U.S. Market Release _____	Oct 2004
CE Market Release _____	Jun 2004
Worldwide Distributed Devices _____	8730
Registered U.S. Implants _____	2440
Estimated Active U.S. Implants _____	1250
U.S. Total Returned _____	41

	Quantity	Rate		Quantity	Rate
<b>U.S. Qualifying Complications</b> _____	54	2.22%	<b>U.S. Confirmed Malfunctions</b> _____	14	0.57%
Abnormal defibrillation impedance _____	1	0.04%	Insulation breach _____	14	0.57%
Abnormal pacing impedance _____	3	0.12%			
Conductor fracture _____	4	0.16%	<b>U.S. Acute Lead Observations</b> _____	2	0.08%
Failure to capture _____	3	0.12%	Insulation breach _____	1	0.04%
Insulation breach _____	3	0.12%	Oversensing _____	1	0.04%
Lead dislodgement _____	3	0.12%			
Oversensing _____	35	1.44%			
Other _____	2	0.08%			

• Total survival

Cumulative survival propability



Years after implant	0	1	2	3	4	5	6	7	8	9	10	11	12
Total [%]	100.0	100.0	99.8	99.6	99.2	98.9	98.1	97.8	97.3	97.2	96.9	96.4	95.6
CI [±%]	<0.1	0.1	0.2	0.3	0.4	0.5	0.6	0.7	0.8	0.8	0.9	0.9	1.1



## 6.2 ICD Leads

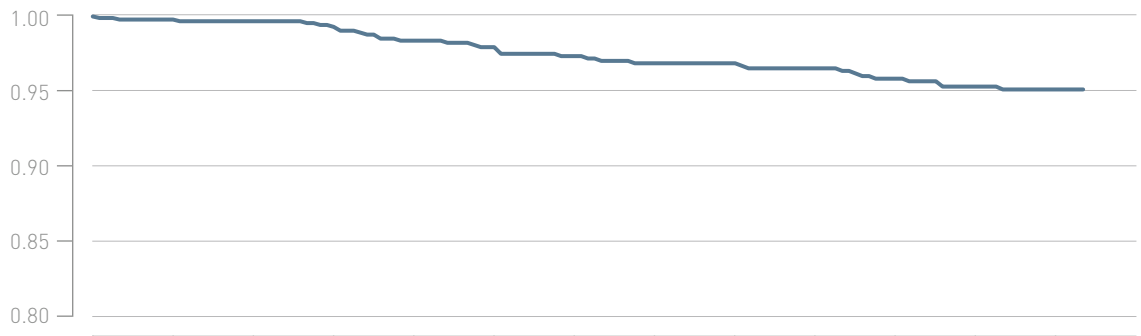
### Kentrox SL

Product Versions	65, 75, 100, -Steroid
Lead Type	dual coil, passive fixation
Polarity	bipolar
Steroid	yes/no
U.S. Market Release	Oct 2004
CE Market Release	Dec 2003 / Dec 2004
Worldwide Distributed Devices	8480
Registered U.S. Implants	1010
Estimated Active U.S. Implants	539
U.S. Total Returned	19

	Quantity	Rate		Quantity	Rate
<b>U.S. Qualifying Complications</b>	29	2.87%	<b>U.S. Confirmed Malfunctions</b>	5	0.50%
Abnormal defibrillation impedance	1	0.10%	Insulation breach	5	0.50%
Abnormal pacing impedance	3	0.30%			
Conductor fracture	2	0.20%	<b>U.S. Acute Lead Observations</b>	0	0.00%
Failure to capture	1	0.10%			
Insulation breach	6	0.59%			
Oversensing	14	1.39%			
Other	2	0.20%			

• Total survival

Cumulative survival propability



Years after implant	0	1	2	3	4	5	6	7	8	9	10	11	12
Total [%]	100.0	99.8	99.7	99.3	98.4	97.9	97.3	96.9	96.9	96.5	95.8	95.3	95.1
CI [±%]	<0.1	0.3	0.4	0.6	0.9	1.0	1.2	1.3	1.3	1.4	1.5	1.6	1.7

## 6.2 ICD Leads

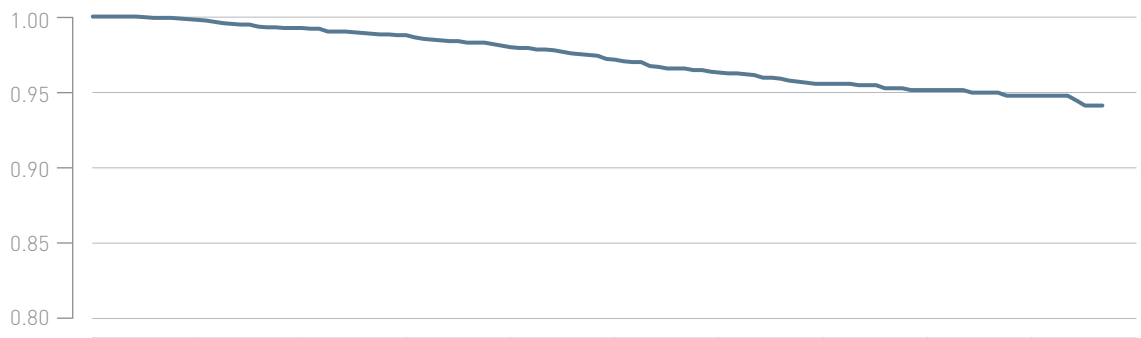
### Linux S

Product Versions _____	65, 75
Lead Type _____	single-coil, active fixation
Polarity _____	bipolar
Steroid _____	yes
U.S. Market Release _____	Feb 2007
CE Market Release _____	Mar 2007
Worldwide Distributed Devices _____	32300
Registered U.S. Implants _____	2500
Estimated Active U.S. Implants _____	1710
U.S. Total Returned _____	73

	Quantity	Rate		Quantity	Rate
<b>U.S. Qualifying Complications</b> _____	59	2.37%	<b>U.S. Confirmed Malfunctions</b> _____	37	1.49%
Abnormal defibrillation impedance _____	6	0.24%	Conductor fracture _____	5	0.20%
Abnormal pacing impedance _____	3	0.12%	Insulation breach _____	32	1.28%
Conductor fracture _____	5	0.20%			
Failure to capture _____	7	0.28%	<b>U.S. Acute Lead Observations</b> _____	2	0.08%
Insulation breach _____	4	0.16%	Lead dislodgement _____	1	0.04%
Oversensing _____	28	1.12%	Other _____	1	0.04%
Other _____	6	0.24%			

• Total survival

Cumulative survival probability



Years after implant	0	1	2	3	4	5	6	7	8	9
Total [%]	100.0	99.8	99.2	98.8	98.0	97.1	96.3	95.5	95.1	94.7
CI [±%]	<0.1	0.2	0.4	0.5	0.6	0.7	0.8	0.9	1.0	1.1

## 6.2 ICD Leads

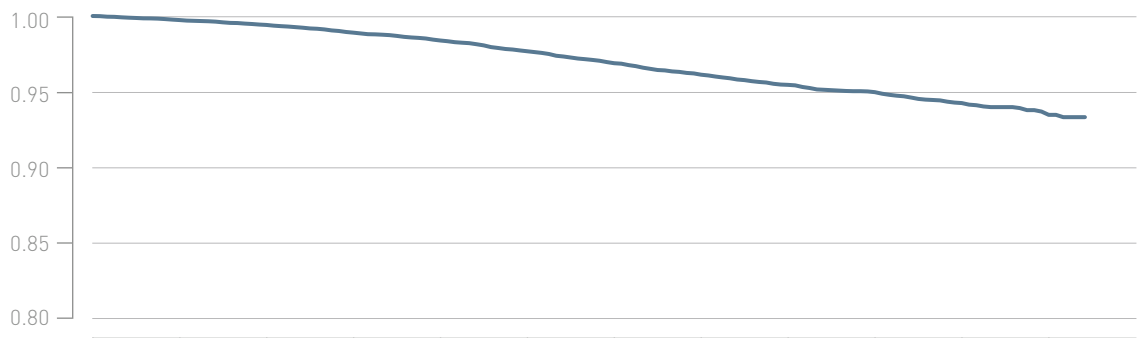
### Linux SD

Product Versions _____	60/16, 65/16, 65/18, 75/18
Lead Type _____	dual-coil, active fixation
Polarity _____	bipolar
Steroid _____	yes
U.S. Market Release _____	Apr 2006
CE Market Release _____	Aug 2006
Worldwide Distributed Devices _____	55 100
Registered U.S. Implants _____	22 300
Estimated Active U.S. Implants _____	14 700
U.S. Total Returned _____	459

	Quantity	Rate		Quantity	Rate
<b>U.S. Qualifying Complications</b> _____	655	2.94%	<b>U.S. Confirmed Malfunctions</b> _____	184	0.83%
Abnormal defibrillation impedance _____	51	0.23%	Conductor fracture _____	26	0.12%
Abnormal pacing impedance _____	45	0.20%	Insulation breach _____	157	0.71%
Cardiac perforation _____	3	0.01%	Other _____	1	0.00%
Conductor fracture _____	65	0.29%			
Failure to capture _____	66	0.30%	<b>U.S. Acute Lead Observations</b> _____	11	0.05%
Failure to sense _____	9	0.04%	Abnormal pacing impedance _____	1	0.00%
Insulation breach _____	57	0.26%	Cardiac perforation _____	1	0.00%
Lead dislodgement _____	31	0.14%	Failure to capture _____	1	0.00%
Oversensing _____	284	1.28%	Lead dislodgement _____	6	0.03%
Other _____	44	0.20%	Oversensing _____	1	0.00%
			Other _____	1	0.00%

• Total survival

Cumulative survival probability



Years after implant	0	1	2	3	4	5	6	7	8	9	10	11
Total [%]	100.0	99.7	99.4	98.9	98.4	97.7	96.9	96.1	95.4	94.9	94.2	93.4
CI [±%]	<0.1	0.1	0.1	0.1	0.2	0.2	0.3	0.3	0.3	0.4	0.4	0.6

## 6.2 ICD Leads

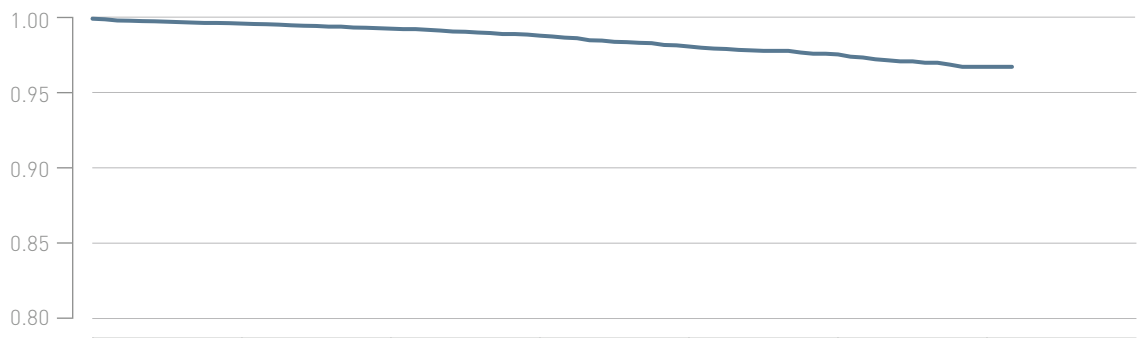
### Linux<sup>smart</sup> S

Product Versions _____	60, 65, 75
Lead Type _____	single-coil, active fixation
Polarity _____	bipolar
Steroid _____	yes
U.S. Market Release _____	Aug 2011
CE Market Release _____	Dec 2010
Worldwide Distributed Devices _____	46 700
Registered U.S. Implants _____	7 620
Estimated Active U.S. Implants _____	6 490
U.S. Total Returned _____	139

	Quantity	Rate		Quantity	Rate
<b>U.S. Qualifying Complications</b> _____	92	1.21%	<b>U.S. Confirmed Malfunctions</b> _____	44	0.58%
Abnormal defibrillation impedance _____	5	0.07%	Conductor fracture _____	7	0.09%
Abnormal pacing impedance _____	4	0.05%	Insulation breach _____	37	0.49%
Cardiac perforation _____	1	0.01%			
Conductor fracture _____	8	0.10%	<b>U.S. Acute Lead Observations</b> _____	11	0.14%
Failure to capture _____	14	0.18%	Abnormal pacing impedance _____	1	0.01%
Failure to sense _____	3	0.04%	Cardiac perforation _____	1	0.01%
Insulation breach _____	3	0.04%	Lead dislodgement _____	8	0.10%
Lead dislodgement _____	14	0.18%	Other _____	1	0.01%
Oversensing _____	34	0.45%			
Other _____	6	0.08%			

• Total survival

Cumulative survival probability



Years after implant	0	1	2	3	4	5	6
Total [%]	100.0	99.7	99.3	98.9	98.1	97.6	96.7
CI [±%]	<0.1	0.1	0.2	0.3	0.4	0.4	0.7

## 6.2 ICD Leads

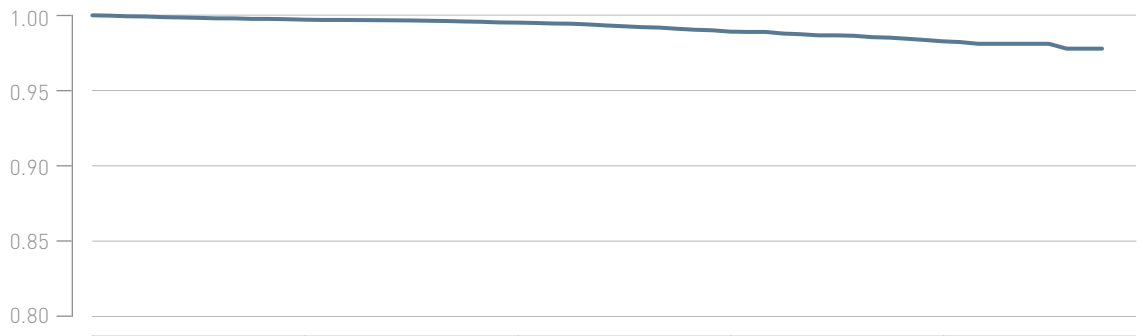
### Linux<sup>smart</sup> S DX

Product Versions _____	65/15, 65/17
Lead Type _____	single-coil, active fixation
Polarity _____	bipolar
Steroid _____	yes
U.S. Market Release _____	Feb 2013
CE Market Release _____	Mar 2010
Worldwide Distributed Devices _____	35700
Registered U.S. Implants _____	16000
Estimated Active U.S. Implants _____	14800
U.S. Total Returned _____	235

	Quantity	Rate		Quantity	Rate
<b>U.S. Qualifying Complications</b> _____	87	0.54%	<b>U.S. Confirmed Malfunctions</b> _____	44	0.28%
Abnormal defibrillation impedance _____	6	0.04%	Conductor fracture _____	2	0.01%
Abnormal pacing impedance _____	2	0.01%	Insulation breach _____	42	0.26%
Conductor fracture _____	10	0.06%			
Failure to capture _____	8	0.05%	<b>U.S. Acute Lead Observations</b> _____	38	0.24%
Failure to sense _____	6	0.04%	Cardiac perforation _____	3	0.02%
Insulation breach _____	2	0.01%	Failure to capture _____	9	0.06%
Lead dislodgement _____	24	0.15%	Lead dislodgement _____	17	0.11%
Oversensing _____	26	0.16%	Oversensing _____	7	0.04%
Other _____	3	0.02%	Other _____	2	0.01%

• Total survival

Cumulative survival probability



Years after implant	0	1	2	3	4
Total [%]	100.0	99.7	99.5	98.9	98.2
CI [±%]	< 0.1	0.1	0.1	0.2	0.4

## 6.2 ICD Leads

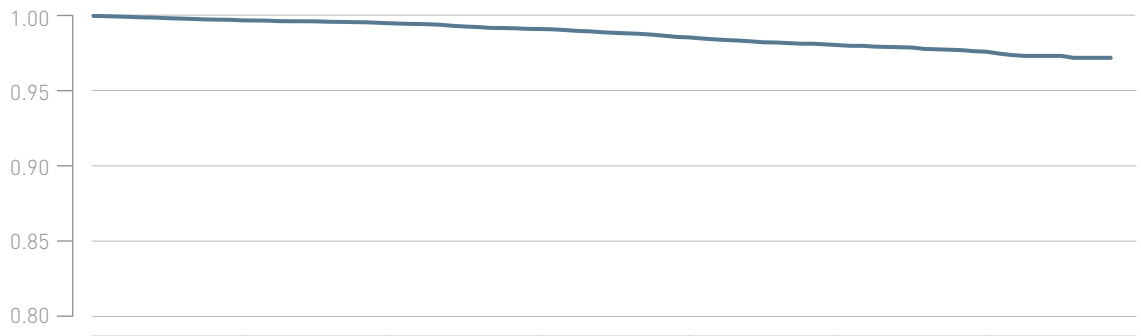
### Linux<sup>smart</sup> SD

Product Versions _____	60/16, 65/16, 65/18, 75/18
Lead Type _____	dual-coil, active fixation
Polarity _____	bipolar
Steroid _____	yes
U.S. Market Release _____	Jan 2011
CE Market Release _____	Oct 2009
Worldwide Distributed Devices _____	54 700
Registered U.S. Implants _____	13 300
Estimated Active U.S. Implants _____	11 000
U.S. Total Returned _____	208

	Quantity	Rate		Quantity	Rate
<b>U.S. Qualifying Complications</b> _____	174	1.32%	<b>U.S. Confirmed Malfunctions</b> _____	48	0.36%
Abnormal defibrillation impedance _____	15	0.11%	Conductor fracture _____	4	0.03%
Abnormal pacing impedance _____	5	0.04%	Insulation breach _____	44	0.33%
Conductor fracture _____	21	0.16%			
Extracardiac stimulation _____	1	0.01%	<b>U.S. Acute Lead Observations</b> _____	29	0.22%
Failure to capture _____	14	0.11%	Abnormal defibrillation impedance _____	1	0.01%
Failure to sense _____	4	0.03%	Cardiac perforation _____	2	0.02%
Insulation breach _____	6	0.05%	Failure to capture _____	4	0.03%
Lead dislodgement _____	21	0.16%	Insulation breach _____	1	0.01%
Oversensing _____	81	0.61%	Lead dislodgement _____	12	0.09%
Other _____	6	0.05%	Oversensing _____	2	0.02%
			Other _____	7	0.05%

• Total survival

Cumulative survival probability



Years after implant	0	1	2	3	4	5	6
Total [%]	100.0	99.7	99.5	99.1	98.6	98.0	97.6
CI [±%]	<0.1	0.1	0.1	0.2	0.2	0.3	0.3

## 6.2 ICD Leads

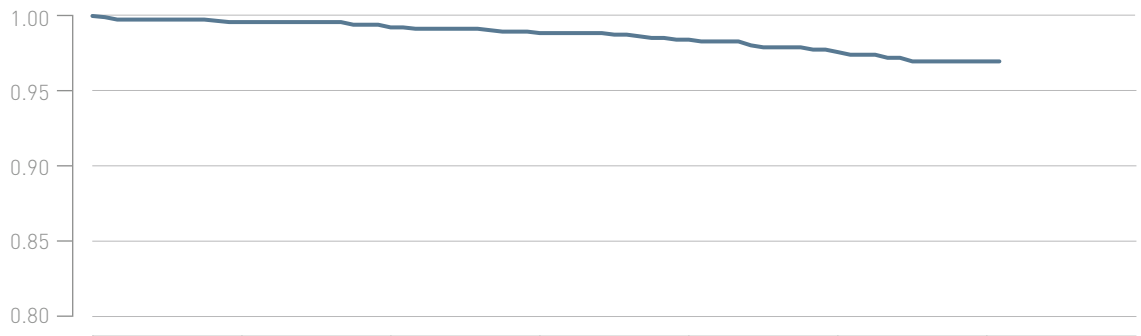
### Linux<sup>smart</sup> TD

Product Versions _____	65/16, 65/18, 75/18
Lead Type _____	dual-coil, passive fixation
Polarity _____	bipolar
Steroid _____	yes
U.S. Market Release _____	Jan 2011
CE Market Release _____	Oct 2009
Worldwide Distributed Devices _____	7720
Registered U.S. Implants _____	1280
Estimated Active U.S. Implants _____	1050
U.S. Total Returned _____	19

	Quantity	Rate		Quantity	Rate
<b>U.S. Qualifying Complications</b> _____	25	1.96%	<b>U.S. Confirmed Malfunctions</b> _____	1	0.08%
Abnormal defibrillation impedance _____	4	0.31%	Insulation breach _____	1	0.08%
Abnormal pacing impedance _____	2	0.16%			
Conductor fracture _____	1	0.08%	<b>U.S. Acute Lead Observations</b> _____	3	0.24%
Failure to capture _____	5	0.39%	Lead dislodgement _____	3	0.24%
Insulation breach _____	2	0.16%			
Lead dislodgement _____	5	0.39%			
Oversensing _____	6	0.47%			

• Total survival

Cumulative survival propability



Years after implant	0	1	2	3	4	5	6
Total [%]	100.0	99.6	99.2	98.8	98.4	97.6	96.9
CI [±%]	<0.1	0.4	0.5	0.6	0.8	1.0	1.2

## 6.2 ICD Leads

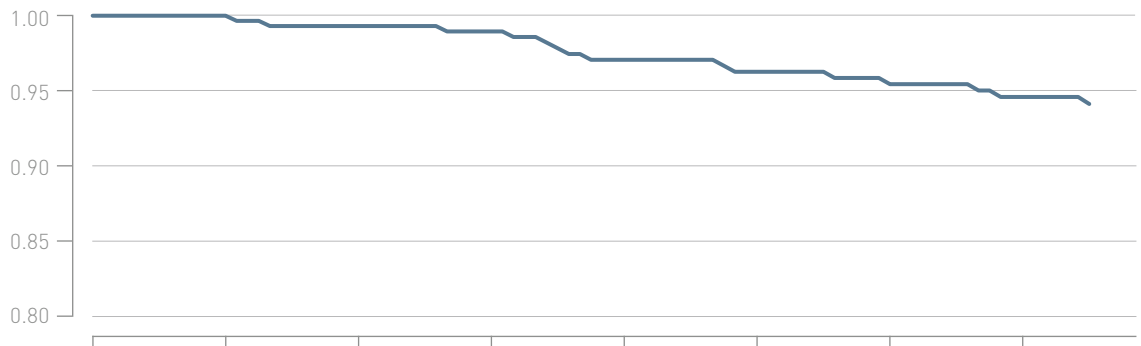
### Linux T

Product Versions _____	65, 75
Lead Type _____	single-coil, passive fixation
Polarity _____	bipolar
Steroid _____	yes
U.S. Market Release _____	Feb 2007
CE Market Release _____	Mar 2007
Worldwide Distributed Devices _____	2280
Registered U.S. Implants _____	322
Estimated Active U.S. Implants _____	225
U.S. Total Returned _____	4

	Quantity	Rate		Quantity	Rate
<b>U.S. Qualifying Complications</b> _____	13	4.04%	<b>U.S. Confirmed Malfunctions</b> _____	3	0.93%
Abnormal pacing impedance _____	2	0.62%	Conductor Fracture _____	1	0.31%
Failure to capture _____	3	0.93%	Insulation Breach _____	2	0.62%
Insulation breach _____	1	0.31%			
Oversensing _____	6	1.86%	<b>U.S. Acute Lead Observations</b> _____	1	0.31%
Other _____	1	0.31%	Other _____	1	0.31%

• Total survival

Cumulative survival propability



Years after implant	0	1	2	3	4	5	6	7
Total [%]	100.0	100.0	99.3	99.0	97.1	96.3	95.4	94.6
CI [±%]	<0.1	<0.1	0.9	1.2	2.0	2.3	2.5	2.8



## 6.2 ICD Leads

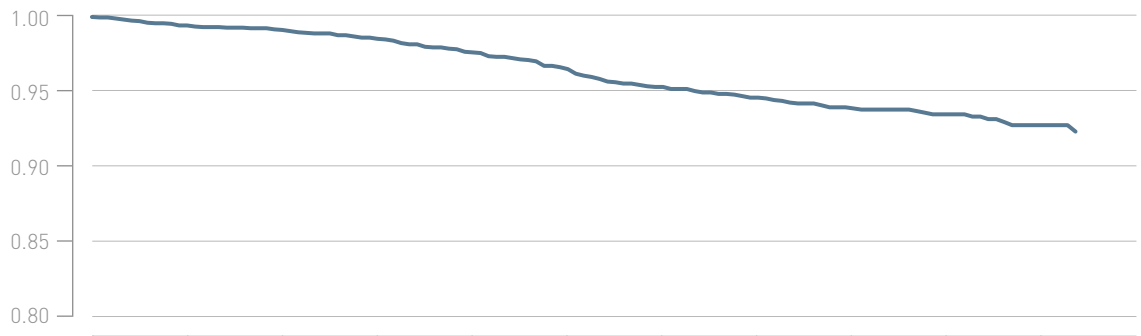
### Linux TD

Product Versions _____	65/16, 75/16, 100/16, 100/18
Lead Type _____	dual-coil, passive fixation
Polarity _____	bipolar
Steroid _____	yes
U.S. Market Release _____	Oct 2006
CE Market Release _____	Oct 2006
Worldwide Distributed Devices _____	14 600
Registered U.S. Implants _____	3 060
Estimated Active U.S. Implants _____	2 050
U.S. Total Returned _____	77

	Quantity	Rate		Quantity	Rate
<b>U.S. Qualifying Complications</b> _____	114	3.74%	<b>U.S. Confirmed Malfunctions</b> _____	37	1.21%
Abnormal defibrillation impedance _____	10	0.33%	Conductor Fracture _____	6	0.20%
Abnormal pacing impedance _____	11	0.36%	Insulation Breach _____	31	1.02%
Conductor fracture _____	15	0.49%			
Failure to capture _____	18	0.59%	<b>U.S. Acute Lead Observations</b> _____	3	0.10%
Failure to sense _____	4	0.13%	Failure to capture _____	1	0.03%
Insulation breach _____	13	0.43%	Lead dislodgement _____	2	0.07%
Lead dislodgement _____	4	0.13%			
Oversensing _____	37	1.21%			
Other _____	2	0.07%			

• Total survival

Cumulative survival probability



Years after implant	0	1	2	3	4	5	6	7	8	9	10
Total [%]	100.0	99.4	99.1	98.5	97.6	96.5	95.3	94.6	93.9	93.5	92.8
CI [±%]	< 0.1	0.3	0.3	0.5	0.6	0.7	0.8	0.9	1.0	1.1	1.3

## 6.2 ICD Leads

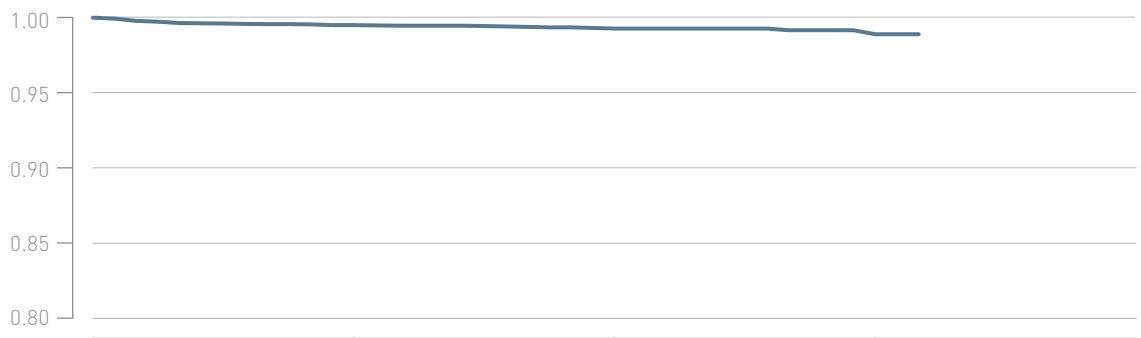
### Protego S

Product Versions _____	60, 65, 75
Lead Type _____	single-coil, active fixation
Polarity _____	bipolar
Steroid _____	yes
U.S. Market Release _____	Jul 2014
CE Market Release _____	Feb 2014
Worldwide Distributed Devices _____	52 900
Registered U.S. Implants _____	7 900
Estimated Active U.S. Implants _____	7 300
U.S. Total Returned _____	58

	Quantity	Rate		Quantity	Rate
<b>U.S. Qualifying Complications</b> _____	40	0.51%	<b>U.S. Confirmed Malfunctions</b> _____	7	0.09%
Cardiac perforation _____	1	0.01%	Conductor fracture _____	2	0.03%
Conductor fracture _____	1	0.01%	Insulation breach _____	5	0.06%
Extracardiac stimulation _____	1	0.01%			
Failure to capture _____	7	0.09%	<b>U.S. Acute Lead Observations</b> _____	27	0.34%
Failure to sense _____	1	0.01%	Cardiac perforation _____	1	0.01%
Lead dislodgement _____	21	0.27%	Extracardiac stimulation _____	1	0.01%
Oversensing _____	4	0.05%	Failure to capture _____	3	0.04%
Other _____	4	0.05%	Lead dislodgement _____	13	0.16%
			Other _____	9	0.11%

• Total survival

Cumulative survival probability



Years after implant	0	1	2	3
Total [%]	100.0	99.5	99.3	98.9
CI [±%]	< 0.1	0.2	0.2	0.6

## 6.2 ICD Leads

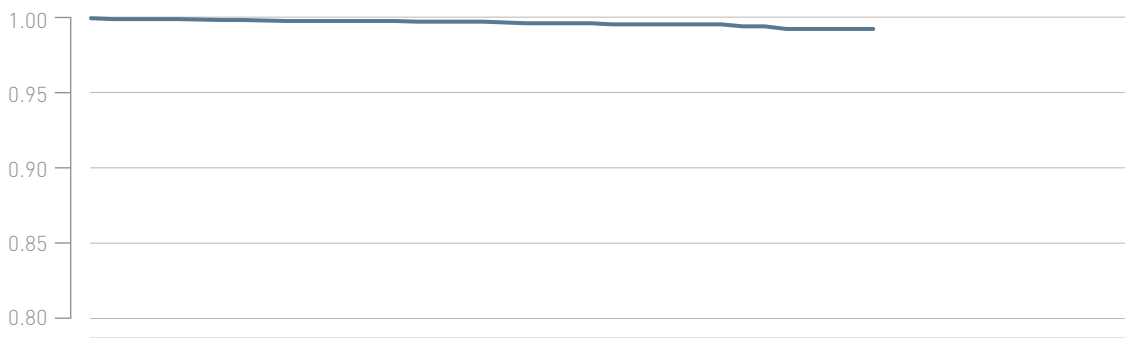
### Protego SD

Product Versions _____	60/16, 65/16, 65/18, 75/18
Lead Type _____	dual-coil, active fixation
Polarity _____	bipolar
Steroid _____	yes
U.S. Market Release _____	Jul 2014
CE Market Release _____	May 2013
Worldwide Distributed Devices _____	18300
Registered U.S. Implants _____	3340
Estimated Active U.S. Implants _____	3090
U.S. Total Returned _____	23

	Quantity	Rate		Quantity	Rate
<b>U.S. Qualifying Complications</b> _____	10	0.30%	<b>U.S. Confirmed Malfunctions</b> _____	2	0.06%
Abnormal pacing impedance _____	1	0.03%	Insulation breach _____	2	0.06%
Conductor fracture _____	1	0.03%			
Failure to capture _____	1	0.03%	<b>U.S. Acute Lead Observations</b> _____	3	0.09%
Insulation breach _____	1	0.03%	Lead dislodgement _____	2	0.06%
Lead dislodgement _____	3	0.09%	Other _____	1	0.03%
Oversensing _____	3	0.09%			

• Total survival

Cumulative survival probability



Years after implant	0	1	2	3
Total [%]	100.0	99.8	99.6	99.2
CI [±%]	< 0.1	0.2	0.2	0.6

## 6.2 ICD Leads

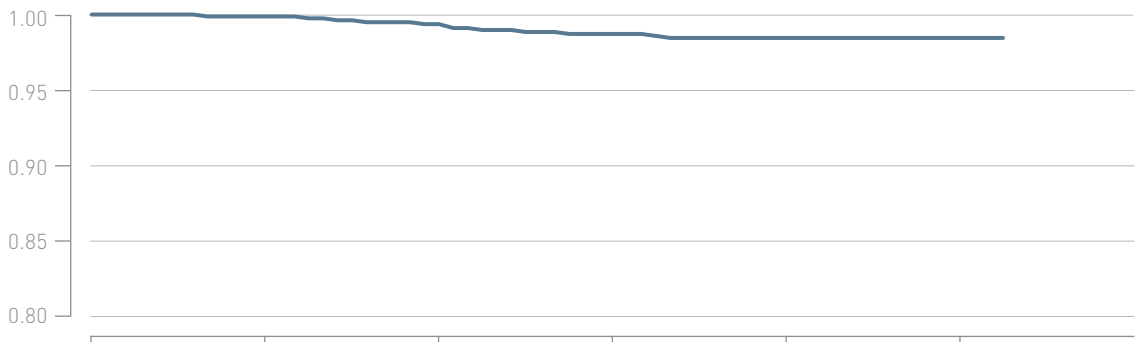
### Vigila 2CR

Product Versions _____	60/16, 65/18
Lead Type _____	dual-coil, active fixation
Polarity _____	bipolar
Steroid _____	yes
U.S. Market Release _____	Feb 2012
CE Market Release _____	Oct 2011
Worldwide Distributed Devices _____	3010
Registered U.S. Implants _____	799
Estimated Active U.S. Implants _____	729
U.S. Total Returned _____	11

	Quantity	Rate		Quantity	Rate
<b>U.S. Qualifying Complications</b> _____	9	1.13%	<b>U.S. Confirmed Malfunctions</b> _____	3	0.38%
Conductor fracture _____	1	0.13%	Insulation Breach _____	3	0.38%
Lead dislodgement _____	3	0.38%			
Oversensing _____	5	0.63%	<b>U.S. Acute Lead Observations</b> _____	0	0.00%

• Total survival

Cumulative survival propability



Years after implant	0	1	2	3	4	5
Total [%]	100.0	99.9	99.3	98.7	98.4	98.4
CI [±%]	<0.1	0.3	0.6	0.8	0.9	0.9

## 6.3 CRT Leads

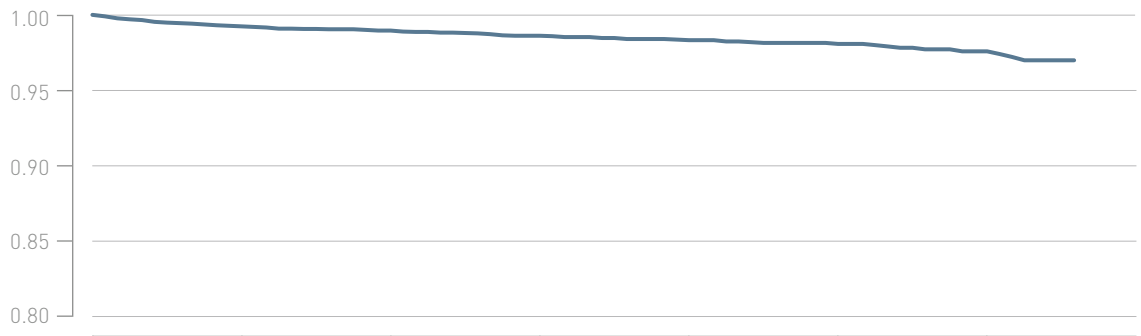
### Corox OTW-L

Product Versions _____	75, 85
Lead Type _____	dual-curve fixation
Polarity _____	bipolar
Steroid _____	yes
U.S. Market Release _____	Jan 2011
CE Market Release _____	Dec 2009
Worldwide Distributed Devices _____	31 500
Registered U.S. Implants _____	6 280
Estimated Active U.S. Implants _____	5 180
U.S. Total Returned _____	65

	Quantity	Rate		Quantity	Rate
<b>U.S. Qualifying Complications</b> _____	92	1.47%	<b>U.S. Confirmed Malfunctions</b> _____	3	0.05%
Abnormal pacing impedance _____	1	0.02%	Conductor fracture _____	2	0.03%
Conductor fracture _____	4	0.06%	Insulation breach _____	1	0.02%
Extracardiac stimulation _____	14	0.22%			
Failure to capture _____	34	0.54%	<b>U.S. Acute Lead Observations</b> _____	21	0.33%
Failure to sense _____	1	0.02%	Extracardiac stimulation _____	6	0.10%
Insulation breach _____	1	0.02%	Failure to capture _____	2	0.03%
Lead dislodgement _____	29	0.46%	Lead dislodgement _____	10	0.16%
Oversensing _____	2	0.10%	Other _____	3	0.05%
Other _____	6	0.03%			

• Total survival

Cumulative survival probability



Years after implant	0	1	2	3	4	5	6
Total [%]	100.0	99.2	98.9	98.6	98.3	98.0	97.5
CI [±%]	< 0.1	0.2	0.3	0.3	0.4	0.4	0.6

## 6.3 CRT Leads

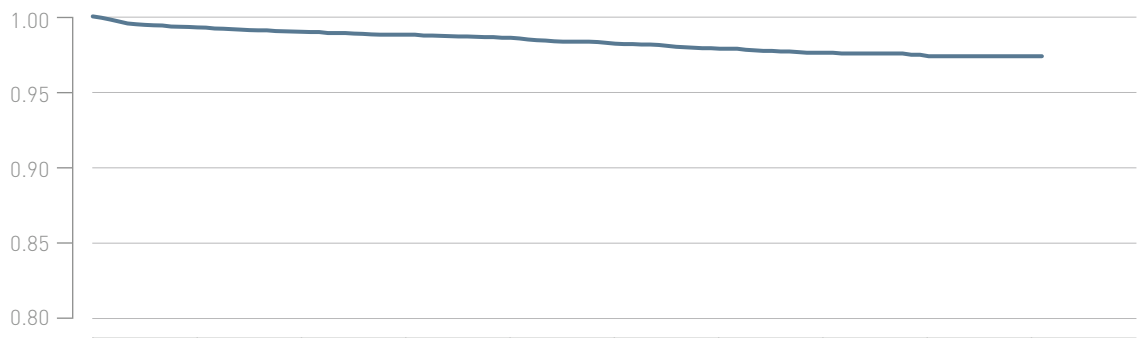
### Corox OTW-S

Product Versions _____	75, 85
Lead Type _____	thread fixation
Polarity _____	bipolar
Steroid _____	yes
U.S. Market Release _____	May 2008
CE Market Release _____	Dec 2006
Worldwide Distributed Devices _____	26 400
Registered U.S. Implants _____	8 210
Estimated Active U.S. Implants _____	5 840
U.S. Total Returned _____	119

	Quantity	Rate		Quantity	Rate
<b>U.S. Qualifying Complications</b> _____	121	1.47%	<b>U.S. Confirmed Malfunctions</b> _____	11	0.13%
Abnormal pacing impedance _____	4	0.05%	Conductor fracture _____	6	0.07%
Conductor fracture _____	3	0.04%	Insulation breach _____	4	0.05%
Extracardiac stimulation _____	11	0.13%	Other _____	1	0.01%
Failure to capture _____	31	0.38%			
Insulation breach _____	4	0.05%	<b>U.S. Acute Lead Observations</b> _____	33	0.40%
Lead dislodgement _____	51	0.62%	Cardiac perforation _____	1	0.01%
Oversensing _____	2	0.18%	Extracardiac stimulation _____	5	0.06%
Other _____	15	0.02%	Failure to capture _____	6	0.07%
			Lead dislodgement _____	20	0.24%
			Other _____	1	0.01%

• Total survival

Cumulative survival probability



Years after implant	0	1	2	3	4	5	6	7	8	9
Total [%]	100.0	99.3	99.0	98.8	98.6	98.2	97.8	97.5	97.3	97.3
CI [±%]	< 0.1	0.2	0.2	0.3	0.3	0.4	0.4	0.4	0.5	0.5

## 6.3 CRT Leads

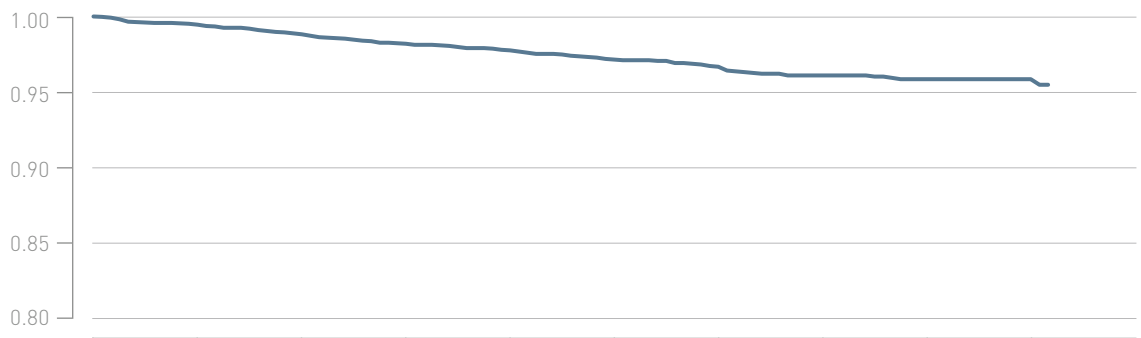
### Corox OTW

Product Versions _____	75, 85
Lead Type _____	helix fixation
Polarity _____	bipolar
Steroid _____	yes
U.S. Market Release _____	May 2008
CE Market Release _____	Dec 2006
Worldwide Distributed Devices _____	28 600
Registered U.S. Implants _____	4 140
Estimated Active U.S. Implants _____	2 690
U.S. Total Returned _____	74

	Quantity	Rate		Quantity	Rate
<b>U.S. Qualifying Complications</b> _____	96	2.32%	<b>U.S. Confirmed Malfunctions</b> _____	16	0.39%
Abnormal pacing impedance _____	2	0.05%	Conductor fracture _____	15	0.36%
Conductor fracture _____	3	0.07%	Insulation breach _____	1	0.02%
Extracardiac stimulation _____	8	0.19%			
Failure to capture _____	33	0.80%	<b>U.S. Acute Lead Observations</b> _____	9	0.22%
Insulation breach _____	2	0.05%	Lead dislodgement _____	7	0.17%
Lead dislodgement _____	35	0.85%	Other _____	2	0.05%
Oversensing _____	2	0.05%			
Other _____	11	0.27%			

• Total survival

Cumulative survival probability



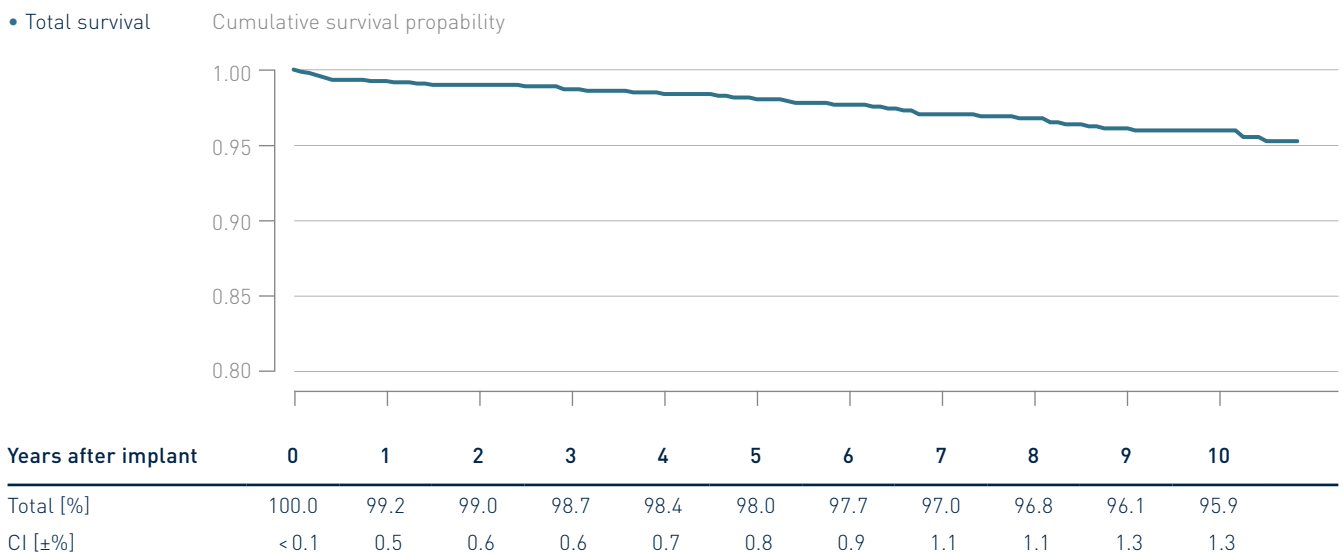
Years after implant	0	1	2	3	4	5	6	7	8	9
Total [%]	100.0	99.5	98.8	98.2	97.7	97.1	96.6	96.1	95.8	95.8
CI [±%]	< 0.1	0.2	0.4	0.5	0.5	0.6	0.7	0.7	0.8	0.8

## 6.3 CRT Leads

### Corox OTW

Product Versions _____	75, 85
Lead Type _____	helix fixation
Polarity _____	unipolar
Steroid _____	yes
U.S. Market Release _____	Aug 2006
CE Market Release _____	Apr 2004
Worldwide Distributed Devices _____	10 400
Registered U.S. Implants _____	1 430
Estimated Active U.S. Implants _____	689
U.S. Total Returned _____	26

	Quantity	Rate		Quantity	Rate
<b>U.S. Qualifying Complications</b> _____	39	2.74%	<b>U.S. Confirmed Malfunctions</b> _____	2	0.14%
Extracardiac stimulation _____	7	0.49%	Insulation breach _____	2	0.14%
Failure to capture _____	14	0.98%	<b>U.S. Acute Lead Observations</b> _____	4	0.28%
Insulation breach _____	2	0.14%	Failure to capture _____	3	0.21%
Lead dislodgement _____	10	0.70%	Lead dislodgement _____	1	0.07%
Oversensing _____	1	0.07%			
Other _____	5	0.35%			





## 6.3 CRT Leads

### Sentus OTW QP L

Product Versions _____	75, 75/49, 85, 85/49
Lead Type _____	dual-curve fixation
Polarity _____	quadripolar
Steroid _____	yes
U.S. Market Release _____	May 2017
CE Market Release _____	Dec 2014
Worldwide Distributed Devices _____	35200
Registered U.S. Implants _____	1990
Estimated Active U.S. Implants _____	1730
U.S. Total Returned _____	9

	Quantity	Rate		Quantity	Rate
<b>U.S. Qualifying Complications</b> _____	9	0.45%	<b>U.S. Confirmed Malfunctions</b> _____	1	0.05%
Failure to capture _____	3	0.15%	Conductor fracture _____	1	0.05%
Lead dislodgement _____	5	0.25%	<b>U.S. Acute Lead Observations</b> _____	5	0.25%
Other _____	1	0.05%	Extracardiac stimulation _____	2	0.10%
			Lead dislodgement _____	3	0.15%

• Total survival

Cumulative survival propability



Years after implant

0

1

Total [%] 100.0

CI [±%] < 0.1



# Methodology for Lead Survival Estimates Based on Clinical Studies

7.1 Introduction

7.2 BIOTRONIK's Clinical Studies

7.3 Lead Complications

# 7. Methodology for Lead Survival Estimates Based on Clinical Studies

## 7.1 Introduction

All leads and lead segments returned to BIOTRONIK are thoroughly analyzed to determine whether or not they meet BIOTRONIK's long term quality standards.

Although analysis of returned product is an excellent method for gaining insight into lead failure mechanisms, this data relies on the return of explanted leads. For the majority of complications the lead is not received for analysis as challenging clinical environments may not allow for the return, e.g. the extraction of an implanted lead may not be possible.

BIOTRONIK includes all reported chronic complications in the calculation of the survival estimates as described in chapter 5, i.e. reports with returned and without returned products.

However, BIOTRONIK can only report events in the survival estimates if those events were reported to BIOTRONIK. In order to eliminate possible biased survival estimates due to underreporting, BIOTRONIK performs clinical surveillance studies with active follow-up's under FDA guidance yielding the most reliable lead performance data.

In the following chapter BIOTRONIK shows – in addition to the survival data based on returned product analysis and chronic complication information – the lead performance data from clinical trials. These studies are designed to record clinical observations representative of the total clinical experience.

## 7.2 BIOTRONIK's Clinical Studies

### 7.2.1 GALAXY and CELESTIAL

BIOTRONIK's GALAXY and CELESTIAL Registries are prospective, non-randomized, observational studies. The key purpose of these registries is to confirm the long-term safety and reliability of BIOTRONIK leads as used in conjunction with a BIOTRONIK ICD (GALAXY) or CRT (CELESTIAL) system. All devices in the registries are legally marketed and available to physicians according to approved FDA indications for use. GALAXY and CELESTIAL Registries are registered on [clinicaltrials.gov](https://clinicaltrials.gov) under NCT00836589 and NCT00810264 respectively.

The evaluation of safety for GALAXY is based on the analysis of BIOTRONIK Linx ICD lead-related adverse events. The evaluation of safety for CELESTIAL is based on the analysis of BIOTRONIK Corox LV pacing lead-related adverse events. However, many CELESTIAL patients also have a Linx ICD lead implanted and the Linx clinical studies data in this report represents combined data from the GALAXY and CELESTIAL registries. Both registries are designed to continue for a 5 year follow-up duration per patient. As of the January 2018 PPR, incremental updates to Linx data originate from the CELESTIAL Registry, as the GALAXY Registry is complete. To ensure a sufficiently large and representative source of data, participating clinical sites must meet pre-specified selection criteria.

Every effort is made to ensure participants are representative of the range of clinical environments in which BIOTRONIK's cardiac rhythm products are used. Patients will be

seen for routine follow-up visits per their institutional standard of care until they can no longer be followed (e.g., death, lost to follow-up, etc.). However, to ensure regular patient status assessments are completed, follow-up windows consistent with typical care practices have been established with a minimum of once every six months follow-up requirement.

During each follow up at a study center the following steps are required during the follow-up visit:

- Interrogate programmed parameters
- Determine lead electrical parameters
- Evaluate device diagnostics and programmed parameters to ensure the device is providing appropriate therapy
- Determine if there are any reportable lead-related, pulse generator-related or implant procedure-related adverse events. If there are, complete an adverse event electronic case report form (eCRF)
- Complete all appropriate eCRFs

This active surveillance model ensures a robust dataset for effectively monitoring product performance.

#### **Patient Enrollment Criteria**

To support the objectives of these registries, participants are required to meet the following inclusion criteria prior to enrollment:

- Successfully implanted BIOTRONIK ICD (GALAXY) or BIOTRONIK CRT (CELESTIAL) system, including the study lead
- Able to understand the nature of the registry and give informed consent
- Available for follow-up visits on a regular basis at the study site
- Age greater than or equal to 18 years

Each site must inform BIOTRONIK whenever a lead complication has

occurred or when a patient is no longer participating.

All leads that experience a complication and are subsequently explanted and returned to BIOTRONIK undergo root-cause analyses. Product performance is analyzed as a function of time using the survival analysis method. Root causes for any failures, regardless of the incidence rates, are investigated.

## 7.2.2 SIELLO Clinical Study

BIOTRONIK's SIELLO Clinical Study is a prospective, non-randomized, combined Pre-Market Study and Post-Approval Registry designed to demonstrate the safety and effectiveness of the Siello pacing lead as used in conjunction with any market-released BIOTRONIK pacemaker device. The SIELLO Clinical Study is registered on [clinicaltrials.gov](https://clinicaltrials.gov) under NCT01791127.

For the Pre-Market Study, the evaluation of safety is based on the analysis of Siello lead-related adverse events through a follow-up time of 12 months post-implant, while the evaluation of effectiveness is based on analysis of the success rate of the implanted system including one or two Siello leads to sense and deliver pacing at 12 months post-implant.

For the Post-Approval Registry, the evaluation of long-term safety is based on the analysis of Siello lead-related adverse events through a follow-up time of 5 years post-implant. To ensure a sufficiently large and representative source of data, participating clinical sites must meet pre-specified selection criteria.

Every effort is made to ensure participants are representative of the range of clinical environments in which BIOTRONIK's cardiac rhythm products are used. Patients will be seen for routine follow-up visits until they can no longer be followed (e.g., death, lost to follow-up, etc.). However, to ensure regular patient status assessments are completed, a study

follow-up schedule consistent with typical care practices has been established, with required follow-ups at 3 and 6 months post-implant and every 6 months thereafter.

During each study follow-up visit the following steps are required:

- Interrogate programmed parameters
- Record electrical parameters of the implanted leads. Perform all pacing threshold measurements at 0.4 ms or 0.5 ms pulse width when feasible
- Evaluate device diagnostics, electrical parameters and programmed parameters to ensure the device is correctly pacing and sensing
- Determine if there are any lead-related, pulse generator-related or procedure related adverse events. If any are recorded, complete the Adverse Event eCRF
- Complete all appropriate eCRFs

This active surveillance model ensures a robust dataset for effectively monitoring product performance.

#### **Patient Enrollment Criteria**

To support the objectives of the study, participants are required to meet the following inclusion criteria prior to enrollment:

- Candidate for de novo implantation of a market-released BIOTRONIK pacemaker system, including one or two Siello leads. Candidate meets recommendation for pacemaker system implant put forth by guidelines of relevant professional societies
- Able to understand the nature of the study and provide informed consent
- Available for follow-up visits on a regular basis at the investigational site for the expected 5 years of follow-up
- Age greater than or equal to 18 years

Each site must inform BIOTRONIK whenever a lead complication has

occurred or when a patient is no longer participating.

All leads that experience a complication and are subsequently explanted and returned to BIOTRONIK undergo root-cause analyses. Product performance is analyzed as a function of time using the survival analysis method. Root causes for any failures, regardless of the incidence rates, are investigated.

### 7.2.3 Protego Post-Approval Registry

BIOTRONIK's Protego Post-Approval Registry is a prospective, single-arm, non-randomized registry to confirm the long-term safety and reliability of the Protego DF4 ICD lead when used in conjunction with a BIOTRONIK DF4 compatible ICD or CRT-D pulse generator. The Protego DF4 Post-Approval Registry is registered on [clinicaltrials.gov](https://clinicaltrials.gov) under NCT02243696.

The evaluation of safety will be based on the analysis of Protego DF4 lead related or header related adverse events through a follow-up time of 5 years post-implant. To ensure a sufficiently large and representative source of data, participating clinical sites must meet pre-specified selection criteria.

Every effort is made to ensure participants are representative of the range of clinical environments in which BIOTRONIK's cardiac rhythm products are used. Patients will be seen for routine follow-up visits per their institutional standard of care until they can no longer be followed (e.g., death, lost to follow-up, etc.). However, to ensure regular patient status assessments are completed, a study follow-up schedule consistent with typical care practices has been established, with required follow-ups at 3 and 6 months post-implant, and every 6 months thereafter.

During each study visit, the following are required:

- Interrogate programmed parameters
- Record electrical parameters of the implanted leads. Perform all pacing threshold measurements at 0.4 ms or 0.5 ms pulse width when feasible
- Evaluate device diagnostics and programmed parameters to ensure the device is providing appropriate therapy
- Determine if there are any lead-related, pulse generator-related or procedure related adverse events. If any are recorded, complete the Adverse Event eCRF
- Complete all appropriate eCRFs

This active surveillance model ensures a robust dataset for effectively monitoring product performance.

#### Patient Enrollment Criteria

To support the objectives of the study, participants are required to meet the following inclusion criteria prior to enrollment:

- Candidate for implant or successfully implanted with a BIOTRONIK ICD or BIOTRONIK CRT-D system, including a Protego lead
- Able to understand the nature of the registry and give informed consent
- Available for follow-up visits on a regular basis at the study site
- Age greater than or equal to 18 years

Each site must inform BIOTRONIK whenever a lead complication has occurred or when a patient is no longer participating.

All leads that experience a complication and are subsequently explanted and returned to BIOTRONIK undergo root-cause analyses. Product performance is analyzed as a function of time using the survival analysis method. Root causes for any failures, regardless of the incidence rates, are investigated.

### 7.2.4 QP ExCELS

BIOTRONIK's QP ExCELS Clinical Study is a combined Pre-Market and Post-Approval, non-randomized, multi-center registry designed to confirm the safety and efficacy of BIOTRONIK's Sentus QP leads in a clinical investigation to support regulatory approval as well as a long-term post-approval evaluation of the devices in the United States. The QP ExCELS Clinical Study is registered on [clinicaltrials.gov](https://clinicaltrials.gov) under NCT02290028.

For the Pre-Market Study, the evaluation of safety is based on the analysis of Sentus QP lead-related adverse events through a follow-up time of 6 months post-implant, while the evaluation of effectiveness is based on analysis on the percentage of subjects with an acceptable LV pacing threshold in the permanently programmed vector at 3-months post-implant.

For the Post-Approval Study, the evaluation of safety will be based on the analysis of Sentus QP lead-related adverse events through a follow-up time of 5 years post-implant. To ensure a sufficiently large and representative source of data, participating clinical sites must meet pre-specified selection criteria.

Every effort is made to ensure participants are representative of the range of clinical environments in which BIOTRONIK's cardiac rhythm products are used. Patients will be seen for routine follow-up visits per their institutional standard of care until they can no longer be followed (e.g., death, lost to follow-up, etc.). However, to ensure regular patient status assessments are completed, a study follow-up schedule consistent with typical care practices has been established, which required follow-ups at discharge/wound check, 3 and 6 months post-implant, and every 6 months thereafter.

#### Patient Enrollment Criteria

To support the objectives of the study, participants are required to meet the

following inclusion criteria prior to enrollment:

- Standard CRT-D indication according to clinical routine
- De novo implantation or upgrade from existing ICD or pacemaker implant (with no prior attempt at LV lead placement) utilizing a BIOTRONIK CRT-D system with IS4 LV port and Sentus QP LV lead
- Patient is able and willing to complete all routine study visits at the investigational site through 5 years of follow-up
- Patient is able to understand the nature of the clinical investigation and provide written informed consent
- Patient accepts Home Monitoring concept
- Age greater than or equal to 18 years

Each site must inform BIOTRONIK whenever a lead complication has occurred or when a patient is no longer participating.

All leads that experience a complication and are subsequently explanted and returned to BIOTRONIK undergo root-cause analyses. Product performance is analyzed as a function of time using the survival analysis method. Root causes for any failures, regardless of the incidence rates, are investigated.

## 7.3 Lead Complications

The data presented characterizes chronic lead performance by estimating lead-related complication free survival probabilities. Following industry practice, for analysis purposes, the complication criteria, which align with the AdvaMed "Industry Guidance for Uniform Reporting of Clinical Performance of Cardiac Rhythm Management Pulse Generators and Leads", are defined below.

### 7.3.1 GALAXY and CELESTIAL

All reported lead-related adverse events within the GALAXY and CELESTIAL Registries are classified by the reporting investigator and are adjudicated by an independent event adjudication committee. A lead related complication is considered to have occurred if a clinical observation happens after successful implantation, is classified with at least one of the following event classifications and at least one of the following clinical actions is made. Any Clinical Event without a related Clinical Action is not considered a Qualifying Complication. Events with an onset date 30 days or less after the implant are acute observations and are listed separately.

#### Event Classifications

- Failure to capture
- Failure to sense/undersensing
- Oversensing
- Abnormal pacing impedance (based on lead model, but normal range is typically 200- 2,000 ohms)
- Abnormal defibrillation impedance (based on lead model, but normal range is 25 – 150 ohms)
- Insulation breach
- Conductor fracture, confirmed electrically, visually or radiographically
- Extracardiac stimulation
- Cardiac perforation
- Lead dislodgement

#### Clinical Actions

- Lead surgically abandoned/capped
- Lead electrically abandoned
- Lead explanted
- Lead replaced
- Lead conductor taken out of service
- Lead use continued based on medical judgment despite a known clinical performance issue
- Other lead-related surgery

### 7.3.2 SIELLO

All reported lead-related adverse events within the SIELLO Clinical Study are classified by the reporting investigator and are adjudicated by an independent event adjudication committee. A lead related complication is considered to have occurred if a clinical observation happens after successful implantation and is classified with at least one of the following event classifications. Events with an onset date 30 days or less after the implant are acute observations and are listed separately.

#### Event Classifications

- Failure to capture
- Failure to sense/undersensing
- Oversensing
- Abnormal pacing impedance (based on lead model, but normal range is typically 200 - 2,000 Ohm)
- Insulation breach
- Conductor fracture, confirmed electrically, visually or radiographically
- Extracardiac stimulation
- Cardiac perforation
- Lead dislodgement

### 7.3.3 Protego

All reported lead-related adverse events within the Protego Registry are classified by the reporting investigator and are adjudicated by an independent event adjudication committee. A lead related complication is considered to have occurred if a clinical observation happens after successful implantation and is classified with at least one of the following event classifications. Events with an onset date 30 days or less after the implant are acute observations and are listed separately.

#### Event Classifications

- Failure to capture
- Failure to sense/undersensing
- Oversensing

- Abnormal pacing impedance (based on lead model, but normal range is typically 200 - 2,000 Ohm)
- Abnormal defibrillation impedance (based on lead model, but normal range is 25 to 150 Ohm)
- Insulation breach
- Conductor fracture, confirmed electrically, visually or radiographically
- Extracardiac stimulation
- Cardiac perforation
- Lead dislodgement

### 7.3.4 QP ExCELS

All reported lead-related adverse events within the QP ExCELS registry are classified by the reporting investigator and are adjudicated by an independent event adjudication committee. A lead related complication is considered to have occurred if a clinical observation happens after successful implantation and is classified with at least one of the following event classifications. Events with an onset date 30 days or less after the implant are acute observations and are listed separately.

#### Event Classifications

- Failure to capture
- Failure to sense/undersensing
- Oversensing
- Abnormal pacing impedance (based on lead model, but normal range is typically 200 - 2,000 Ohm)
- Insulation breach
- Conductor fracture, confirmed electrically, visually or radiographically
- Extracardiac stimulation
- Cardiac perforation
- Lead dislodgement

## 7.4 Lead Product Performance Graphs and Data

The clinical data presented on the following page is intended to show the long term clinical performance of leads based on clinical studies. The same analysis methods as described in chapter 5 are applied.

#### Returned Product Analysis Results

Although the returned product analysis data is not used to generate the survival estimates for the clinical data, it provides valuable insight into the causes of lead malfunction. Following the same approach as for complaint data, a malfunction is reported as described in section 5.3 of this report.



## **Performance of BIOTRONIK Leads Based on Clinical Study Data**

8.1 Performance of Pacing Leads

8.2 Performance of ICD Leads



## 8.1 Performance of Pacing Leads

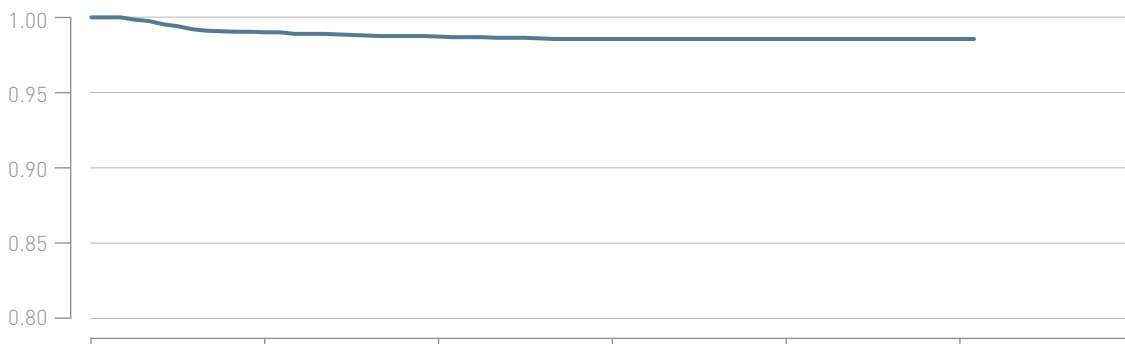
### Siello S / Solia S Study Data

Product Versions _____	45, 53, 60
Lead Type _____	straight, active fixation
Polarity _____	bipolar
Steroid _____	yes
U.S. Market Release _____	Jun 2016
CE Market Release _____	Jul 2009
Worldwide Distributed Devices _____	898 000
Leads registered in study _____	3 238

	Quantity	Rate		Quantity	Rate
<b>U.S. Qualifying Complications</b> _____	43	1.33%	<b>U.S. Confirmed Malfunctions</b> _____	2	0.06%
Abnormal pacing impedance _____	2	0.06%	Conductor fracture _____	1	0.03%
Cardiac perforation _____	2	0.06%	Insulation breach _____	1	0.03%
Conductor fracture _____	1	0.03%			
Failure to capture _____	18	0.56%	<b>U.S. Acute Lead Observations</b> _____	26	0.80%
Failure to sense (undersensing) _____	10	0.31%	Cardiac perforation _____	8	0.25%
Lead dislodgement _____	8	0.25%	Extracardiac stimulation _____	2	0.06%
Oversensing _____	1	0.03%	Failure to capture _____	6	0.19%
Other _____	1	0.03%	Failure to sense (undersensing) _____	5	0.15%
			Lead dislodgement _____	5	0.15%

• Total survival

Cumulative survival propability



Years after implant	0	1	2	3	4	5
Total [%]	100.0	99.0	98.7	98.5	98.5	98.5
CI [±%]	< 0.1	0.4	0.4	0.4	0.4	0.4

## 8.2 Performance of ICD Leads

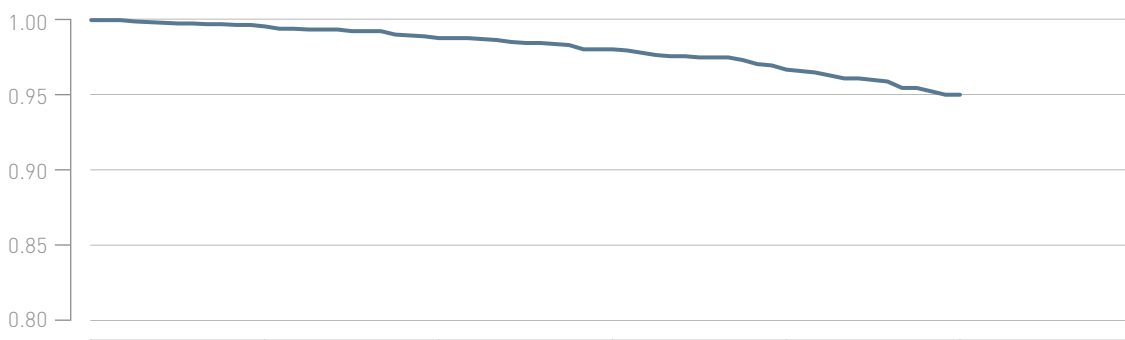
### Linux SD Study Data

Product Versions	60/16, 65/16, 65/18, 75/18
Lead Type	dual-coil, active fixation
Polarity	bipolar
Steroid	yes
U.S. Market Release	Apr 2006
CE Market Release	Aug 2006
Worldwide Distributed Devices	55 100
Leads registered in study	2 272

	Quantity	Rate		Quantity	Rate
<b>U.S. Qualifying Complications</b>	67	2.95%	<b>U.S. Confirmed Malfunctions</b>	23	1.01%
Abnormal defibrillation impedance	4	0.18%	Conductor fracture	3	0.13%
Abnormal pacing impedance	9	0.40%	Insulation breach	20	0.88%
Cardiac perforation	1	0.04%			
Conductor fracture	10	0.44%	<b>U.S. Acute Lead Observations</b>	9	0.40%
Failure to capture	7	0.31%	Cardiac perforation	4	0.18%
Failure to sense	3	0.13%	Conductor fracture	1	0.04%
Insulation breach	13	0.57%	Failure to capture	2	0.09%
Lead dislodgement	3	0.13%	Lead dislodgement	1	0.04%
Oversensing	17	0.75%	Other	1	0.04%

• Total survival

Cumulative survival probability



Years after implant	0	1	2	3	4	5
Total [%]	100.0	99.6	98.8	98.0	96.7	95.0
CI [±%]	< 0.1	0.3	0.5	0.7	0.9	1.2

## 8.2 Performance of ICD Leads

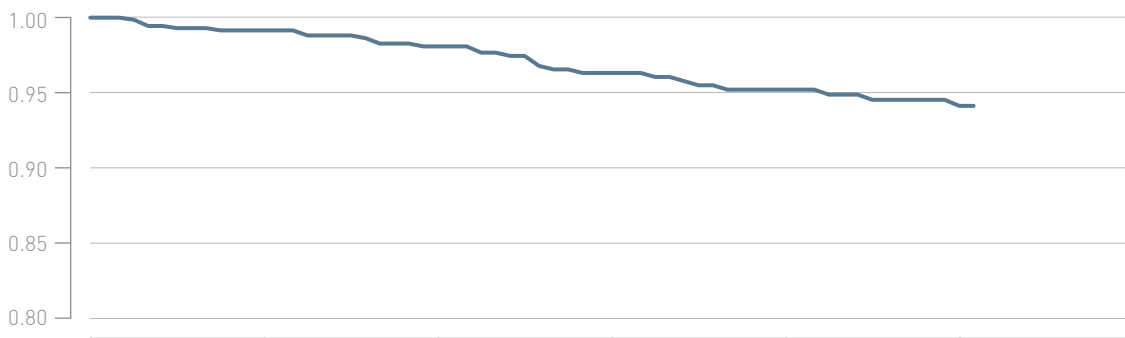
### Linux<sup>smart</sup> SD Study Data

Product Versions \_\_\_\_\_ 60/16, 65/16, 65/18, 75/18  
 Lead Type \_\_\_\_\_ dual-coil, active fixation  
 Polarity \_\_\_\_\_ bipolar  
 Steroid \_\_\_\_\_ yes  
 U.S. Market Release \_\_\_\_\_ Jan 2011  
 CE Market Release \_\_\_\_\_ Oct 2009  
 Worldwide Distributed Devices \_\_\_\_\_ 54 700  
 Leads registered in study \_\_\_\_\_ 736

	Quantity	Rate		Quantity	Rate
<b>U.S. Qualifying Complications</b>	27	3.67%	<b>U.S. Confirmed Malfunctions</b>	7	0.95%
Abnormal defibrillation impedance	2	0.27%	Insulation breach	7	0.95%
Abnormal pacing impedance	1	0.14%			
Conductor fracture	3	0.41%	<b>U.S. Acute Lead Observations</b>	2	0.27%
Failure to capture	2	0.27%	Lead dislodgement	2	0.27%
Insulation breach	4	0.54%			
Lead dislodgement	6	0.82%			
Oversensing	9	1.22%			

• Total survival

Cumulative survival probability



Years after implant	0	1	2	3	4	5
Total [%]	100.0	99.1	98.1	96.3	95.2	94.1
CI [±%]	< 0.1	0.8	1.1	1.7	2.0	2.3

## 8.2 Performance of ICD Leads

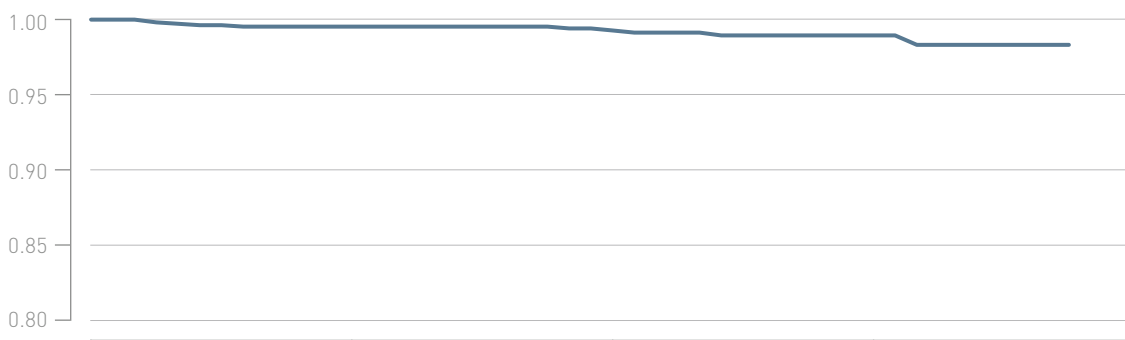
### Protego S Study Data

Product Versions _____	60, 65, 75
Lead Type _____	single-coil, active fixation
Polarity _____	bipolar
Steroid _____	yes
U.S. Market Release _____	Jul 2014
CE Market Release _____	Feb 2014
Worldwide Distributed Devices _____	52 900
Leads registered in study _____	1 090

	Quantity	Rate		Quantity	Rate
<b>U.S. Qualifying Complications</b> _____	10	0.92%	<b>U.S. Confirmed Malfunctions</b> _____	4	0.37%
Conductor fracture _____	2	0.18%	Conductor fracture _____	2	0.18%
Failure to capture _____	1	0.09%	Insulation breach _____	2	0.18%
Failure to sense _____	1	0.09%			
Lead dislodgement _____	2	0.18%	<b>U.S. Acute Lead Observations</b> _____	4	0.37%
Oversensing _____	4	0.37%	Cardiac perforation _____	3	0.28%
			Lead dislodgement _____	1	0.09%

• Total survival

Cumulative survival probability



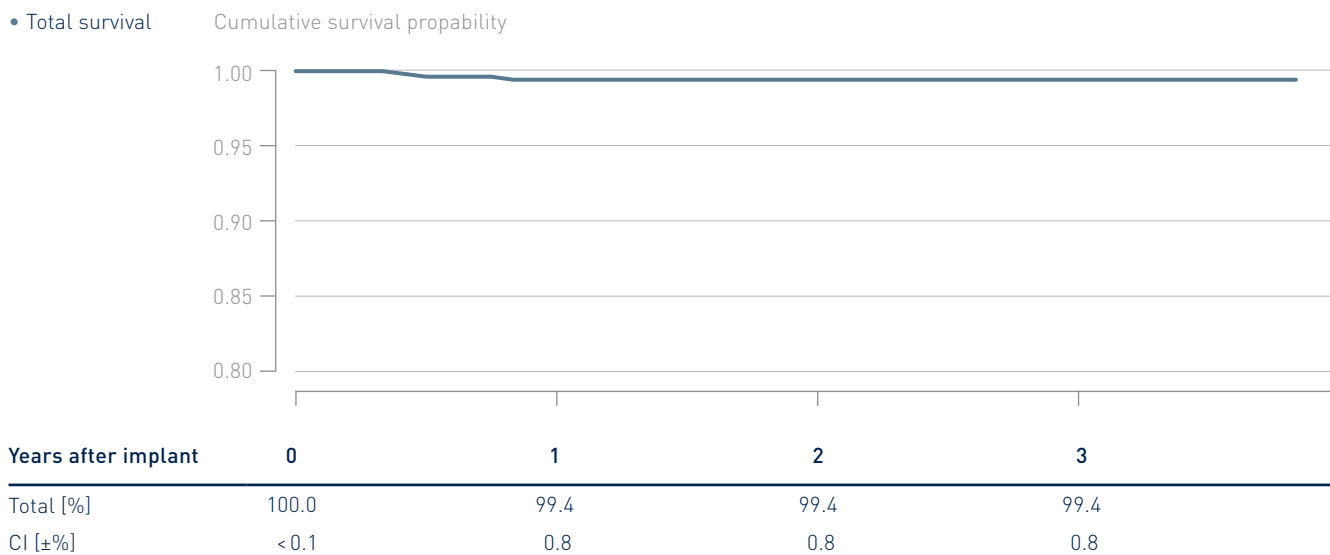
Years after implant	0	1	2	3
Total [%]	100.0	99.5	99.3	98.9
CI [±%]	< 0.1	0.5	0.6	0.8

## 8.2 Performance of ICD Leads

### Protego SD Study Data

Product Versions \_\_\_\_\_ 60/16, 65/16, 65/18, 75/18  
 Lead Type \_\_\_\_\_ dual-coil, active fixation  
 Polarity \_\_\_\_\_ bipolar  
 Steroid \_\_\_\_\_ yes  
 U.S. Market Release \_\_\_\_\_ Jul 2014  
 CE Market Release \_\_\_\_\_ May 2013  
 Worldwide Distributed Devices \_\_\_\_\_ 18300  
 Leads registered in study \_\_\_\_\_ 533

	Quantity	Rate		Quantity	Rate
<b>U.S. Qualifying Complications</b>	3	0.56%	<b>U.S. Confirmed Malfunctions</b>	0	0.00%
Abnormal defibrillation impedance	1	0.19%			
Conductor fracture	1	0.19%	<b>U.S. Acute Lead Observations</b>	2	0.38%
Failure to capture	1	0.19%	Lead dislodgement	2	0.38%



## 8.3 Performance of CRT Leads

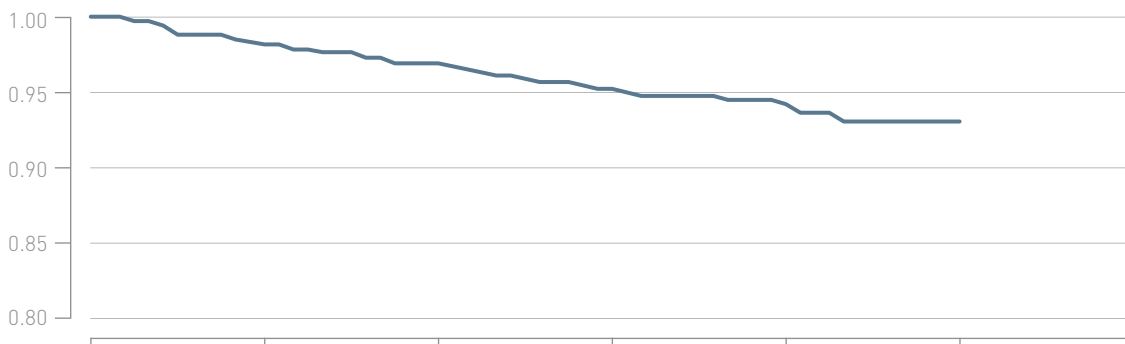
### Corox OTW Study Data

Product Versions _____	75, 85
Lead Type _____	helix fixation
Polarity _____	bipolar
Steroid _____	yes
U.S. Market Release _____	May 2008
CE Market Release _____	Dec 2006
Worldwide Distributed Devices _____	28 600
Leads registered in study _____	696

	Quantity	Rate		Quantity	Rate
<b>U.S. Qualifying Complications</b> _____	35	5.03%	<b>U.S. Confirmed Malfunctions</b> _____	6	0.86%
Abnormal pacing impedance _____	6	0.86%	Conductor fracture _____	6	0.86%
Conductor fracture _____	5	0.72%	<b>U.S. Acute Lead Observations</b> _____	4	0.57%
Extracardiac stimulation _____	3	0.43%	Extracardiac stimulation _____	1	0.14%
Failure to capture _____	5	0.72%	Lead dislodgement _____	3	0.43%
Lead dislodgement _____	16	2.30%			

• Total survival

Cumulative survival propability



Years after implant	0	1	2	3	4	5
Total [%]	100.0	98.2	96.9	95.2	94.2	93.0
CI [±%]	<0.1	1.1	1.4	1.8	2.1	2.3

## 8.3 Performance of CRT Leads

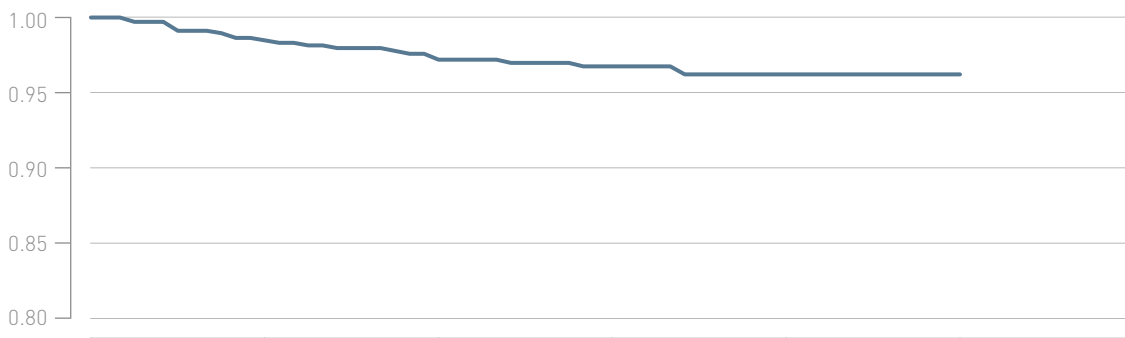
### Corox OTW-L Study Data

Product Versions _____	75, 85
Lead Type _____	dual-curve fixation
Polarity _____	bipolar
Steroid _____	yes
U.S. Market Release _____	Jan 2011
CE Market Release _____	Dec 2009
Worldwide Distributed Devices _____	31 500
Leads registered in study _____	698

	Quantity	Rate		Quantity	Rate
<b>U.S. Qualifying Complications</b> _____	21	3.01%	<b>U.S. Confirmed Malfunctions</b> _____	0	0.00%
Extracardiac stimulation _____	4	0.57%			
Failure to capture _____	7	1.00%	<b>U.S. Acute Lead Observations</b> _____	4	0.57%
Lead dislodgement _____	10	1.43%	Extracardiac stimulation _____	3	0.43%
			Lead dislodgement _____	1	0.14%

• Total survival

Cumulative survival propability



Years after implant	0	1	2	3	4	5
Total [%]	100.0	98.5	97.1	96.7	96.1	96.1
CI [±%]	< 0.1	1.0	1.4	1.5	1.7	1.7

## 8.3 Performance of CRT Leads

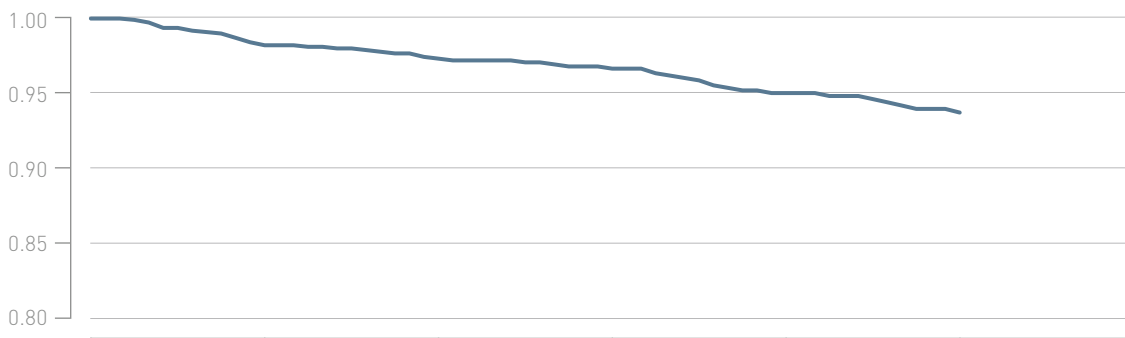
### Corox OTW-S Study Data

Product Versions _____	75, 85
Lead Type _____	thread fixation
Polarity _____	bipolar
Steroid _____	yes
U.S. Market Release _____	May 2008
CE Market Release _____	Dec 2006
Worldwide Distributed Devices _____	26 400
Leads registered in study _____	1 142

	Quantity	Rate		Quantity	Rate
<b>U.S. Qualifying Complications</b> _____	48	4.20%	<b>U.S. Confirmed Malfunctions</b> _____	1	0.09%
Abnormal pacing impedance _____	12	1.05%	Insulation breach _____	1	0.09%
Extracardiac stimulation _____	9	0.79%			
Failure to capture _____	9	0.79%	<b>U.S. Acute Lead Observations</b> _____	6	0.53%
Lead dislodgement _____	18	1.58%	Extracardiac stimulation _____	1	0.09%
			Failure to capture _____	1	0.09%
			Lead dislodgement _____	4	0.35%

• Total survival

Cumulative survival probability



Years after implant	0	1	2	3	4	5
Total [%]	100.0	98.2	97.3	96.6	95.0	93.7
CI [±%]	<0.1	0.8	1.0	1.2	1.5	1.8



## 8.3 Performance of CRT Leads

### Sentus OTW QP S Study Data

Product Versions _____	75, 75/49, 85, 85/49
Lead Type _____	thread fixation
Polarity _____	quadripolar
Steroid _____	yes
U.S. Market Release _____	May 2017
CE Market Release _____	Dec 2014
Worldwide Distributed Devices _____	9 220
Leads registered in study _____	311

	Quantity	Rate		Quantity	Rate
<b>U.S. Qualifying Complications</b> _____	8	2.57%	<b>U.S. Confirmed Malfunctions</b> _____	2	0.64%
Extracardiac stimulation _____	1	0.32%	Conductor fracture _____	2	0.64%
Failure to capture _____	2	0.64%			
Lead dislodgement _____	5	1.61%	<b>U.S. Acute Lead Observations</b> _____	6	1.93%
			Cardiac perforation _____	1	0.32%
			Failure to capture _____	1	0.32%
			Lead dislodgement _____	4	1.29%

• Total survival

Cumulative survival propability



Years after implant	0	1	2
Total [%]	100.0	96.9	96.9
CI [±%]	< 0.1	2.3	2.3

## 8.3 Performance of CRT Leads

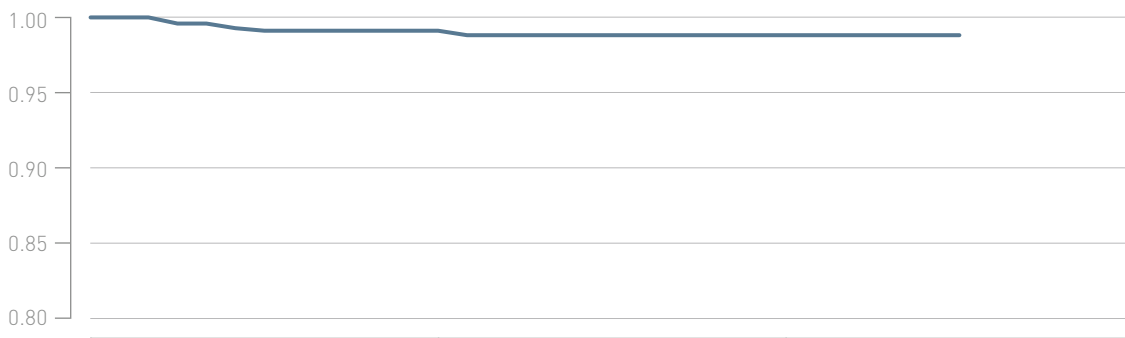
### Sentus OTW QP L Study Data

Product Versions \_\_\_\_\_ 75, 75/49, 85, 85/49  
 Lead Type \_\_\_\_\_ dual-curve fixation  
 Polarity \_\_\_\_\_ quadripolar  
 Steroid \_\_\_\_\_ yes  
 U.S. Market Release \_\_\_\_\_ May 2017  
 CE Market Release \_\_\_\_\_ Dec 2014  
 Worldwide Distributed Devices \_\_\_\_\_ 35 200  
 Leads registered in study \_\_\_\_\_ 770

	Quantity	Rate		Quantity	Rate
<b>U.S. Qualifying Complications</b> _____	7	0.91%	<b>U.S. Confirmed Malfunctions</b> _____	1	0.13%
Extracardiac stimulation _____	2	0.26%	Conductor fracture _____	1	0.13%
Failure to capture _____	2	0.26%			
Lead dislodgement _____	3	0.39%	<b>U.S. Acute Lead Observations</b> _____	4	0.52%
			Extracardiac stimulation _____	1	0.13%
			Failure to capture _____	2	0.26%
			Lead dislodgement _____	1	0.13%

• Total survival

Cumulative survival propability



Years after implant	0	1	2
Total [%]	100.0	99.1	98.8
CI [±%]	< 0.1	0.8	1.0



# Advisories

## 9. Advisories

### Stratos LV-T Potentially defective low voltage capacitors 84 devices world-wide, none in the United States

#### Status Update As of January 2018

- No reports of malfunctioning pacemakers associated with this capacitor lot were received.
- No reports of deaths or serious injuries were received associated with this advisory.
- An extended test program yielded no malfunctions of the capacitors under question.

#### Original communication July 2006

A limited number of our Stratos LV-T pacemakers may exhibit a device malfunction resulting in increased battery current and in loss of output, very likely simultaneously on all channels.

BIOTRONIK has identified a specific batch of low voltage capacitors from a single component supplier as the root cause. Please be assured that the capacitors from this batch are no longer being used in any BIOTRONIK device, and that no other pacemaker or ICD manufactured by BIOTRONIK is affected.

To date, no field reports related to this phenomenon were received.

The anomaly is limited to 84 devices world-wide. BIOTRONIK has taken immediate action to retrieve all potentially affected devices not yet delivered to hospitals. According to our records, 14 devices were delivered to

hospitals and may have been implanted.

Based on preliminary data received from the component supplier, the projected rate of occurrence is expected to be less than 1 out of 1000 devices.

Our records show that you are the implanting and/or follow-up physician for one or more patients with an affected device. We recommend the following actions:


- If Home Monitoring is **activated**, please check the Cardio Report and the pacing impedance history. A rapid and significant increase of the pacing impedance on one or more channels may indicate a possible loss of output. In this case we recommend to schedule a patient follow-up as soon as possible.
- If Home Monitoring is **not activated** or pacing impedance data from Cardio Reports are not available we recommend to schedule a patient follow-up as soon as possible.
- During a **follow-up session** please perform the "Battery Lead Telemetry" test. A significantly increased pacing impedance on one or more channels or a significantly increased battery current may indicate a possible loss of output.
- If no anomaly is detected please **activate Home Monitoring**. You may use regular follow-up intervals if Home Monitoring is used for remote monitoring between follow-ups.
- **In any case we recommend to replace the device of a pacemaker-dependent patient without underlying intrinsic rhythm.**

We have tried to be as specific as possible with our recommendations. As always, individual circumstances and medical judgement determine

decisions about patient care, the urgency of follow-ups and possible replacement of devices.

If a Stratos LV-T is replaced for the reason explained in this notification, BIOTRONIK will provide a replacement device consistent with the terms of our warranty. For activation of the warranty, please return the explanted device to BIOTRONIK within 30 days of the explantation.

# X-Ray Identifiers for Pacemakers and ICDs

Pacemaker/ICD Product Versions	X-Ray ID
Cylos DR, DR-T, VR	RZ
Cylos 990 DR, DR-T, VR	FV
Edora 8 DR, DR-T	
Entovis DR, DR-T, SR, SR-T	SF
Eluna 8 DR, DR-T	SF
Estella SR, SR-T, DR, DR-T	SF
Etrinsa 8 SR-T, DR-T, HF-T	SF
Evia DR, DR-T, SR, SR-T, HF, HF-T	SF
Iforia 7 VR-T DX, DR-T	NT
Ilesto 7 DR-T, HF-T, VR-T DX, VR-T, DR-T DF4	NT
Inventra 7 VR-T SX	AH
Iperia 7 VR-T, DR-T, VR-T DX, VR-T DF4, HF-T DF4	NH
Itrevia 7 VR-T, DR-T, VR-T DX, DR-T DF4, HF-T, HF-T DF4	NH
Lumax 340 DR-T, HF-T, VR-T	HR
Lumax 540 DR-T, HF-T, VR-T	SH
Lumax 740 DR-T, HF-T, VR-T, VR-T DX	RH
Philos DR, D, SLR, SR, S	LE
Philos DR-T	WV
Philos II DR, D, S, SLR, SR	ET
Philos II DR-T	KP
Stratos LV, LV-T	SV
Talos DR, D, SLR, SR, S	PV

# Contacting BIOTRONIK

## Regarding this Report

BIOTRONIK invites your suggestions and questions related to this Product Performance Report. Please send your comments to: **Worldwide CRM Technical Services**

**Phone** +49 (0) 30 68905 1275  
**Fax** +49 (0) 30 68905 96 1275  
**E-mail** PPR@biotronik.com

### Address

BIOTRONIK SE & Co. KG  
Attn: Quality Patient Safety  
Woermannkehre 1  
12359 Berlin, Germany

## Within the U.S.:

**Phone** (888) 345 0374  
**Fax** (503) 635 9936  
**E-mail** PPR@biotronik.com

### Address

BIOTRONIK, Inc.  
Attn: Compliance Department  
6024 Jean Road  
Lake Oswego, OR 97035

## Regarding Products

BIOTRONIK invites customers to call the following locations with suggestions, comments or specific questions related to BIOTRONIK products: **Worldwide CRM Product Support**

**Phone** + 49 (0) 30 689 05 1133  
**Fax** + 49 (0) 30 689 05 1960  
**Email** product.support@biotronik.com

### Address

BIOTRONIK SE & Co. KG  
Attn: Product Support  
Woermannkehre 1  
12359 Berlin, Germany

## Within the U.S.:

**Phone** (800) 284 6689  
**Fax** (800) 387 2681  
**E-mail** technical.services@biotronik.com

### Address

BIOTRONIK, Inc.  
Attn: Technical Services  
6024 Jean Road  
Lake Oswego, OR 97035