

Product Performance Report 1st Edition 2022

Cardiac Rhythm Management Cumulative Survival Probability



Product Performance Report 1st Edition 2022

Cardiac Rhythm Management Pacemakers ICDs Leads

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Quality Excellence

BIOTRONIK has a long history of high quality in product design and performance. For more than 50 years, the name BIOTRONIK has been synonymous with excellent workmanship and reliable patient safety. Our quality concept follows an integrated approach and extends from preventative risk measures during a product's development phase through all the steps of the manufacturing and design process.

BIOTRONIK's quality assurance system guarantees strict adherence to internal quality standards as well as compliance with international standards and guidelines. Regular reviews of our product performance and manufacturing evaluations contribute significantly to the achievement of extraordinary quality. Our customers, patients, and physicians can rely on the highest degree of safety built into our products. We always welcome suggestions from users about how we can improve the quality of our products.

This Product Performance Report is an integral component of BIOTRONIK's commitment to provide detailed, accurate information regarding long term reliability. The Product Performance Report exemplifies BIOTRONIK's policy of transparent and timely communication with our customers. As a means to obtain continuous improvement of the designs, **BIOTRONIK** carefully analyzes returned products and incorporates all findings into our quality assurance system. This Product Performance Report was prepared in accordance with International Standard ISO 5841-2: 2014 (E)¹ and is in compliance with the recommendations from the U.S. Heart Rhythm Society Task Force on Device Performance Policies and Guidelines. As an active member of AdvaMed and their Pacemaker/ICD Working Group, BIOTRONIK has worked extensively with the CRM industry to ensure comparable product performance data is reported by all manufacturers. The data provided in BIOTRONIK's

Product Performance Report incorporates the requirements and definitions as defined in AdvaMed's Requirements for Uniform Reporting of Clinical Performance of Pulse Generators, except as noted herein.

In BIOTRONIK's continuous efforts to provide accurate and transparent information and to ensure that a conservative estimate for device performance is reported, the Survival Probability calculations presented herein also consider reported pacemaker and ICD battery depletions as well as lead complications without the device having been returned for analysis.

> 1 The ISO 5841-2:2014[E] is replacing the previous version ISO 5841-2:2000. As part of the update, AdvaMed's Requirements for Uniform Reporting of Clinical Performance of Pulse Generators were incorporated in the new ISO 5841-2:2014[E].

Because a significant portion of this report is based on analyses of returned products, BIOTRONIK urges all physicians to return explanted devices and to notify us when a product is explanted or no longer in use for any reason.

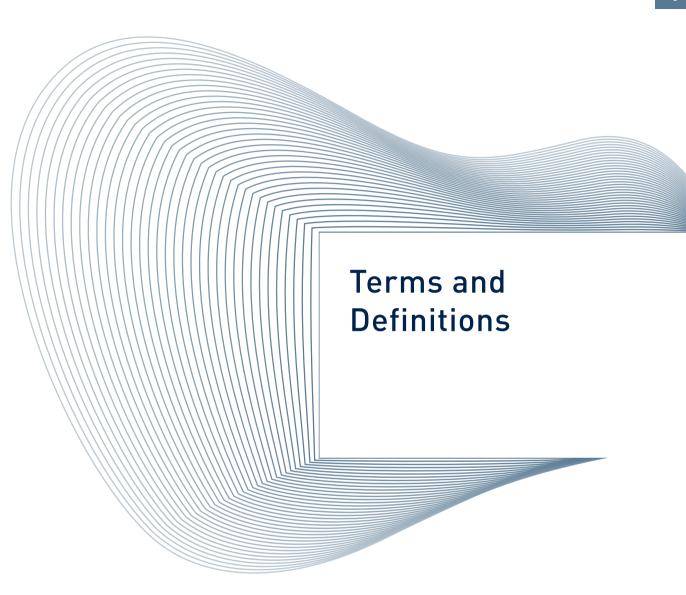
BIOTRONIK aims to continually improve and enhance the scope of this report while integrating the latest information and data concerning the performance of our products. Please contact Advanced Product Support [800] 547-0394 or the PPR Support Team at ppr@biotronik.com with any comments, suggestions or questions regarding this report. Your feedback is highly appreciated and will be used to further develop this report.

BIOTRONIK, May 2022



R. D.M.

Roman Borkowski Senior Vice President Quality Management & Regulatory Affairs CRM BIOTRONIK SE & Co. KG



1. Terms and Definitions

The following terms and definitions are used for pacemakers and implantable cardioverter-defibrillators (ICDs) as well as pacing and ICD leads throughout this Product Performance Report. These definitions form the basis for this Product Performance Report by clearly articulating the status of each device return and product analysis classification.

Elective Replacement Indicator

All active implantable devices that are powered by an internal battery need to be replaced when their battery is depleted. BIOTRONIK pacemakers and ICDs have an Elective Replacement Indicator (ERI) feature aka Recommended Replacement Time (RRT) that notifies the health care provider when the device's battery is nearing the end of its useful life. Display of ERI is BIOTRONIK's recommendation to the user that the battery's present state will require device replacement in the near future. For further details please refer to the corresponding manual.

Battery Depletion

Battery depletions are classified as either normal (expected) or premature. Premature battery depletions are defined as device malfunctions, while normal battery depletions are not device malfunctions. Batteries of returned devices are considered to have depleted normally when (a) a device is returned with no associated complaint and the device has reached its elective replacement indicator(s) with implant time that meets or exceeds the nominal (50 percentile) predicted longevity at default (labeled) settings, or (b) a device is returned and the device has reached its elective replacement indicator(s) with implant time exceeding 75% of the expected longevity using the longevity calculation tool available at time of product introduction, calculated using the device's actual use conditions and settings.

For consistency with previous Product Performance Reports, for ICDs released prior to Lumax and pacemakers released prior to Philos II, batteries of returned devices are considered to have depleted normally if they have reached their elective replacement indicator and testing indicates that the battery and associated circuitry are within specifications.

Out of Specification

Any component or software related event that causes the device's characteristics to not meet predefined performance specifications and requirements while implanted and in service. Returned product analysis that determines a device to be out of specification is considered a device malfunction. Normal battery depletions are not considered device malfunctions. BIOTRONIK defines the requirements and performance specifications for each product.

Device Malfunctions

Any component or software related event that causes the device's characteristics to be out of specification while implanted and in service are considered as device malfunctions. Because it is impossible to verify that a device has malfunctioned without analyzing it, only returned devices can be classified as malfunctions for this report. Each returned lead, ICD and pacemaker is analyzed to determine if it has malfunctioned. If the analysis determines that a pacemaker or ICD failed to meet its specifications while implanted and in service, it is further classified as either a malfunction with compromised therapy or as a malfunction without compromised therapy. Devices damaged during implant, revision or after explant, damaged due to external causes (i.e. electrocautery) or due to failure to follow instructions, warnings or contraindications in its associated

technical manual are not considered malfunctions. Devices damaged due to interaction with other implanted devices (i.e., leads) are also not considered as malfunctions for the purposes of this Product Performance Report.

Malfunctions with Compromised Therapy

The condition when a pacemaker or ICD is found to have malfunctioned in a manner that compromised pacing or defibrillation therapy (including complete loss or partial degradation) while implanted and in service. Therapy is considered to have been compromised if critical patientprotective pacing or defibrillation therapy is not available.

Examples include: sudden loss of battery voltage; accelerated current drain such that a depleted battery was not detected before loss of therapy; sudden malfunction during a tachycardia or fibrillation event resulting in aborted delivery of therapy; intermittent malfunction where therapy is sporadically unavailable.

Malfunctions without Compromised Therapy

The condition when a pacemaker or ICD is found to have malfunctioned in a manner that did not compromise pacing or defibrillation therapy while implanted and in service. Therapy is not compromised as long as critical patient-protective pacing and defibrillation therapies are available as determined through device analysis.

Lead Complications

A lead performance issue where a complaint, associated with at least one of the clinical manifestations listed below, is reported and where the nonreturned lead is:

- Verified by medical records to have been implanted and in-service, and
- Reported to have been removed from service,
- Modified to remedy the malfunction, or
- Left in service based on medical judgment.

Complications for leads implanted greater than 30 days are reported as qualifying lead complications, whereas complications occurring during the first 30 days are reported as acute lead observations.

In accordance with the latest AdvaMed guidelines and ISO 5841-2:2014(E), the complications are classified in the following categories:

- Failure to Capture
- Failure to Sense
- Oversensing
- Abnormal Pacing Impedance
- Abnormal Defibrillation Impedance
- Insulation Breach
- Conductor Fracture
- Lead Dislodgement
- Extracardiac Stimulation
- Cardiac Perforation
- Other

Survival Probability Estimates

The probability that a device remains operational during a discrete time interval is defined as survival probability. Survival probability, as presented in this report, is related to device survival only and not survival of the patient. The survival probability estimates in this report are based on BIOTRONIK's analysis of returned products as well as events that are reported to BIOTRONIK (e.g., battery depletions or lead complications).

Cumulative Survival Probability Estimates

The survival probability over a device's service time is the cumulative survival probability. It is calculated from all discrete survival probabilities of previous time intervals. This characteristic is calculated separately for malfunction-free survival and allcause survival (including normal battery depletions). Specific populations that are subject to a safety advisory notification are excluded and shown separately.

Implanted Devices

Only devices remaining implanted for at least one calendar day after the implantation date are considered as implanted. Devices that are removed from the patient on the same calendar day as the implant procedure do not contribute to the survival statistics.

Active Implants

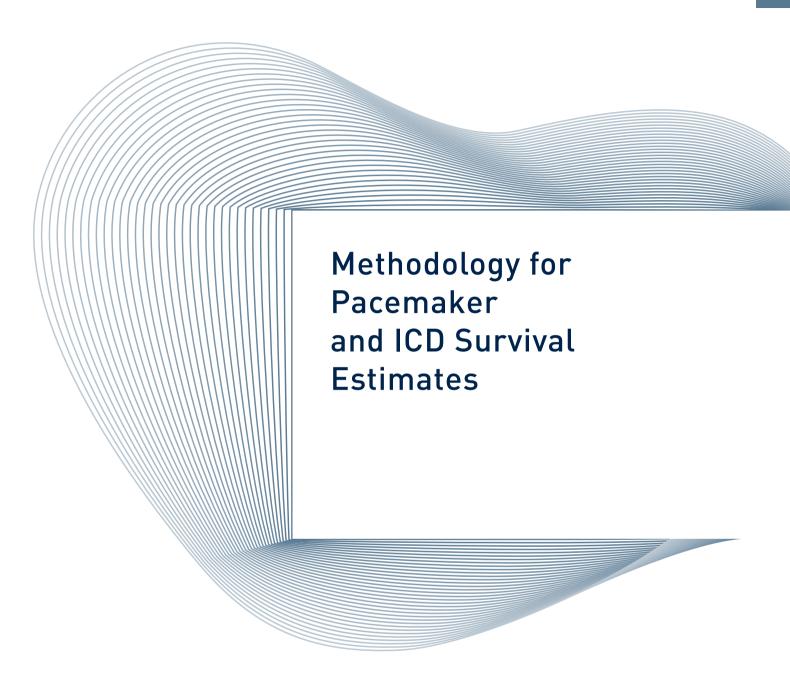
The number of devices that remain operational within a discrete observation interval are active implants. Units are removed from this cohort due to patient death or explant for any reason.

Underreporting

A device status may change without being accounted for in the Product Performance database due to a lack of information being provided to BIOTRONIK. Underreporting adjustments deemed to be necessary are detailed in this report.

Safety Advisory Notifications

Any action taken by the manufacturer to inform clinicians concerning a device performance issue that may cause the device to not meet its predefined specifications is referred to as a Safety Advisory Notification.



2. Methodology for Pacemaker and ICD Survival Estimates

2.1 Cumulative Survival Probability

This report has been prepared in accordance with ISO 5841-2:2014(E) applying actuarial analysis for the calculation of survival probabilities. Survival estimates given in this report are considered to be generally representative for worldwide performance of BIOTRONIK devices.

The cumulative survival probability is an estimate based on the percentage of pacemakers and ICDs that remain implanted and operational at various points of the product's service time in absence of concurrent events such as morbidity and voluntary explants for various reasons (e.g., device upgrade). The device survival estimate over time is displayed in cumulative curves (Kaplan-Meier). The product's performance is evaluated in discrete one-month intervals. The survival probability for each month is given by the number of devices that remain implanted and operational through this month divided by the number of devices that entered the interval. The cumulative survival probability for any period is given by multiplying all survival probabilities of previous months.

At the time of implantation, the cumulative survival probability is 100 %. Even though they are analyzed as part of our quality system monitoring, devices that are found to be out of specification prior to or during the implantation procedure are removed from the statistics as they do not contribute to a patient's risk of being subject to a device malfunction or replacement during the device's service time. Because this report is provided to describe product performance based on returned product analyses, the pacemaker and ICD data does not include information regarding medical complications such as erosion, infection or diaphragmatic stimulation.

In general, during the initial phase of the service time, devices which are out of specification are the primary contribution to reduction of survival probability. As the product lifecycle lengthens, normal battery depletion assumes a greater impact on the survival curve and becomes the dominating factor.

In order to make these two effects distinguishable, the cumulative survival probability curves are shown separately for devices that are confirmed to have malfunctioned only, and for total (all-cause) cumulative survival. In case of a device being subject to a safety advisory notification that significantly impacts the survival probability, this factor is displayed separately.

2.2 Data Acquisition

This report is based on the observation of BIOTRONIK's U.S. products through review of our device registration and tracking systems and analyses of returned products from all sources. Because the ability to perform decedent searches of patients with BIOTRONIK devices via the U.S. Social Security Administration, the use of U.S. data more accurately represents the active patient population for reporting purposes. In addition, device tracking regulations and vigilance reporting regulations vary throughout the world; therefore, use of the U.S. data is most appropriate for accurate and consistent reporting of product performance.

In order to be included in the population under observation, a device must be registered and implanted for at least one calendar day. The cutoff date for the data included in this report is June 30, 2021. The number of U.S. devices that are implanted and remain active as well as the total number of products distributed worldwide are provided for each product family in this report. Information is provided for separate product families, in that devices with nearly identical hardware and therapy functions are combined. For example, Edora 8 DR and DR-T (with Home Monitoring) IPGs are combined into a single family, Edora 8 Single Chamber IPGs.

Survival estimates are calculated for product families having accumulated at least 10,000 cumulative implant months. Because 10,000 implant months may take some time to accumulate, there may be a gap between U.S. market release and the start of graphical representation of survival probability. Products no longer being distributed with less than 500 active implants may be excluded from this report.

ISO 5841-2 describes a method for adjusting the device survival probability to compensate for underreported malfunctions and unrelated patient deaths. The factor for underreporting of malfunctions is unknown as currently no systematic data is available that reveals this factor. Consequently, this factor remains unaccounted for this report. Patient mortality is artificially elevated if the reported rate from our registration and tracking systems is below the annual mortality in clinical studies. Normal battery depletion rate is assumed if the reported rate of depletion decreases over time.

2.3 Returned Product Analysis

Information on malfunctioning for the pacemaker and ICD portions of this report is taken exclusively from the analysis of returned products. The outcome of this analysis is the basis for the final classification of the device's cause of explantation. Only analyzed products with confirmed device malfunctions are utilized in the calculation of malfunction-free survival probability.

Every pacemaker and ICD returned to BIOTRONIK is analyzed per internal procedures and classified as functioning normally, normal battery depletion, or malfunctioning (including premature battery depletion) while implanted and in service. These device classifications are the basis for BIOTRONIK's cumulative survival estimates on pacemakers and ICDs.

As a significant portion of pacemakers and ICDs with normal battery depletion are not returned for analysis, BIOTRONIK also considers unconfirmed pacemaker and ICD battery depletions (reported, but device not returned) in the total survival estimates to ensure that a conservative estimate for device performance is reported.

2.4 Product Performance Graphs and Data

The product performance information is shown in each section in alphabetical order and by product type.

For each product, the report provides:

- Product versions that contribute to the evaluation
- U.S. and CE market release dates
- Worldwide quantity of products that have been distributed
- U.S. registered implants (number of products included in this report)
- Estimated active U.S. implants
- Number of U.S. normal battery depletions
- Number of U.S. confirmed malfunctions

The survival plots provide:

1. Total Survival

The combined cumulative survival probability for all causes that result in device removal or a system out of operation, excluding removals for clinical reasons unrelated to the device's performance (i.e., infections).

2. Malfunction-Free Survival

The cumulative survival probability free of component or software malfunctions excluding normal battery depletions, but including premature battery depletions. Normal battery depletions only have an impact on the total cumulative survival.

Products or subgroups of products may become subject to safety

advisory notifications that can significantly impact the overall product performance. However, as these subgroups are clearly defined they are separated from the nonadvisory devices. The impact of the advisory notification is then shown in a separate graph for total cumulative survival and for malfunction-free survival of the device population affected by the advisory notification. Current advisories are listed in chapter 11 of this report.

The cumulative survival data and the 95% confidence intervals according to the Greenwood's Formula¹ are shown in numerical form for the observed population.

1 Greenwood, M. Reports on Public Health and Medical Subjects 33,London: Her Majesty's Stationery Office, 1-26, 1926

Performance of BIOTRONIK Pacemakers

- 3.1 Single-Chamber Pacemakers
- 3.2 Dual-Chamber Pacemakers
- 3.3 CRT Pacemakers



Performance of BIOTRONIK Pacemakers

- 3.1 Single-Chamber Pacemakers
- 3.2 Dual-Chamber Pacemakers
- 3.3 CRT Pacemakers

Cylos and Cylos 990

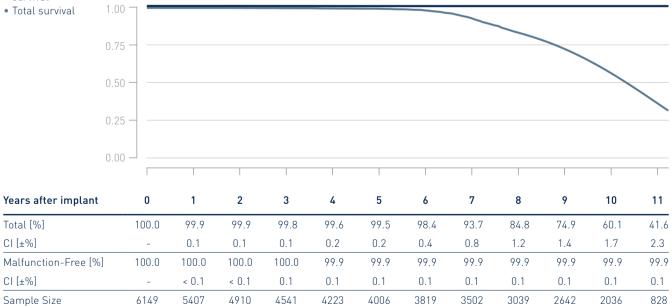
Product Versions	_ VR
NBG Codes	_ VVIR
US Market Release	_ Jan 2006
CE Market Release	_ Nov 2005 / Mar 2008
Worldwide Distributed Devices	_ 25 900
Registered U.S. Implants	_ 6 149
Estimated Active U.S. Implants	_ 2390
U.S. Normal Battery Depletions	_ 851

	Quantity	Rate
U.S. Confirmed Malfunctions	_ 4	0.07%
Therapy Compromised	_ 1	0.02%
Therapy Available	3	0.05%

Malfunction-free

Cumulative survival probability

survival

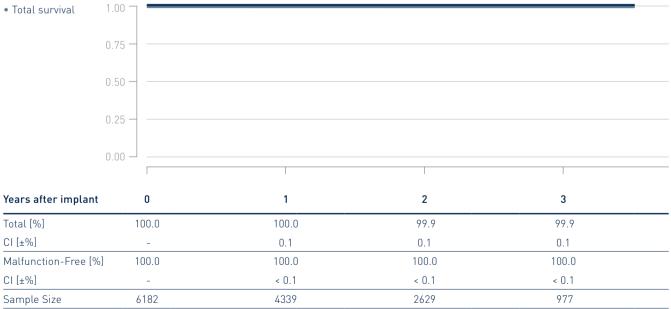


Edora 8

Product Versions	SR, SR-T
NBG Codes	VVIR
US Market Release	May 2017
CE Market Release	Sep 2016
Worldwide Distributed Devices	33 300
Registered U.S. Implants	6182
Estimated Active U.S. Implants	5470
U.S. Normal Battery Depletions	3

	Quantity	Rate
U.S. Confirmed Malfunctions	0	0.00%
Therapy Compromised	0	0.00%
Therapy Available	0	0.00%

• Malfunction-free Cumulative survival probability

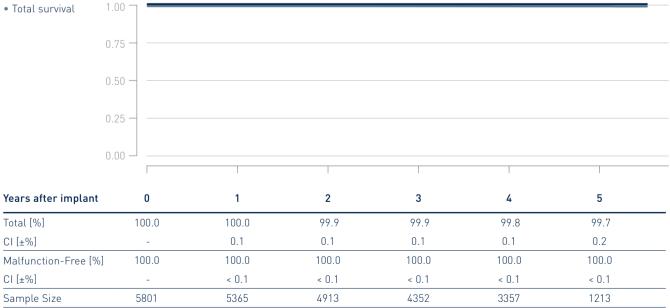


Eluna 8

Product Versions NBG Codes	_SR, SR-T _AAIR, VVIR
US Market Release	_ Dec 2014
CE Market Release	_ Aug 2014
Worldwide Distributed Devices	_ 19 600
Registered U.S. Implants	_ 5801
Estimated Active U.S. Implants	_ 4 4 2 0
U.S. Normal Battery Depletions	_ 13

	Quantity	Rate
U.S. Confirmed Malfunctions	0	0.00%
Therapy Compromised	0	0.00%
Therapy Available	0	0.00%

• Malfunction-free Cumulative survival probability

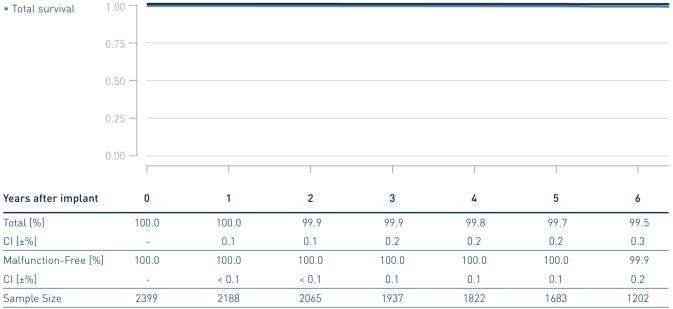


Entovis

Product Versions	SR, SR-T
NBG Codes	AAIR, VVIR
US Market Release	Jun 2010
CE Market Release	Nov 2009
Worldwide Distributed Devices	28 000
Registered U.S. Implants	2399
Estimated Active U.S. Implants	1660
U.S. Normal Battery Depletions	8

	Quantity	Rate
U.S. Confirmed Malfunctions	2	0.08%
Therapy Compromised	. 1	0.04%
Therapy Available	.1	0.04%

• Malfunction-free Cumulative survival probability



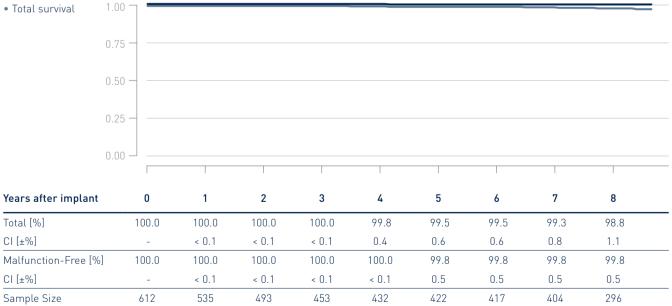
Estella

Product Versions	_SR, SR-T
NBG Codes	_ AAIR, VVIR
US Market Release	_ Feb 2011
CE Market Release	_ Feb 2011
Worldwide Distributed Devices	_ 39 500
Registered U.S. Implants	_ 612
Estimated Active U.S. Implants	_ 381
U.S. Normal Battery Depletions	_ 5

	Quantity	Rate
U.S. Confirmed Malfunctions	. 1	0.16%
Therapy Compromised	0	0.00%
Therapy Available	1	0.16%

• Malfunction-free

Cumulative survival probability

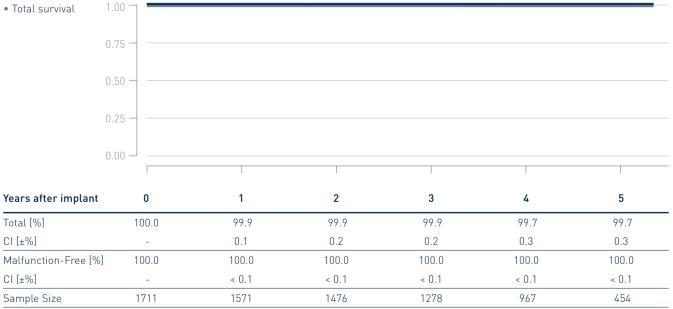


Etrinsa 8

Product Versions	SR-T
NBG Codes	AAIR, VVIR
US Market Release	Dec 2014
CE Market Release	Aug 2014
Worldwide Distributed Devices	18500
Registered U.S. Implants	1711
Estimated Active U.S. Implants	1310
U.S. Normal Battery Depletions	4

	Quantity	Rate
U.S. Confirmed Malfunctions	0	0.00%
Therapy Compromised	0	0.00%
Therapy Available	0	0.00%

• Malfunction-free Cumulative survival probability



Evia

Product Versions	SR, SR-T
NBG Codes	AAIR, VVIR
US Market Release	May 2010
CE Market Release	Oct 2009
Worldwide Distributed Devices	62800
Registered U.S. Implants	11973
Estimated Active U.S. Implants	7440
U.S. Normal Battery Depletions	74

	Quantity	Rate
U.S. Confirmed Malfunctions	3	0.03%
Therapy Compromised	2	0.02%
Therapy Available	1	0.01%

• Malfunction-free Cumulative survival probability

1.00 -									
0.75 — —									
0.50 — —									
0.25 — —									
0.00									
0	1	2	3	4	5	6	7	8	9
100.0) 100.0	99.9	99.9	99.9	99.8	99.8	99.5	99.3	98.7
-	< 0.1	< 0.1	0.1	0.1	0.1	0.1	0.1	0.2	0.3
] 100.0) 100.0	100.0	100.0	100.0	100.0	100.0	100.0	100.0	99.9
	< 0.1	< 0.1	< 0.1	< 0.1	< 0.1	< 0.1	< 0.1	< 0.1	0.1
-	× 0.1	\$ 0.1	. 0.1	0.1			0.1	0.1	0
	0.75 0.50 0.25 0.00 0.00 100.0 	0.75	0.75 - 0.50 - 0.25 - 0.00 - 0 1 2 100.0 100.0 99.9 - < 0.1 < 0.1 100.0 100.0 100.0	0.75 - 0.50 - 0.25 - 0.00 - 0 1 2 3 100.0 100.0 99.9 99.9 - < 0.1 < 0.1 0.1 100.0 100.0 100.0	0.75 - 0.50 - 0.25 - 0.00 - 0 1 2 3 4 100.0 100.0 99.9 99.9 99.9 - <0.1 <0.1 0.1 0.1 100.0 100.0 100.0 100.0	0.75 - 0.50 - 0.25 - 0.00 - 0 1 2 3 4 5 100.0 100.0 99.9 99.9 99.9 99.8 - < 0.1 < 0.1 0.1 0.1 1 100.0 100.0 100.0 100.0 100.0	0.75 - 0.50 - 0.25 - 0.00 - 0 1 2 3 4 5 6 100.0 100.0 99.9 99.9 99.9 99.8 99.8 - <0.1 <0.1 0.1 0.1 0.1 0.1 1 100.0 100.0 100.0 100.0 100.0 100.0	0.75 - 0.50 - 0.25 - 0.00 - 0 1 2 3 4 5 6 7 100.0 100.0 99.9 99.9 99.9 99.8 99.8 99.5 - <0.1 <0.1 0.1 0.1 0.1 0.1 1 100.0 100.0 100.0 100.0 100.0 100.0 100.0	0.75 - 0.50 - 0.25 - 0.00 - 0 1 2 3 4 5 6 7 8 100.0 100.0 99.9 99.9 99.9 99.8 99.8 99.5 99.3 - <0.1 <0.1 0.1 0.1 0.1 0.1 0.2 1 100.0 100.0 100.0 100.0 100.0 100.0 100.0 100.0 100.0

Philos II and Talos

Product Versions	_S, SR
NBG Codes	_SSI, SSIR
US Market Release	_ Sep 2004
CE Market Release	_ Feb 2004 / May 2006
Worldwide Distributed Devices	_ 215 000
Registered U.S. Implants	_ 5240
Estimated Active U.S. Implants	_ 2350
U.S. Normal Battery Depletions	_ 399

	Quantity	Rate
U.S. Confirmed Malfunctions	2	0.04%
Therapy Compromised	1	0.02%
Therapy Available	1	0.02%

• Malfunction-free Cumulative survival probability

survival 1.00 Total survival 0.75 0.25 0.00 -Years after implant 0 1 2 3 4 5 6 7 8 9 10 11 12 13 14 Total [%] 67.7 100.0 100.0 100.0 99.8 99.8 99.5 99.0 98.3 96.9 94.0 90.1 86.1 81.1 74.9 CI [±%] < 0.1 < 0.1 0.1 0.1 0.2 0.3 0.4 0.6 0.8 1.1 1.3 1.5 1.9 2.8 _ Malfunction-Free [%] 99.9 100.0 100.0 100.0 100.0 100.0 100.0 99.9 99.9 99.9 99.9 99.9 99.9 99.9 99.9 CI [±%] < 0.1 < 0.1 < 0.1 < 0.1 _ < 0.1 0.1 0.1 0.1 0.1 0.1 0.1 0.1 0.1 0.1 Sample Size 5240 4433 4039 3707 3491 3312 3171 3083 2960 2815 2625 2292 1659 1002 389

* While Talos SR and Talos S are not distributed in the U.S., their performance is expected to be similar to the U.S. distributed products

Performance of BIOTRONIK Pacemakers

- 3.1 Single-Chamber Pacemakers
- 3.2 Dual-Chamber Pacemakers
- 3.3 CRT Pacemakers

Cylos and Cylos 990

Product Versions	_ DR, DR-T
NBG Codes	_ DDDR
US Market Release	_ Jan 2006
CE Market Release	_ Nov 2005 / Mar 2008
Worldwide Distributed Devices	_ 81 300
Registered U.S. Implants	_ 30 373
Estimated Active U.S. Implants	_ 7 230
U.S. Normal Battery Depletions	_ 8451

	Quantity	Rate
U.S. Confirmed Malfunctions	27	0.09%
Therapy Compromised	7	0.02%
Therapy Available	20	0.07%

Malfunction-free

Cumulative survival probability

survival



*While Cylos 990 DR and Cylos 990 DR-T are not distributed in the U.S., the performance is expected to be similar to the U.S. distributed products

Edora 8

Product Versions	_ DR, DR-T
NBG Codes	DDDR
US Market Release	May 2017
CE Market Release	Jul 2016
Worldwide Distributed Devices	178000
Registered U.S. Implants	58642
Estimated Active U.S. Implants	52600
U.S. Normal Battery Depletions	24

	Quantity	Rate
U.S. Confirmed Malfunctions	4	0.01%
Therapy Compromised	3	0.01%
Therapy Available	.1	0.00%

• Malfunction-free Cumulative survival probability

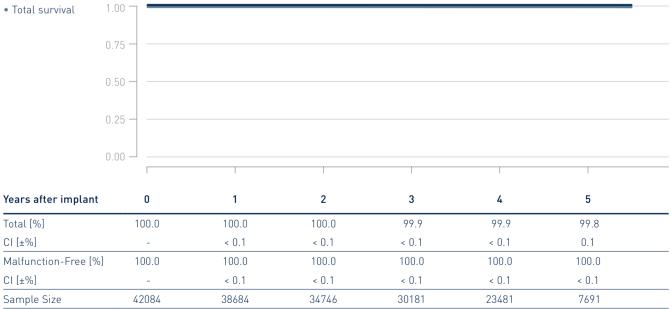
Total survival	1.00				-
(0.75 —				
(0.50 —				
(0.25 —				
(0.00	I			
Years after implant	0	1	2	3	
Total [%]	100.0	100.0	100.0	99.9	
CI [±%]	-	< 0.1	< 0.1	< 0.1	
Malfunction-Free [%]	100.0	100.0	100.0	100.0	
CI [±%]	-	< 0.1	< 0.1	< 0.1	
Sample Size	58642	40119	24055	8172	

Eluna 8

Product Versions NBG Codes	_ DR, DR-T DDDR
US Market Release	_ Dec 2014
CE Market Release	_ Aug 2014
Worldwide Distributed Devices	_ 97 200
Registered U.S. Implants	_ 42084
Estimated Active U.S. Implants	_ 32800
U.S. Normal Battery Depletions	_ 47

	Quantity	Rate
U.S. Confirmed Malfunctions	5	0.01%
Therapy Compromised	0	0.00%
Therapy Available	5	0.01%

• Malfunction-free Cumulative survival probability

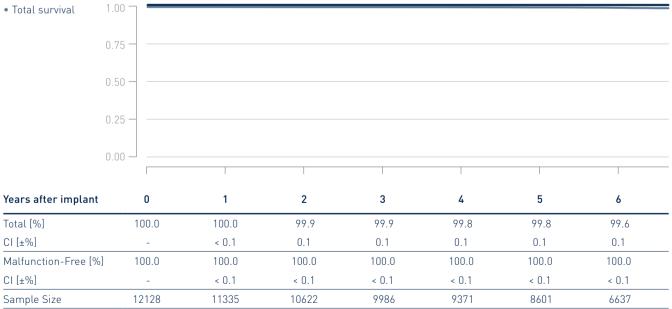


Entovis

Product Versions	DR, DR-T
NBG Codes	DDDR
US Market Release	_ Feb 2010
CE Market Release	_ Nov 2009
Worldwide Distributed Devices	105000
Registered U.S. Implants	12128
Estimated Active U.S. Implants	_ 8190
U.S. Normal Battery Depletions	_ 46

	Quantity	Rate
U.S. Confirmed Malfunctions	4	0.03%
Therapy Compromised	2	0.02%
Therapy Available	2	0.02%

• Malfunction-free Cumulative survival probability

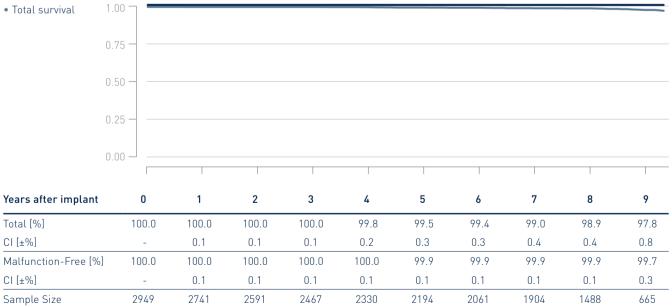


Estella

Product Versions D	R, DR-T
NBG Codes D	DDR
US Market Release Fe	eb 2011
CE Market Release Fe	eb 2011
Worldwide Distributed Devices 48	8400
Registered U.S. Implants 2	949
Estimated Active U.S. Implants 1	830
U.S. Normal Battery Depletions3	5

	Quantity	Rate
U.S. Confirmed Malfunctions	4	0.14%
Therapy Compromised	0	0.00%
Therapy Available	4	0.14%

• Malfunction-free Cumulative survival probability

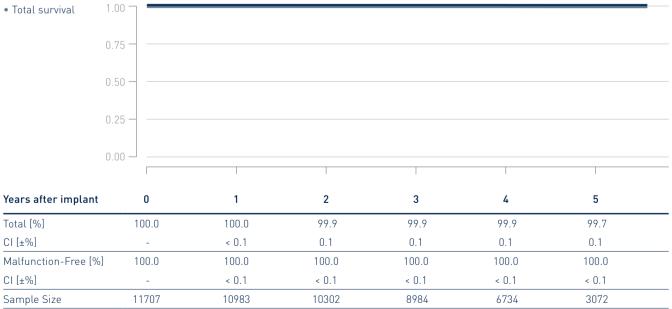


Etrinsa 8

Product Versions	DR-T
NBG Codes	DDDR
US Market Release	_ Dec 2014
CE Market Release	_ Aug 2014
Worldwide Distributed Devices	_ 76300
Registered U.S. Implants	_ 11707
Estimated Active U.S. Implants	_ 9 1 1 0
U.S. Normal Battery Depletions	_ 23

	Quantity	Rate
U.S. Confirmed Malfunctions	. 1	0.01%
Therapy Compromised	0	0.00%
Therapy Available	. 1	0.01%

• Malfunction-free Cumulative survival probability



Evia

Product Versions	_DR, DR-T
NBG Codes	_ DDDR
US Market Release	_ May 2010
CE Market Release	_ Oct 2009
Worldwide Distributed Devices	_ 219 000
Registered U.S. Implants	_ 61912
Estimated Active U.S. Implants	_ 37 700
U.S. Normal Battery Depletions	_1017

	Quantity	Rate
U.S. Confirmed Malfunctions	30	0.05%
Therapy Compromised	. 11	0.02%
Therapy Available	19	0.03%

• Malfunction-free Cumulative survival probability

Total survival	1.00									
().75 —									
().50 —									
C).25 —									
().00									
Years after implant	0	1	2	3	4	5	6	7	8	9
Total [%]	100.0	100.0	99.9	99.9	99.8	99.7	99.4	99.0	98.2	96.3
CI [±%]	-	< 0.1	< 0.1	< 0.1	< 0.1	0.1	0.1	0.1	0.1	0.3
Malfunction-Free [%]	100.0	100.0	100.0	100.0	100.0	100.0	99.9	99.9	99.9	99.9
CI [±%]	-	< 0.1	< 0.1	< 0.1	< 0.1	< 0.1	< 0.1	< 0.1	< 0.1	< 0.1
Sample Size	61912	57988	54515	51287	48208	45324	41920	32505	18603	6680

Philos II and Talos

Product Versions NBG Codes	_ D, DR, DR-T (Philos II only), SLR _ DDD, DDDR, VDDR
US Market Release	_ Sep 2004
CE Market Release	_ Feb 2004 / May 2006
Worldwide Distributed Devices	_ 372 000
Registered U.S. Implants	23 204
Estimated Active U.S. Implants	_ 6860
U.S. Normal Battery Depletions	4 7 4 7

	Quantity	Rate
U.S. Confirmed Malfunctions	21	0.09%
Therapy Compromised	0	0.00%
Therapy Available	21	0.09%

• Malfunction-free Cumulative survival probability

survival



Performance of BIOTRONIK Pacemakers

- 3.1 Single-Chamber Pacemakers
- 3.2 Dual-Chamber Pacemakers
- 3.3 CRT Pacemakers

3.3 CRT Pacemakers

Edora 8

Product Versions	HF-T, HF-T QP
NBG Codes	
US Market Release	_Jun 2017
CE Market Release	_ Mar 2017
Worldwide Distributed Devices	_ 13 600
Registered U.S. Implants	_ 4836
Estimated Active U.S. Implants	_3990
U.S. Normal Battery Depletions	_ 4

	Quantity	Rate
U.S. Confirmed Malfunctions	0	0.00%
Therapy Compromised	0	0.00%
Therapy Available	0	0.00%

• Malfunction-free Cumulative survival probability

Total survival	1.00			
	0.75 —			
	0.50 —			
	0.25 -			
	0.00			
Years after impla	nt O	1	2	3
Total [%]	100.0	100.0	99.9	99.7
CI [±%]	-	< 0.1	0.1	0.3
Malfunction-Free	[%] 100.0	100.0	100.0	100.0
CI [±%]	-	< 0.1	< 0.1	< 0.1
Sample Size	4836	2993	1489	224

3.3 CRT Pacemakers

Etrinsa 8

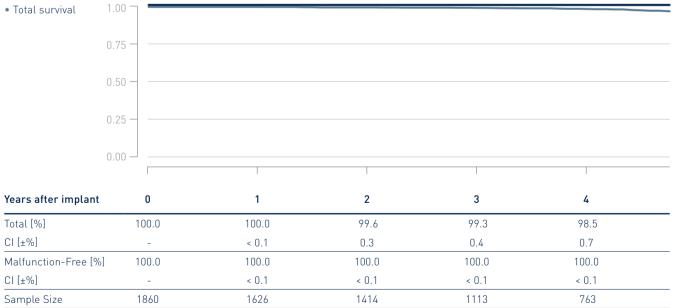
Product Versions	_HF-T
NBG Codes	DDDRV
US Market Release	Dec 2014
CE Market Release	_ Aug 2014
Worldwide Distributed Devices	_ 8670
Registered U.S. Implants	_ 1860
Estimated Active U.S. Implants	_ 1120
U.S. Normal Battery Depletions	28

	Quantity	Rate
U.S. Confirmed Malfunctions	0	0.00%
Therapy Compromised	0	0.00%
Therapy Available	0	0.00%

• Malfunction-free

Cumulative s	survival p	probability
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survival
Taket stated in the



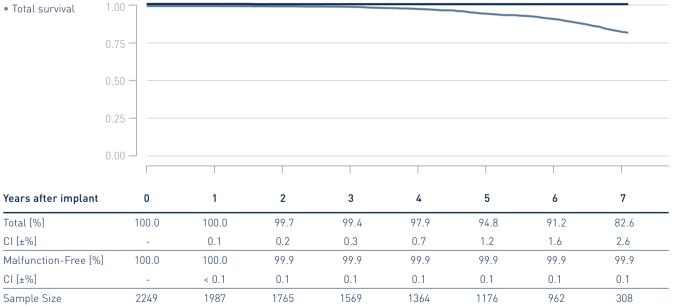
3.3 CRT Pacemakers

Evia

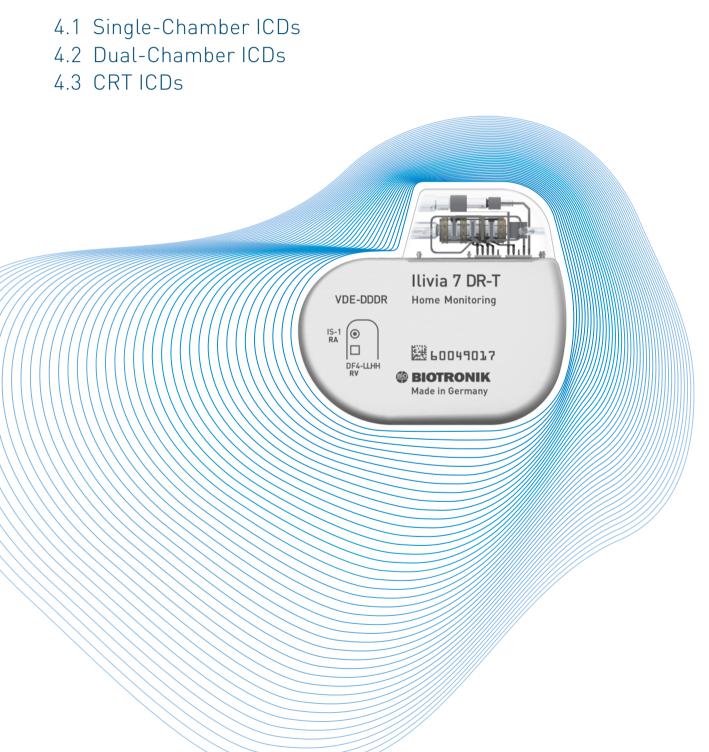
Product Versions	_HF, HF-T
NBG Codes	DDDRV
US Market Release	_ May 2010
CE Market Release	Oct 2009
Worldwide Distributed Devices	8880
Registered U.S. Implants	2249
Estimated Active U.S. Implants	_ 889
U.S. Normal Battery Depletions	_ 177

	Quantity	Rate
U.S. Confirmed Malfunctions	1	0.04%
Therapy Compromised	0	0.00%
Therapy Available	1	0.04%

• Malfunction-free Cumulative survival probability



Performance of BIOTRONIK ICDs



Performance of BIOTRONIK ICDs

4.1 Single-Chamber ICDs

4.2 Dual-Chamber ICDs4.3 CRT ICDs



Ilesto 7

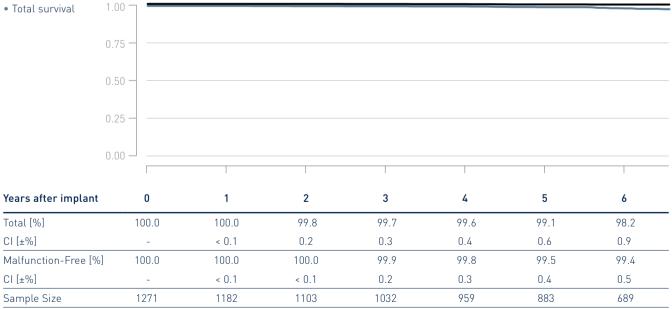
Product Versions	_VR-T
NBG Codes	_VVE-VVIR
Maximum Energy J	_ 40
US Market Release	_ Sep 2013
CE Market Release	_ Jun 2013
Worldwide Distributed Devices	_ 2460
Registered U.S. Implants	_ 1 2 7 1
Estimated Active U.S. Implants	_ 832
U.S. Normal Battery Depletions	_ 14

	Quantity	Rate
U.S. Confirmed Malfunctions*	_ 7	0.55%
Therapy Compromised	_ 4	0.31%
Therapy Available	3	0.24%

Malfunction-free

Cumulative survival probability

survival

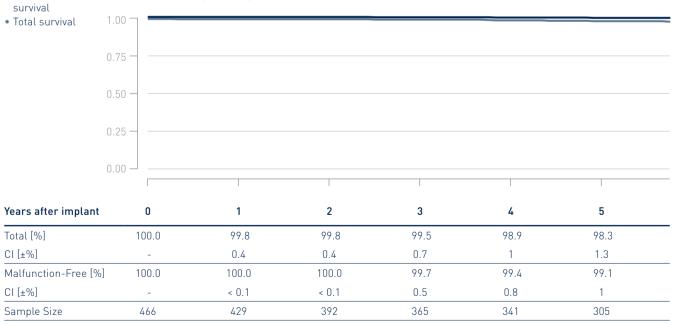


Ilesto 7 DF4

Product Versions	VR-T
NBG Codes	VVE-VVIR
Maximum Energy J	40
US Market Release	Sep 2013
CE Market Release	Jun 2013
Worldwide Distributed Devices	2390
Registered U.S. Implants	466
Estimated Active U.S. Implants	291
U.S. Normal Battery Depletions	4

	Quantity	Rate
U.S. Confirmed Malfunctions [*]	3	0.64%
Therapy Compromised	2	0.43%
Therapy Available	. 1	0.21%

• Malfunction-free Cumulative survival probability



Ilivia 7

Product Versions	VR-T
NBG Codes	VVE-VVIR
Maximum Energy J	40
US Market Release	May 2017
CE Market Release	May 2017
Worldwide Distributed Devices	2380
Registered U.S. Implants	963
Estimated Active U.S. Implants	840
U.S. Normal Battery Depletions	2

	Quantity	Rate
U.S. Confirmed Malfunctions*	0	0.00%
Therapy Compromised	0	0.00%
Therapy Available	0	0.00%

• Malfunction-free C

è	Cumu	lative	survival	probability

survival

 Total survival 	1.00		
	0.75 —		
	0.50 —		
	0.25 —		
	0.00		1
Years after impla	int 0	1	2
Total [%]	100.0	100.0	99.6
CI [±%]	-	< 0.1	0.5
Malfunction-Free	[%] 100.0	100.0	100.0
CI [±%]	-	< 0.1	< 0.1
Sample Size	963	745	361

Ilivia 7 DF4

Product Versions	VR-T
NBG Codes	VVE-VVIR
Maximum Energy J	40
US Market Release	Aug 2017
CE Market Release	Mar 2017
Worldwide Distributed Devices	4 230
Registered U.S. Implants	878
Estimated Active U.S. Implants	721
U.S. Normal Battery Depletions	1

	Quantity	Rate
U.S. Confirmed Malfunctions*	_ 2	0.23%
Therapy Compromised	_ 0	0.00%
Therapy Available	_ 2	0.23%

• Malfunction-free

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Cumula	ative siir	vivali	nror	าลทเ	I ITV

survival

Total survival	1.00				
	0.75 —				
	0.50 —				
	0.25 —				
	0.00				
Years after implan	t O	1	2	3	
Total [%]	100.0	99.9	99.7	99.6	
CI [±%]	-	0.2	0.4	0.5	
Malfunction-Free [9	%] 100.0	100.0	99.9	99.7	
CI [±%]	-	< 0.1	0.3	0.4	
Sample Size	878	808	668	245	

Itrevia 7

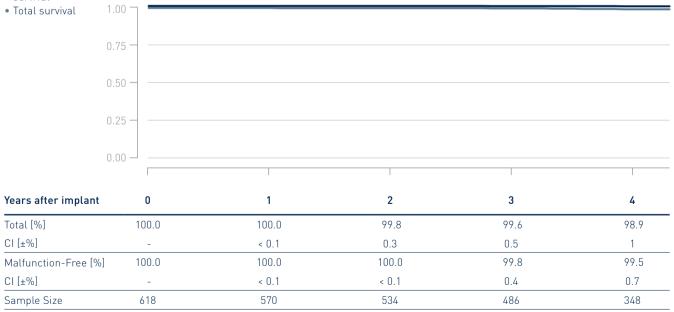
Product Versions	VR-T
NBG Codes	VVE-VVIR
Maximum Energy J	40
US Market Release	Mar 2015
CE Market Release	Dec 2014
Worldwide Distributed Devices	1280
Registered U.S. Implants	618
Estimated Active U.S. Implants	442
U.S. Normal Battery Depletions	6

	Quantity	Rate
U.S. Confirmed Malfunctions*	3	0.49%
Therapy Compromised	3	0.49%
Therapy Available	0	0.00%

Malfunction-free

Cumu	lative	survival	probability
ounnu	Lative	Survivat	probability

survival



Itrevia 7 DF4

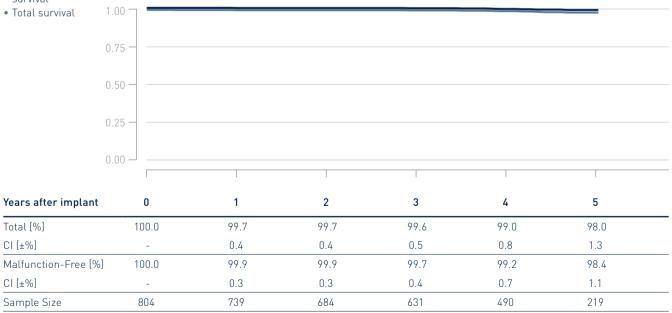
Product Versions	VR-T
NBG Codes	VVE-VVIR
Maximum Energy J	40
US Market Release	Mar 2015
CE Market Release	Dec 2014
Worldwide Distributed Devices	1420
Registered U.S. Implants	804
Estimated Active U.S. Implants	586
U.S. Normal Battery Depletions	4

	Quantity	Rate
U.S. Confirmed Malfunctions*	10	1.24%
Therapy Compromised	2	0.25%
Therapy Available	. 8	1.00%

• Malfunction-free

Cumulative survival probab	

survival



Lumax 340

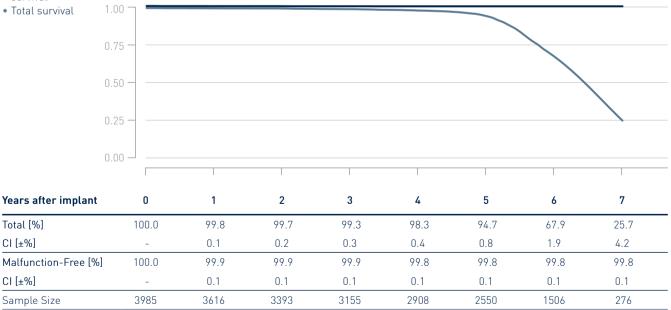
Product Versions	_VR, VR-T
NBG Codes	VVE-VVIR
Maximum Energy J	40
US Market Release	_ Feb 2007
CE Market Release	Feb 2007
Worldwide Distributed Devices	_ 27100
Registered U.S. Implants	_ 3985
Estimated Active U.S. Implants	896
U.S. Normal Battery Depletions	_ 930

	Quantity	Rate
U.S. Confirmed Malfunctions	6	0.15%
Therapy Compromised	4	0.10%
Therapy Available	2	0.05%

• Malfunction-free Cun

Cumulative survival probability

survival



Lumax 540

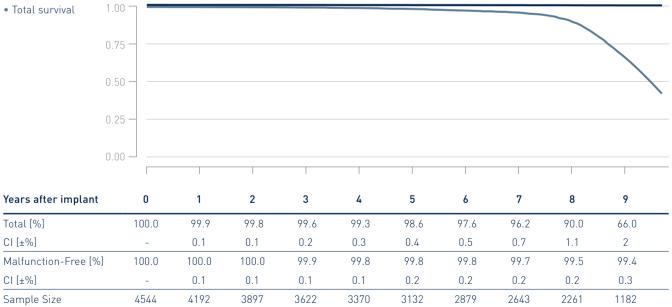
Product Versions	_VR-T	
NBG Codes	_ VVE-VVIR	
Maximum Energy J	_ 40	
US Market Release	_ May 2009	
CE Market Release	_ Jun 2008	
Worldwide Distributed Devices	_ 20 000	
Registered U.S. Implants	_ 4544	
Estimated Active U.S. Implants	_ 1460	
U.S. Normal Battery Depletions	_ 852	
	Quantity	Rate

U.S. Confirmed Malfunctions	16	0.35%
Therapy Compromised	12	0.26%
Therapy Available	4	0.09%

Malfunction-free

Cumulative survival probability

survival



Lumax 740

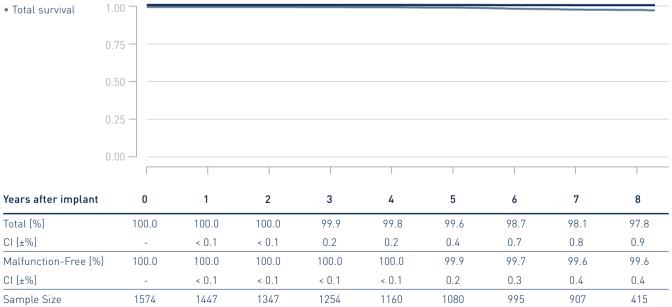
Product Versions	VR-T
NBG Codes	VVE-VVIR
Maximum Energy J	40
US Market Release	Sep 2012
CE Market Release	Apr 2012
Worldwide Distributed Devices	4 810
Registered U.S. Implants	1574
Estimated Active U.S. Implants	892
U.S. Normal Battery Depletions	21

	Quantity	Rate
U.S. Confirmed Malfunctions	4	0.25%
Therapy Compromised	2	0.13%
Therapy Available	2	0.13%

• Malfunction-free

Cumulative survival probability

survival



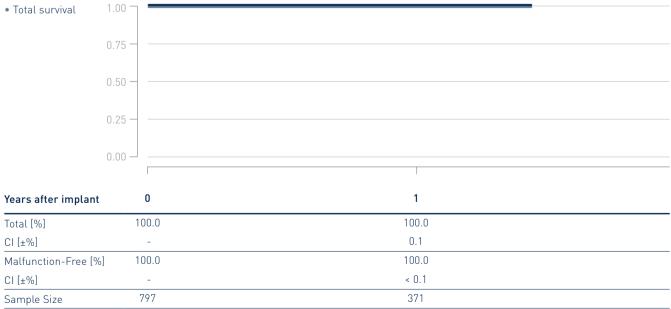
Rivacor 7 DF4

Product Versions	VR-T
NBG Codes	VVE-VVIR
Maximum Energy J	40
US Market Release	Apr 2019
CE Market Release	Mar 2019
Worldwide Distributed Devices	2980
Registered U.S. Implants	797
Estimated Active U.S. Implants	752
U.S. Normal Battery Depletions	0

	Quantity	Rate
U.S. Confirmed Malfunctions	0	0.00%
Therapy Compromised	0	0.00%
Therapy Available	0	0.00%

• Malfunction-free Cumulative survival probability

S	ur	VIV	al		
_					



Performance of BIOTRONIK ICDs

4.1 Single-Chamber ICDs4.2 Dual-Chamber ICDs4.3 CRT ICDs



Acticor 7 DX

Product Versions	_ VR-T _ VVE-VDDR _ 40 _ Apr 2019 _ Mar 2019 _ 9 750 _ 6 783
	_ 6 783 _ 6 410

	Quantity	Rate
U.S. Confirmed Malfunctions	0	0.00%
Therapy Compromised	0	0.00%
Therapy Available	0	0.00%

• Malfunction-free Cumulative survival probability

survival		
-		

 Total survival 	1.00		
	0.75 -		
	0.50 -		
	0.25 -		
	0.00	1	
Years after impla	nt O	1	
Total [%]	100.0	100.0	
CI [±%]	-	< 0.1	
Malfunction-Free	[%] 100.0	100.0	
CI [±%]	_	< 0.1	
Sample Size	6783	2920	

Iforia 7

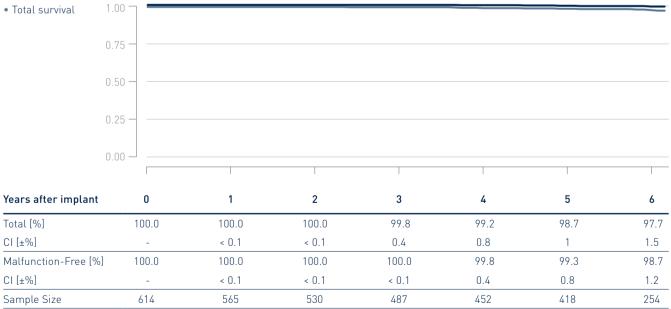
Product Versions	
NBG Codes	_ VVE-VDDR
Maximum Energy J	_ 40
US Market Release	
CE Market Release	_ Jun 2013
Worldwide Distributed Devices	_ 2000
Registered U.S. Implants	_ 614
Estimated Active U.S. Implants	_ 391
U.S. Normal Battery Depletions	_ 7

	Quantity	Rate
U.S. Confirmed Malfunctions*	6	0.98%
Therapy Compromised	2	0.33%
Therapy Available	4	0.65%

Malfunction-free

Cumulative survival probability

survival



Iforia 7 DX

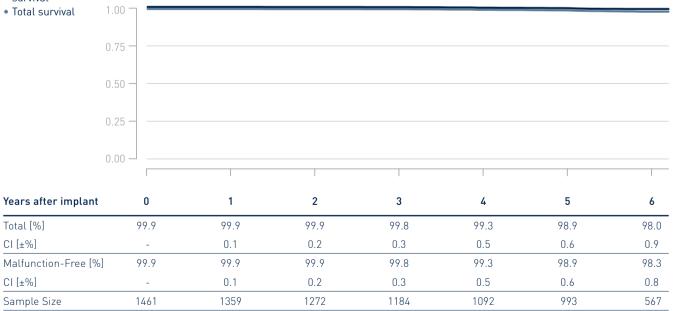
Product Versions NBG Codes Maximum Energy J US Market Release	40
US Market Release	_Sep 2013
CE Market Release Worldwide Distributed Devices	
Registered U.S. Implants Estimated Active U.S. Implants	
U.S. Normal Battery Depletions	

	Quantity	Rate
U.S. Confirmed Malfunctions*	23	1.57%
Therapy Compromised	12	0.82%
Therapy Available	11	0.75%

Malfunction-free

Cumulative	survival	probability
ounnaturive	Survivat	probability

survival



Ilesto 7

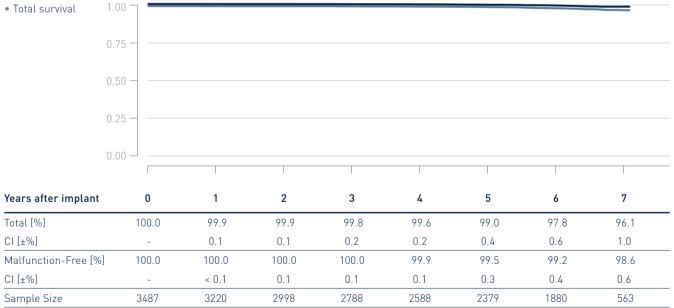
Product Versions	DR-T
NBG Codes	VVE-DDDR
Maximum Energy J	40
US Market Release	Sep 2013
CE Market Release	Jun 2013
Worldwide Distributed Devices	5110
Registered U.S. Implants	3487
Estimated Active U.S. Implants	2140
U.S. Normal Battery Depletions	65
	Quantity

	Quantity	Rate
U.S. Confirmed Malfunctions*	_ 29	0.83%
Therapy Compromised	18	0.52%
Therapy Available	_ 11	0.32%

Malfunction-free

Cumulative survival probability

survival



Ilesto 7 DF4

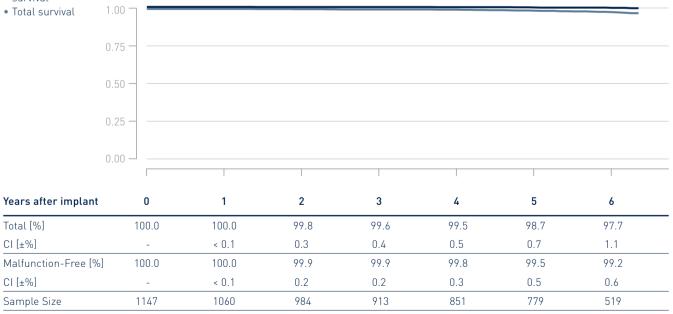
Product Versions	DR-T	
NBG Codes	VVE-DDDR	
Maximum Energy J		
US Market Release	Jul 2014	
CE Market Release	Jul 2013	
Worldwide Distributed Devices	3 730	
Registered U.S. Implants	1147	
Estimated Active U.S. Implants	744	
U.S. Normal Battery Depletions	15	
	Quantity	R

	Quantity	Rate
U.S. Confirmed Malfunctions*	.7	0.61%
Therapy Compromised	3	0.26%
Therapy Available	. 4	0.35%

• Malfunction-free Cu

Cumulative survival probability

survival



Ilesto 7 DX

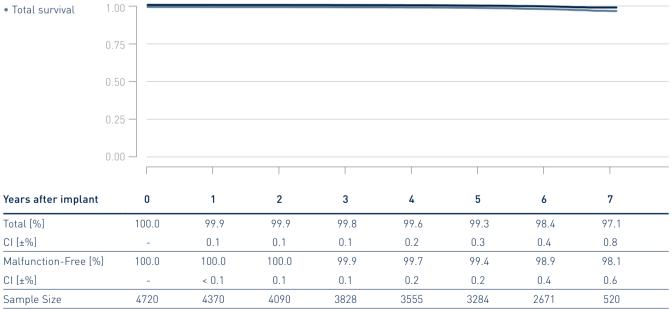
Product Versions	_VR-T
NBG Codes	_VVE-VDDR
Maximum Energy J	_ 40
US Market Release	_ Sep 2013
CE Market Release	_ Jun 2013
Worldwide Distributed Devices	_ 6 600
Registered U.S. Implants	_ 4720
Estimated Active U.S. Implants	_ 3 0 3 0
U.S. Normal Battery Depletions	_ 39

	Quantity	Rate
U.S. Confirmed Malfunctions*	_ 54	1.14%
Therapy Compromised	_ 26	0.55%
Therapy Available	_ 28	0.59%

Malfunction-free

Cumulative survival probability

survival



Ilivia 7

Product Versions NBG Codes Maximum Energy J US Market Release CE Market Release Worldwide Distributed Devices Registered U.S. Implants Estimated Active U.S. Implants	May 2017 3 170 1 625
Registered U.S. Implants Estimated Active U.S. Implants U.S. Normal Battery Depletions	1460

	Quantity	Rate
U.S. Confirmed Malfunctions*	0	0.00%
Therapy Compromised	0	0.00%
Therapy Available	0	0.00%

Malfunction-free

Cumulative	curvival	nrobability
Gunnulative	Survivat	probability

survival

 Total survival 	1.00		
	0.75 -		
	0.50 -		
	0.25 -		
	0.00	I	
Years after implant	t 0	1	2
Total [%]	100.0	99.8	99.8
CI [±%]	-	0.2	0.2
Malfunction-Free [%	%] 100.0	100.0	100.0
CI [±%]	-	< 0.1	< 0.1
Sample Size	1625	1205	276

Ilivia 7 DF4

	DR-T VVE-DDDR 40 May 2017 Aug 2016 8540 3994 3220
	3994
U.S. Normal Battery Depletions	5

	Quantity	Rate
U.S. Confirmed Malfunctions*	10	0.25%
Therapy Compromised	6	0.15%
Therapy Available	4	0.10%

• Malfunction-free

Cumulative survival probabil	1 a

survival

 Total survival 	1.00			
	0.75 —			
	0.50 —			
	0.25 —			
	0.00			
Years after implant	t O	1	2	3
Total [%]	100.0	99.9	99.9	99.5
CI [±%]	-	0.1	0.1	0.3
Malfunction-Free [%	6] 100.0	99.9	99.9	99.7
CI [±%]	-	0.1	0.1	0.3
Sample Size	3994	3537	2774	1034

Intica 7 DX

Product Versions NBG Codes Maximum Energy J US Market Release CE Market Release Worldwide Distributed Devices Registered U.S. Implants Estimated Active U.S. Implants	May 2017 Sep 2016 6840 4624
Registered U.S. Implants Estimated Active U.S. Implants U.S. Normal Battery Depletions	

	Quantity	Rate
U.S. Confirmed Malfunctions*	_ 10	0.22%
Therapy Compromised	_ 1	0.02%
Therapy Available	_ 9	0.19%

Malfunction-free

Cumpulation		
Cumulative	Survival	DECODADIULV
oannacachto	001111010	probability

survival

 Total survival 	1.00			
	0.75 —			
	0.50 —			
	0.25 —			
	0.00			1
Years after implar	nt O	1	2	3
Total [%]	100.0	100.0	99.9	99.6
CI [±%]	-	< 0.1	0.1	0.2
Malfunction-Free [[%] 100.0	100.0	99.9	99.8
CI [±%]	-	< 0.1	0.1	0.2
Sample Size	4624	4137	3331	1184

Inventra 7 DX

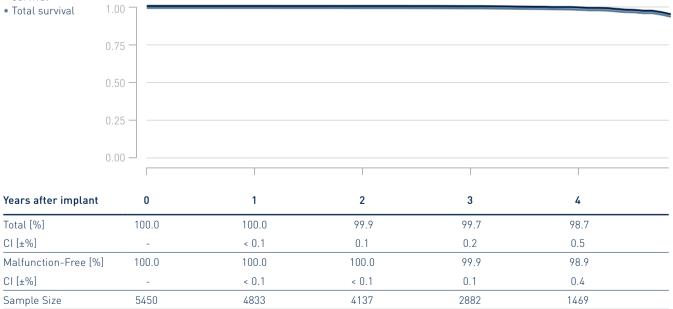
Product Versions	VR-T
NBG Codes	VVE-VDDR
Maximum Energy J	45
US Market Release	Mar 2015
CE Market Release	Dec 2014
Worldwide Distributed Devices	5 790
Registered U.S. Implants	5450
Estimated Active U.S. Implants	4 2 1 0
U.S. Normal Battery Depletions	13

	Quantity	Rate
U.S. Confirmed Malfunctions [*]	56	1.03%
Therapy Compromised	22	0.40%
Therapy Available	34	0.62%

Malfunction-free

Cumulative survival probability

survival



Iperia 7

Product Versions	_ 2710 _ 1965
Registered U.S. Implants Estimated Active U.S. Implants U.S. Normal Battery Depletions	

	Quantity	Rate
U.S. Confirmed Malfunctions*	_ 7	0.36%
Therapy Compromised	_ 4	0.20%
Therapy Available	_ 3	0.15%

Malfunction-free

C	Cumul	ative	survival	proba	ability
		0.011.0	00111101	p. 0 0 0	1.0 i ci c j

survival

 Total survival 	1.00			
	0.75 -			
	0.50 —			
	0.25 —			
	0.00			
Years after implar	nt O	1	2	3
Total [%]	100.0	99.9	99.9	99.5
CI [±%]	-	0.1	0.2	0.4
Malfunction-Free [[%] 100.0	99.9	99.9	99.8
CI [±%]	-	0.1	0.1	0.3
Sample Size	1965	1821	1664	846

Iperia 7 DF4

Product Versions NBG Codes Maximum Energy J US Market Release	
US Market Release	
Worldwide Distributed Devices	
Registered U.S. Implants Estimated Active U.S. Implants	
U.S. Normal Battery Depletions	

	Quantity	Rate
U.S. Confirmed Malfunctions [*]	18	0.44%
Therapy Compromised	3	0.07%
Therapy Available	15	0.36%

• Malfunction-free Cumulative survival probability

survival

 Total survival 	1.00				
	0.75 -				
	0.50 —				
	0.25 —				
	0.00			I	
Years after implant	0	1	2	3	4
「otal [%]	100.0	99.9	99.9	99.8	99.6
CI [±%]	-	0.1	0.1	0.1	0.2
Malfunction-Free [%]] 100.0	99.9	99.9	99.9	99.8
CI [±%]	-	0.1	0.1	0.1	0.2
Sample Size	4110	3786	3387	2832	1879

Iperia 7 DX

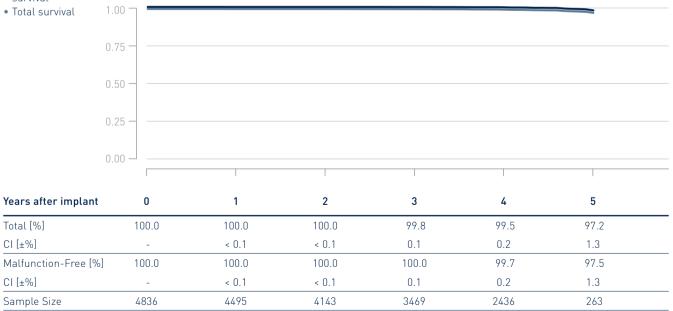
Product Versions	VR-T
NBG Codes	VVE-VDDR
Maximum Energy J	40
US Market Release	_ Dec 2015
CE Market Release	_ Dec 2014
Worldwide Distributed Devices	_ 6540
Registered U.S. Implants	_ 4836
Estimated Active U.S. Implants	_ 3650
U.S. Normal Battery Depletions	16

	Quantity	Rate
U.S. Confirmed Malfunctions*	28	0.58%
Therapy Compromised	8	0.17%
Therapy Available	20	0.41%

• Malfunction-free

Cumu	lative	survival	probah	ility
ounnu	Lative	Survivat	probuc	rerey

survival



Itrevia 7

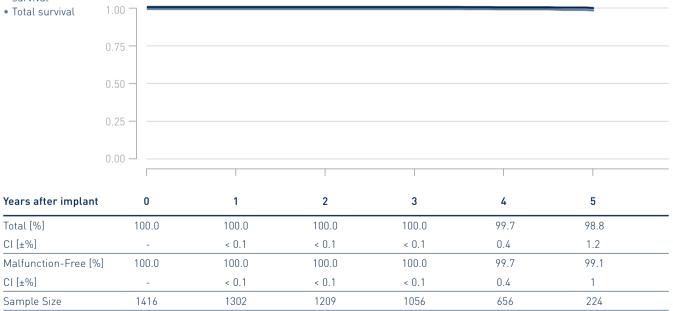
Product Versions NBG Codes	_ DR-T _ VVE-DDDR
Maximum Energy J	_ 40
US Market Release	_ Mar 2015
CE Market Release	_ Dec 2014
Worldwide Distributed Devices	_ 2170
Registered U.S. Implants	1416
Estimated Active U.S. Implants	_ 1040
U.S. Normal Battery Depletions	_ 2

	Quantity	Rate
U.S. Confirmed Malfunctions [*]	4	0.28%
Therapy Compromised	2	0.14%
Therapy Available	2	0.14%

• Malfunction-free Cu

	- In Clinks -
Cumulative survival prob	aniiitv

survival



Itrevia 7 DF4

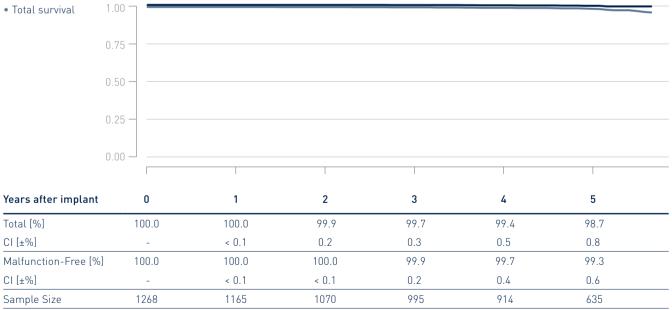
Product Versions	_ DR-T
NBG Codes	VVE-DDDR
Maximum Energy J	_ 40
US Market Release	_ Mar 2015
CE Market Release	_ Dec 2014
Worldwide Distributed Devices	2340
Registered U.S. Implants	1268
Estimated Active U.S. Implants	_ 847
U.S. Normal Battery Depletions	_ 15

	Quantity	Rate
U.S. Confirmed Malfunctions*	9	0.71%
Therapy Compromised	4	0.32%
Therapy Available	5	0.39%

• Malfunction-free C

Cumulative survival probability

survival



Itrevia 7 DX

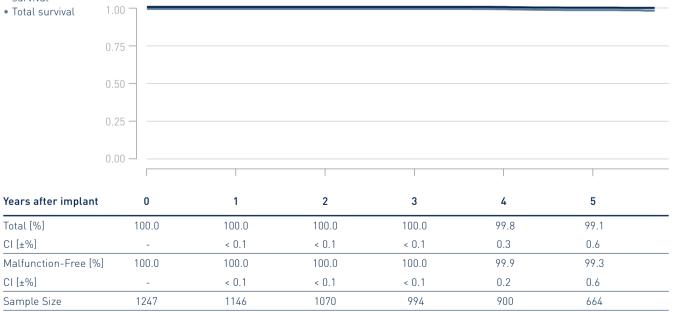
Product Versions	VR-T
NBG Codes	VVE-VDDR
Maximum Energy J	40
US Market Release	Mar 2015
CE Market Release	Dec 2014
Worldwide Distributed Devices	2750
Registered U.S. Implants	1247
Estimated Active U.S. Implants	851
U.S. Normal Battery Depletions	5

	Quantity	Rate
U.S. Confirmed Malfunctions*	_ 7	0.56%
Therapy Compromised	2	0.16%
Therapy Available	5	0.40%

• Malfunction-free C

Cumulative survival probability





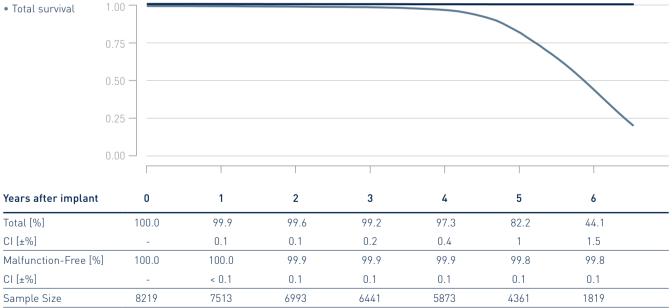
Lumax 340

Product Versions	_ DR, DR-T
NBG Codes	VVE-DDDR
Maximum Energy J	_ 40
US Market Release	_ Feb 2007
CE Market Release	_ Feb 2007
Worldwide Distributed Devices	26 400
Registered U.S. Implants	_ 8219
Estimated Active U.S. Implants	_ 1660
U.S. Normal Battery Depletions	_ 2151

	Quantity	Rate
U.S. Confirmed Malfunctions	10	0.12%
Therapy Compromised	8	0.10%
Therapy Available	2	0.02%

• Malfunction-free Cumulative survival probability

survival



Lumax 540

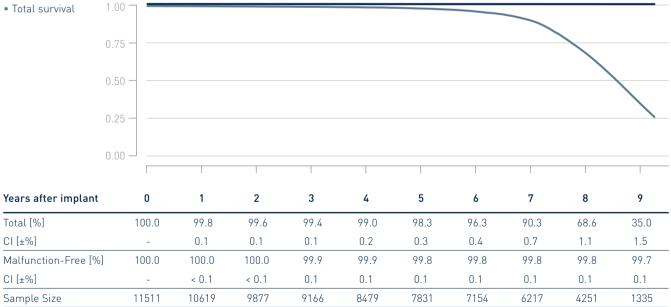
Product Versions	DR-T
NBG Codes	VVE-DDDR
Maximum Energy J	40
US Market Release	May 2009
CE Market Release	Jun 2008
Worldwide Distributed Devices	26 000
Registered U.S. Implants	11511
Estimated Active U.S. Implants	2 950
U.S. Normal Battery Depletions	2879
	Quantity

	Quantity	Rate
U.S. Confirmed Malfunctions	_24	0.21%
Therapy Compromised	_ 13	0.11%
Therapy Available	_ 11	0.10%

• Malfunction-free C

Cumulative survival probability

survival



Lumax 740

Product Versions NBG Codes	DR-T VVE-DDDR
Maximum Energy J	40
US Market Release	Sep 2012
CE Market Release	Apr 2012
Worldwide Distributed Devices	7980
Registered U.S. Implants	3813
Estimated Active U.S. Implants	2 200
U.S. Normal Battery Depletions	75

	Quantity	Rate
U.S. Confirmed Malfunctions	23	0.60%
Therapy Compromised	11	0.29%
Therapy Available	12	0.31%

• Malfunction-free Cumulative survival probability

survival

 Total survival 	1.00								
	0.75								
	0.50 — —								
	0.25 —								
	0.00								
Years after implant	0	1	2	3	4	5	6	7	8
Total [%]	100.0	100.0	99.9	99.7	99.5	99.1	98.2	97.5	96.3
CI [±%]	-	< 0.1	0.1	0.2	0.3	0.3	0.5	0.6	0.8
Malfunction-Free [%]	100.0	100.0	100.0	100.0	99.9	99.8	99.4	99.2	99.1
CI [±%]	-	< 0.1	< 0.1	0.1	0.1	0.2	0.3	0.3	0.4
Sample Size	3813	3535	3291	3052	2839	2625	2407	2130	965

Lumax 740 DX

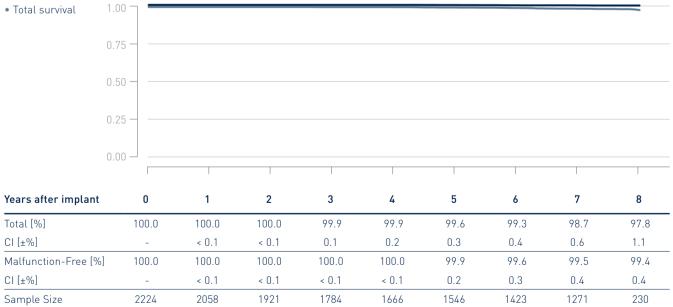
Product Versions NBG Codes	VR-T VVE-VDDR
Maximum Energy J	40
US Market Release	
CE Market Release	,
Worldwide Distributed Devices	4 560
Registered U.S. Implants	2224
Estimated Active U.S. Implants	1340
U.S. Normal Battery Depletions	16

	Quantity	Rate
U.S. Confirmed Malfunctions	9	0.40%
Therapy Compromised	3	0.13%
Therapy Available	6	0.27%

Malfunction-free

Cumulative survival probability

survival



Rivacor 7 DF4

Product Versions NBG Codes	_ DR-T _ VVE-DDDR
Maximum Energy J	_ 40
US Market Release	
CE Market Release	_ Mar 2019
Worldwide Distributed Devices	_ 7 270
Registered U.S. Implants	_ 3 753
Estimated Active U.S. Implants	_3510
U.S. Normal Battery Depletions	_ 1

	Quantity	Rate
U.S. Confirmed Malfunctions	2	0.05%
Therapy Compromised	2	0.05%
Therapy Available	0	0.00%

• Malfunction-free Cumulative survival probability

	survival	
_	Tatal auminul	

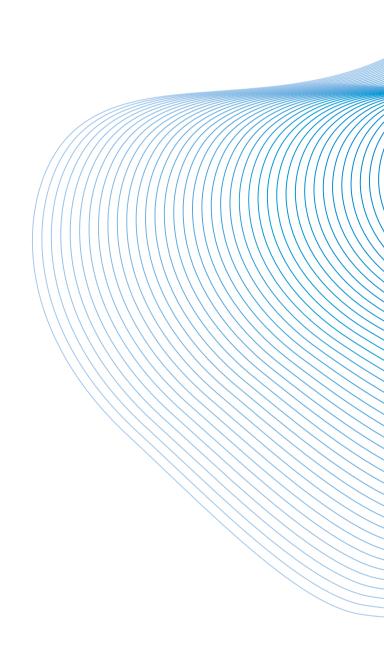
 Total survival 	1.00		
	0.75		
	0.75 -		
	0.50 -		
	0.05		
	0.25 -		
	0.00		
Years after implant	t 0	1	
	100.0	20.0	
Total [%]	100.0	99.9	
CI [±%]	-	0.1	
Malfunction-Free [%	6] 100.0	100.0	
CI [±%]	-	0.1	
Sample Size	3753	1571	

Performance of BIOTRONIK ICDs

4.1 Single-Chamber ICDs

4.2 Dual-Chamber ICDs

4.3 CRT ICDs



4.3 CRT ICDs

Acticor 7

Product Versions NBG Codes	_ HF-T, HF-T QP VVE-DDDRV
Maximum Energy J	_ 40
US Market Release	_ Apr 2019
CE Market Release	_ Mar 2019
Worldwide Distributed Devices	_ 7990
Registered U.S. Implants	_ 1795
Estimated Active U.S. Implants	_ 1620
U.S. Normal Battery Depletions	_ 0

	Quantity	Rate
U.S. Confirmed Malfunctions	1	0.06%
Therapy Compromised	1	0.06%
Therapy Available	0	0.00%

• Malfunction-free Cumulative survival probability

survival

 Total survival 	1.00 -		
	0.75 -		
	0.70		
	0.50 -		
	0.25 -		
	0.00		
Years after implant	0	1	
Total [%]	99.9	99.9	
CI [±%]	-	0.1	
Malfunction-Free [%]	99.9	99.9	
CI [±%]	-	0.1	
Sample Size	1795	569	

4.3 CRT ICDs

Ilesto 7

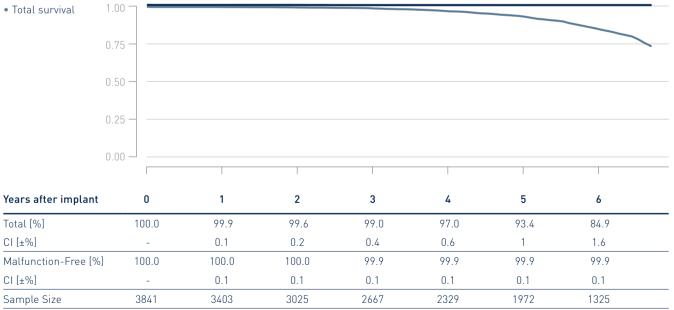
Product Versions	_HF-T	
NBG Codes	_VVE-DDDR\	/
Maximum Energy J	_ 40	
US Market Release	_ Sep 2013	
CE Market Release	_ Jun 2013	
Worldwide Distributed Devices	_ 5290	
Registered U.S. Implants	_3841	
Estimated Active U.S. Implants	1540	
U.S. Normal Battery Depletions	_ 399	
	Quantity	Rate

U.S. Confirmed Malfunctions*	3	0.08%
Therapy Compromised	2	0.05%
Therapy Available	1	0.03%

• Malfunction-free Cun

Cum	ulative	survival	proba	bility

survival



4.3 CRT ICDs

Ilesto 7 DF4

Product Versions	_HF-T
NBG Codes	VVE-DDDRV
Maximum Energy J	_ 40
US Market Release	_ Jul 2014
CE Market Release	_ Jun 2013
Worldwide Distributed Devices	2360
Registered U.S. Implants	_ 968
Estimated Active U.S. Implants	_ 460
U.S. Normal Battery Depletions	_ 74

	Quantity	Rate
U.S. Confirmed Malfunctions [*]	1	0.10%
Therapy Compromised	1	0.10%
Therapy Available	0	0.00%

• Malfunction-free Cumulative survival probability

survival		······································						
 Total survival 	1.00							
	0.75 —							
	0.50 —							
	0.25 —							
	0.00							
Years after implant	0	1	2	3	4	5	6	
Total [%]	100.0	99.8	99.7	99.4	97.0	94.6	83.9	
CI [±%]	-	0.3	0.4	0.5	1.3	1.8	3.5	
Malfunction-Free [%]	100.0	100.0	99.9	99.9	99.9	99.9	99.9	
CI [±%]	-	< 0.1	0.2	0.2	0.2	0.2	0.2	
Sample Size	968	858	766	681	594	513	210	

Ilivia 7 DF4

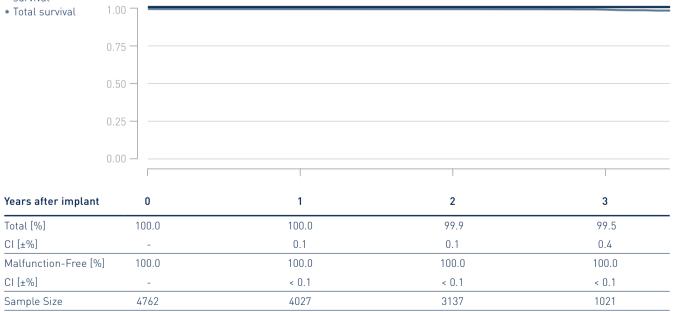
	-T, HF-T QP E-DDDRV
Maximum Energy J 40	
US Market Release Ma	y 2017
CE Market Release Feb	2017
Worldwide Distributed Devices 929	70
Registered U.S. Implants 476	62
Estimated Active U.S. Implants 350	00
U.S. Normal Battery Depletions 16	

	Quantity	Rate
U.S. Confirmed Malfunctions*	0	0.00%
Therapy Compromised	0	0.00%
Therapy Available	0	0.00%

Malfunction-free

Cumulative survival probability

survival



Intica 7 DF1

NBG Codes	_ HF-T, HF-T QP _ VDE-DDDRV
Maximum Energy J	_ 40
US Market Release	_ May 2017
CE Market Release	_Sep 2016
Worldwide Distributed Devices	_ 5460
Registered U.S. Implants	_ 2901
Estimated Active U.S. Implants	_ 2260
U.S. Normal Battery Depletions	_ 5

	Quantity	Rate
U.S. Confirmed Malfunctions*	0	0.00%
Therapy Compromised	0	0.00%
Therapy Available	0	0.00%

• Malfunction-free Cumulative survival probability

survival

 Total survival 	1.00			
	0.75 —			
	0.50 —			
	0.25 —			
	0.00			
Years after impla	nt O	1	2	3
Total [%]	100.0	99.9	99.8	99.4
CI [±%]	-	0.1	0.2	0.7
Malfunction-Free	[%] 100.0	100.0	100.0	100.0
CI [±%]	-	< 0.1	< 0.1	< 0.1
Sample Size	2901	2114	1115	246

Inventra 7 DF4

Product Versions NBG Codes	HF-T, HF-T QP VDE-DDDRV
Maximum Energy J	_ 45
US Market Release	_ Aug 2014
CE Market Release	_ Jul 2014
Worldwide Distributed Devices	2110
Registered U.S. Implants	_ 887
Estimated Active U.S. Implants	
U.S. Normal Battery Depletions	_ 7

	Quantity	Rate
U.S. Confirmed Malfunctions [*]	4	0.45%
Therapy Compromised	0	0.00%
Therapy Available	4	0.45%

• Malfunction-free Cumulative survival probability

survivalTotal survival	1.00 7					
• TOTAL SULVIVAL	1.00					
	0.75 -					
	0.50 -					
	0.25 -					
	0.00					
Years after implant	0	1	2	3		
Total [%]	100.0	100.0	100.0	99.5		
CI [±%]	-	< 0.1	< 0.1	0.6		
Malfunction-Free [%] 100.0	100.0	100.0	100.0		
CI [±%]	-	< 0.1	< 0.1	< 0.1		
Sample Size	887	753	633	391		

Iperia 7

Product Versions	HF-T
NBG Codes	VDE-DDDRV
Maximum Energy J	40
US Market Release	Apr 2016
CE Market Release	Dec 2014
Worldwide Distributed Devices	3040
Registered U.S. Implants	1479
Estimated Active U.S. Implants	1020
U.S. Normal Battery Depletions	22

	Quantity	Rate
U.S. Confirmed Malfunctions*	_ 0	0.00%
Therapy Compromised	_ 0	0.00%
Therapy Available	_ 0	0.00%

Malfunction-free

C	umulati		vival	nroh	ahility	
υu	innutati	ve sui	VIVal	hion	abitity	

survival

 Total survival 	1.00 -			
	0.75 -			
	0.50 —			
	0.25 —			
	0.00			
Years after implar	nt O	1	2	3
Total [%]	100.0	100.0	100.0	98.7
CI [±%]	-	< 0.1	< 0.1	0.8
Malfunction-Free [%] 100.0	100.0	100.0	100.0
CI [±%]	-	< 0.1	< 0.1	< 0.1
Sample Size	1479	1295	1035	521

Iperia 7 DF4

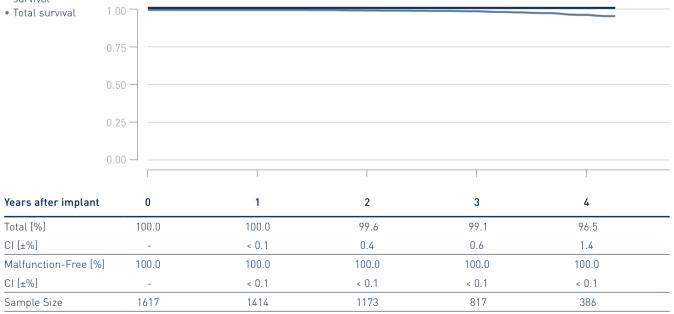
Product Versions NBG Codes Maximum Energy J US Market Release CE Market Release Worldwide Distributed Devices	- HF-T - VDE-DDDRV - 40 - Apr 2016 - Dec 2014 5 830
	1617 1080
	_ 0 1

	Quantity	Rate
U.S. Confirmed Malfunctions*	0	0.00%
Therapy Compromised	0	0.00%
Therapy Available	0	0.00%

• Malfunction-free

Cumulative	CULLVIVA	nrohability
Gunnutative	Survivat	probability

survival



* A subset of devices from this product family is subject to the advisory BIO-LQC.

Refer to the chapter "Advisories" for details.

Itrevia 7

Product Versions	HF-T
NBG Codes	VDE-DDDRV
Maximum Energy J	40
US Market Release	Mar 2015
CE Market Release	Dec 2014
Worldwide Distributed Devices	4600
Registered U.S. Implants	3316
Estimated Active U.S. Implants	1900
U.S. Normal Battery Depletions	131

	Quantity	Rate
U.S. Confirmed Malfunctions*	1	0.03%
Therapy Compromised	0	0.00%
Therapy Available	1	0.03%

• Malfunction-free Cumulative survival probability

survival	1 00 -					
 Total survival 	1.00					
	0.75 -					
	0.50 -					
	0.25 -					
	0.00			I		
Years after implant	t O	1	2	3	4	
Total [%]	100.0	99.9	99.7	98.8	95.8	
CI [±%]	-	0.1	0.2	0.4	1	
Malfunction-Free [%	6] 100.0	100.0	100.0	100.0	100.0	
CI [±%]	-	0.1	0.1	0.1	0.1	
Sample Size	3316	2905	2573	2043	1231	

Itrevia 7 DF4

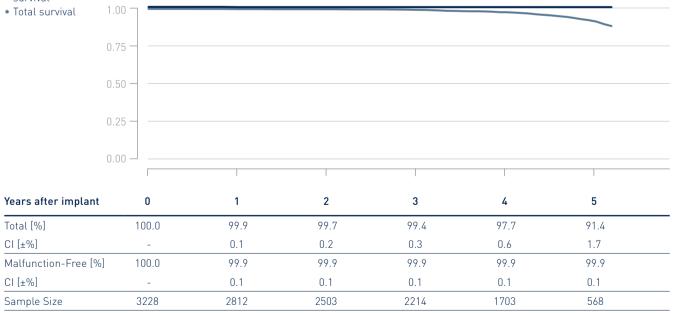
Product Versions NBG Codes	_ HF-T, HF-T QP _ VDE-DDDRV
Maximum Energy J	_ 40
US Market Release	_ Mar 2015
CE Market Release	Dec 2014
Worldwide Distributed Devices	_ 5 680
Registered U.S. Implants	_ 3 228
Estimated Active U.S. Implants	_ 1740
U.S. Normal Battery Depletions	_ 131

	Quantity	Rate
U.S. Confirmed Malfunctions*	2	0.06%
Therapy Compromised	0	0.00%
Therapy Available	2	0.06%

• Malfunction-free C

Cumu	lative	survival	probability
ounnu	lauve	Survivat	probability

survival

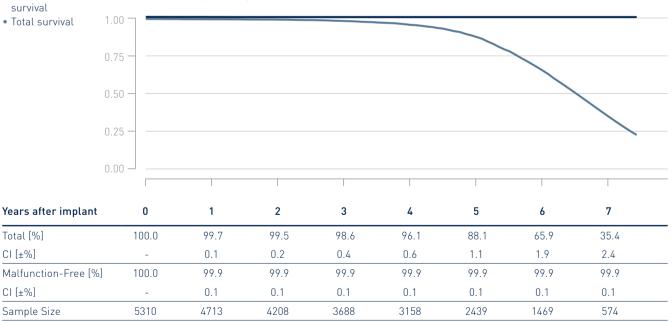


Lumax 340

Product Versions	HF, HF-T	
NBG Codes	VVE-DDDR	/
Maximum Energy J	40	
US Market Release	Feb 2007	
CE Market Release	Dec 2006	
Worldwide Distributed Devices	20700	
Registered U.S. Implants	5310	
Estimated Active U.S. Implants	509	
U.S. Normal Battery Depletions	1269	
	Quantity	Rate

U.S. Confirmed Malfunctions	4	0.08%
Therapy Compromised	2	0.04%
Therapy Available	2	0.04%

• Malfunction-free Cumulative survival probability



Lumax 540

Product Versions	_ HF-T
NBG Codes	_VVE-DDDRV
Maximum Energy J	_ 40
US Market Release	_ May 2009
CE Market Release	_ Jun 2008
Worldwide Distributed Devices	_ 24 800
Registered U.S. Implants	_8656
Estimated Active U.S. Implants	_ 987
U.S. Normal Battery Depletions	_ 2 586

	Quantity	Rate
U.S. Confirmed Malfunctions	. 11	0.13%
Therapy Compromised	5	0.06%
Therapy Available	6	0.07%

- Malfunction-free Cumulative survival probability
- survival 1.00 Total survival 0.75 -0.25 -0.00 -7 Years after implant 0 1 2 3 4 5 6 Total [%] 100.0 99.7 99.5 98.8 95.9 86.6 64.7 26.2 CI [±%] _ 0.1 0.2 0.3 0.5 0.9 1.4 1.9 Malfunction-Free [%] 100.0 100.0 99.9 99.9 99.9 99.8 99.8 99.8 CI [±%] -< 0.1 0.1 0.1 0.1 0.1 0.1 0.1 7648 6825 4127 2698 Sample Size 8656 6046 5202 698

Lumax 740

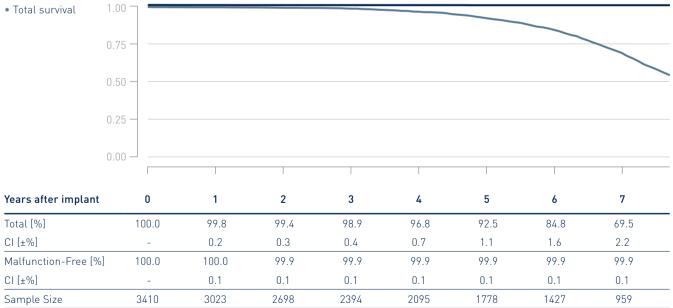
Product Versions	_HF-T	
NBG Codes	_VVE-DDDRV	/
Maximum Energy J	_ 40	
US Market Release	_ Sep 2012	
CE Market Release	_ Apr 2012	
Worldwide Distributed Devices	7040	
Registered U.S. Implants	_3410	
Estimated Active U.S. Implants	_ 822	
U.S. Normal Battery Depletions	_ 691	
	Quantity	Rate

	Guantity	Nate
U.S. Confirmed Malfunctions	3	0.09%
Therapy Compromised	1	0.03%
Therapy Available	2	0.06%

• Malfunction-free Cumu

Cumulative	survival	probability
------------	----------	-------------

survival



Rivacor 7 DF4

Product Versions NBG Codes	_ HF-T, HF-T QP _ VDE-DDDRV
Maximum Energy J	40
US Market Release	_ Apr 2019
CE Market Release	_ Mar 2019
Worldwide Distributed Devices	_ 8770
Registered U.S. Implants	_ 3 4 4 7
Estimated Active U.S. Implants	_ 3 080
U.S. Normal Battery Depletions	_ 1

	Quantity	Rate
U.S. Confirmed Malfunctions	1	0.03%
Therapy Compromised	1	0.03%
Therapy Available	0	0.00%

• Malfunction-free Cumulative survival probability

survival	
Taket southers to be	

survivalTotal survival	1.00		
	0.75 —		
	0.50 —		
	0.25 —		
	0.00	1	
Years after implan	t O	1	
Total [%]	100.0	99.9	
CI [±%]	-	0.1	
Malfunction-Free [%] 100.0	99.9	
CI [±%]	-	0.1	
Sample Size	3447	1441	
	-		

Methodology for Lead Survival Estimates Based on Returned Product Analysis and Complaint Information 5

5. Methodology for Lead Survival Estimates Based on Returned Product Analysis and Complaint Information

5.1 Cumulative Lead Survival Probability

This report has been prepared in accordance with ISO 5841-2:2014(E) applying actuarial analysis for the calculation of lead survival probabilities based on returned product analysis. Lead survival estimates given in this report are considered to be generally representative for worldwide performance of BIOTRONIK's pacing and ICD leads.

The cumulative survival probability for leads is an estimate based on the percentage of devices that remain implanted and in service at various points of the product's service time in the absence of concurrent events such as morbidity. The lead survival estimate over time is displayed in cumulative survival curves (Kaplan-Meier). The product's performance is evaluated in discrete one-month intervals. The survival probability for each month is given by the number of leads that remain implanted and active through this month divided by the number of leads that were actively implanted at the start of the interval. The cumulative survival probability for any period is given by multiplying all survival probabilities of previous months

At the time of implantation, the cumulative lead survival probability is 100%. Even though they are analyzed as part of our quality system monitoring, leads that are found to be out of specification prior to or during the implantation procedure are removed from the statistics as they do not contribute to a patient's risk of being subject to a device malfunction or replacement during the device's service time. Because this report is provided to communicate information regarding product performance, it does not include data regarding medical complications such as erosion, infection or diaphragmatic stimulation.

Compared to pacemakers and ICDs, a considerable portion of leads with observed or suspected failures are not explanted and returned for laboratory analysis. This is primarily because it is much more difficult and risky to the patient to remove chronically implanted leads. In order to report a conservative measure of lead performance, unconfirmed reports of lead complications are therefore also included in the calculation of a lead's survival probability.

In order to be classified as a qualifying lead complication and thus contributing to the survival probability calculation the same way as a confirmed malfunction, the reported anomaly must have occurred at least 30 days post-implant. Otherwise, factors not related to the lead would likely be the root cause of the observed anomaly, (i.e., patient specific conditions or implant techniques).

In order to minimize the effect of underreporting of lead malfunctions, BIOTRONIK additionally includes the long term performance post market study data if available.

5.2 Lead Data Acquisition

The following sections of this report provide performance data on BIOTRONIK's pacing and ICD leads and are based on the observation of BIOTRONIK's U.S. products through review of our device registration and tracking systems, and through the analyses of both, returned leads as well as reports of lead complications of nonreturned leads. The prospective data from BIOTRONIK's post-approval studies is presented separately in chapters 7 and 8.

In order to be included in the population under observation a lead must be registered and implanted for at least one calendar day. The cut-off date for the data included in this report is June 30, 2021. The sample sizes of U.S. leads that are implanted and remain active as well as the total number of products distributed worldwide are provided for each lead family in this report.

Survival estimates are calculated for lead families having accumulated at least 10,000 cumulative implant months. Products no longer being distributed with less than 500 active implants may be excluded from this report.

ISO 5841-2:2014[E] describes a method for adjusting the device survival probability for underreported malfunctions and unrelated patient deaths that result in an overestimation of the device's survival probability. The factor for U.S. underreporting of malfunctions of pacing and ICD leads is unknown as currently no systematic data is available that reveals this factor. Consequently, this factor remains unaccounted for in this report. Patient mortality is artificially elevated if the reported rate from our registration and tracking systems is below the annual mortality in clinical studies.

5.3 Returned Product Analysis

Information for the lead sections of this report is taken from the analysis of returned products. The outcome of this analysis is the basis for the final classification of the cause for explantation of the lead. Additionally, reports of lead complications not confirmed by laboratory analysis are taken into consideration. Both, leads with confirmed malfunctions as well as unconfirmed lead complications decrease a lead's total survival probability.

Every lead and lead segment returned to BIOTRONIK is analyzed per our internal procedures and classified as within specification, damaged by external causes, or out of specification (malfunction) while implanted and in service.

Those leads found to be out of specification, are divided into the following categories as proposed by AdvaMed and ISO 5841-2:2014[E]:

Conductor Fracture

Conductor break with complete or intermittent loss of continuity that could interrupt current flow (e.g. fractured conductors)

Crimps, Welds and Bonds

Any interruption in the conductor or lead body associated with a point of connection

Insulation Breach

Any lead insulation breach

Other

Includes specific proprietary lead mechanical attributes.

5.4 Lead Complications

A considerable portion of leads with observed or suspected failures are not explanted and returned for laboratory analysis. A clinical observation is considered a lead complication if a complaint, associated with at least one of the clinical manifestations listed below, is reported and where the nonreturned lead is:

- Verified by medical records to have been implanted and in-service, and
- Reported to have been removed from service,
- Modified surgically or electrically to remedy the malfunction, or
- Left in service based on medical judgment.

Complications for leads implanted greater than 30 days are reported as qualifying lead complications, whereas complications occurring during the first 30 days are reported as acute lead observations.

In accordance with the latest AdvaMed guidelines and ISO 5841-2:2014(E) such clinical observations are classified in the following categories:

Failure to Capture

Intermittent or complete failure to achieve cardiac stimulation at programmed output delivered outside of the cardiac refractory period. Sudden and significant increase in the pacing threshold value at which 2:1 safety margin can no longer be achieved.

Failure to Sense

Intermittent or complete loss of sensing or failure to detect intended intrinsic cardiac signals during nonrefractory periods at programmed sensitivity settings

Oversensing

Misinterpretation of cardiac or noncardiac events as cardiac depolarization

Abnormal Pacing Impedance

Pacing impedance is typically considered abnormal if a measurement is < 200 ohms or > 3000 ohms

Abnormal Defibrillation Impedance

Defibrillation impedance is typically considered abnormal if a measurement is < 20 ohms or > 200 ohms. Including high or low shock impedance when attempting to deliver a shock

Insulation Breach

A disruption or break in lead insulation observed visually, electrically, or radiographically

Conductor Fracture

A mechanical break within the lead conductor observed visually, electrically, or radiographically

Lead Dislodgement

Radiographic, electrical or electrocardiographic evidence of electrode displacement from the original implant site or electrode displacement that adversely affects pacing and/or lead performance

Extracardiac Stimulation

Clinical observation of inadvertent nerve/muscle stimulation other than cardiac muscle

Cardiac Perforation

Penetration of the lead tip through the myocardium, clinically suspected and confirmed by chest x-ray, fluoroscopy, echocardiogram, or visual observation, which results in clinical symptoms, typically degradation of pacing/ICD lead electrical performance, chest pain, and tamponade

Other

Specific proprietary attributes of a lead such as sensors which affect a lead's ability to perform as designed or remain in service

In order to report a conservative measure of lead performance, qualifying lead complications are also included in the calculation of a lead's survival probability.

Acute Lead Observations may be subject to a number of factors, including patient-specific anatomy, clinical conditions and/or varying implant conditions/techniques. Therefore, acute lead observations are not included in lead survival probability.

5.5 Lead Product Performance Graphs and Data

The lead performance information is shown in each section in alphabetical order and by product name.

For each lead, the report provides:

- Product versions that contribute to the evaluation
- Types of leads
- Polarity
- Steroid
- CE and U.S. market release dates
- Worldwide quantity of products that have been distributed
- U.S. registered implants (number of products included in this report)
- Estimated active U.S. implants
- Number of U.S. qualifying complications

- Number of U.S. acute lead observations
- Number of U.S. confirmed malfunctions
- Number of U.S. leads or partial leads returned post-implant for analysis with a complaint

The survival plots provide:

Total Survival

The cumulative survival probability free of component malfunction or unconfirmed observation of an anomaly. Removals for clinical reasons unrelated to the device's performance (i.e., infections) are excluded.

Products or subgroups of products may become subject to advisory notifications that can significantly impact the overall product performance.

Current advisories are listed in chapter 11 of this report, however to date, BIOTRONIK has never had a pacing or ICD lead safety advisory notification, therefore no summary of lead advisories is provided.

The cumulative survival data and the 95 % confidence intervals according to the Greenwood's formula¹ are shown in numerical form for the observed sample population.

1 Greenwood, M. Reports on Public Health and Medical Subjects 33,London: Her Majesty's Stationery Office, 1-26, 1926

Performance of BIOTRONIK Leads Based on Returned Products and Complaint Data

6.1 Pacing Leads6.2 ICD Leads6.3 CRT Leads

6

Performance of BIOTRONIK Leads Based on Returned Products and Complaint Data

6.1 Pacing Leads6.2 ICD Leads

6.3 CRT Leads



Dextrus

Product Versions	4135, 4136, 4137
Lead Type	straight, active fixation
Polarity	bipolar
Steroid	yes
U.S. Market Release	Apr 2007
CE Market Release	May 2007
Worldwide Distributed Devices	480 000
Registered U.S. Implants	379 526
Estimated Active U.S. Implants	224 000
U.S. Total Returned	2412

	Quantity	Rate
U.S. Qualifying Complications	4 587	1.19%
Abnormal Pacing Impedance	417	0.11%
Cardiac Perforation	25	0.01%
Conductor Fracture	126	0.03%
Extracardiac Stimulation	22	0.01%
Failure to Capture	1154	0.30%
Failure to Sense	175	0.05%
Insulation Breach	88	0.02%
Lead Dislodgement	561	0.15%
Oversensing	1202	0.31%
Other	817	0.21%

	Quantity	Rate
U.S. Confirmed Malfunctions	368	0.10%
Conductor Fracture	126	0.03%
Insulation Breach	236	0.06%
Other	6	0.00%
U.S. Acute Lead Observations	1718	0.45%
Abnormal Pacing Impedance	43	0.01%
Cardiac Perforation	70	0.02%
Extracardiac Stimulation	15	0.00%
Failure to Capture	250	0.07%
Failure to Sense	66	0.02%
Insulation Breach	10	0.00%
Lead Dislodgement	692	0.18%
Oversensing	46	0.01%
Other	526	0.14%

• Total survival Cumulative survival probability 1.00 0.00 -Т Years after implant 0 2 3 5 7 8 9 1 4 6 10 11 12 13 14 Total [%] 100.0 99.6 99.5 99.3 99.1 99.0 98.8 98.6 98.4 98.3 98.1 97.9 97.7 97.6 97.2 CI [±%] 0.1 _ < 0.1 < 0.1 < 0.1 < 0.1 < 0.1 < 0.1 < 0.1 < 0.1 0.1 0.1 0.1 0.1 0.6 Sample Size 379526 336459 314863 295548 278157 260580 219542 177018 138716 106626 80128 56162 33765 14277 335

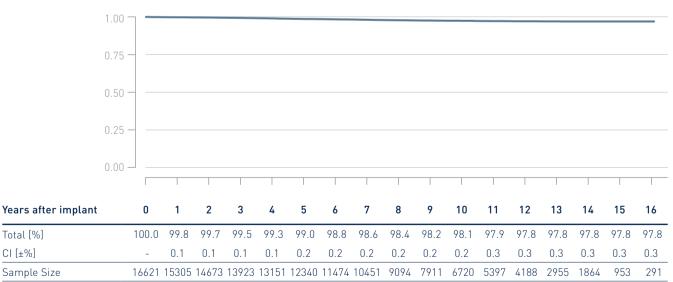
Selox JT

Product Versions	45, 53
Lead Type	J-shape, passive fixation
Polarity	bipolar
Steroid	yes
U.S. Market Release	Nov 2004
CE Market Release	Nov 2004
Worldwide Distributed Devices	157 000
Registered U.S. Implants	16621
Estimated Active U.S. Implants	12300
U.S. Total Returned	127

	Quantity	Rate
U.S. Qualifying Complications	239	1.44%
Abnormal Pacing Impedance	35	0.21%
Cardiac Perforation	1	0.01%
Conductor Fracture	11	0.07%
Extracardiac Stimulation	1	0.01%
Failure to Capture	105	0.63%
Failure to Sense	9	0.05%
Insulation Breach	12	0.07%
Lead Dislodgement	37	0.22%
Oversensing	9	0.05%
Other	19	0.11%

		Quantity	Rate
U.S. Confirmed Mal Insulation Breach			0.06% 0.06%
U.S. Acute Lead Ob	servations	45	0.27%
Failure to Capture _			0.05%
Lead Dislodgement		34	0.20%
Othor		3	0.02%

• Total survival



Selox SR

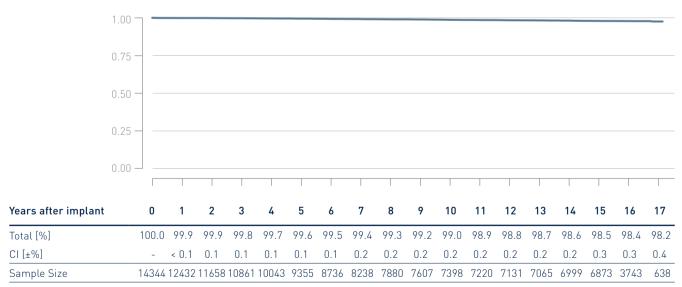
Product Versions	45, 53, 60
Lead Type	straight, active fixation
Polarity	bipolar
Steroid	yes
U.S. Market Release	Mar 2004
CE Market Release	Feb 2004
Worldwide Distributed Devices	172000
Registered U.S. Implants	14344
Estimated Active U.S. Implants	7 030
U.S. Total Returned	63

	Quantity	Rate
U.S. Qualifying Complications	126	0.88%
Abnormal Pacing Impedance	10	0.07%
Conductor Fracture	12	0.08%
Extracardiac Stimulation	2	0.01%
Failure to Capture	46	0.32%
Failure to Sense	1	0.01%
Insulation Breach	6	0.04%
Lead Dislodgement	14	0.10%
Oversensing	21	0.15%
Other	14	0.10%

	Quantity	Rate
U.S. Confirmed Malfunctions	13	0.09%
Insulation Breach	13	0.09%
U.S. Acute Lead Observations	21	0.15%
Cardiac Perforation	1	0.01%
Failure to Capture	11	0.08%
Insulation Breach	1	0.01%
Lead Dislodgement	8	0.06%

Total survival

Cumulative survival probability



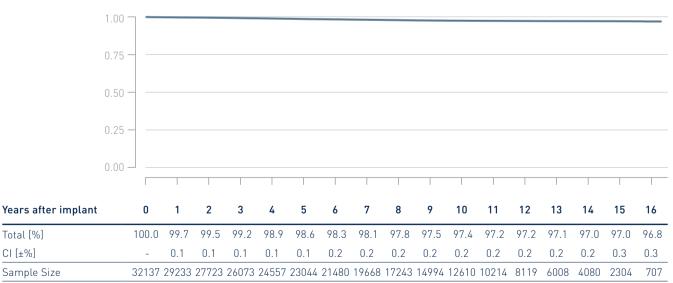
Selox ST

Product Versions	53, 60
Lead Type	straight, passive fixation
Polarity	bipolar
Steroid	yes
U.S. Market Release	Nov 2004
CE Market Release	Nov 2004
Worldwide Distributed Devices	379 000
Registered U.S. Implants	32137
Estimated Active U.S. Implants	22 700
U.S. Total Returned	181

	Quantity	Rate
U.S. Qualifying Complications	627	1.95%
Abnormal Pacing Impedance	143	0.44%
Cardiac Perforation	3	0.01%
Conductor Fracture	65	0.20%
Extracardiac Stimulation	6	0.02%
Failure to Capture	305	0.95%
Failure to Sense	1	0.00%
Insulation Breach	39	0.12%
Lead Dislodgement	24	0.07%
Oversensing	13	0.04%
Other	28	0.09%

	Quantity	Rate
U.S. Confirmed Malfunctions	19	0.06%
Conductor Fracture	1	0.00%
Crimps, Welds and Bonds	1	0.00%
Insulation Breach	17	0.05%
U.S. Acute Lead Observations	49	0.15%
Abnormal Pacing Impedance	1	0.00%
Failure to Capture	21	0.07%
Lead Dislodgement	21	0.07%
Other	6	0.02%

• Total survival



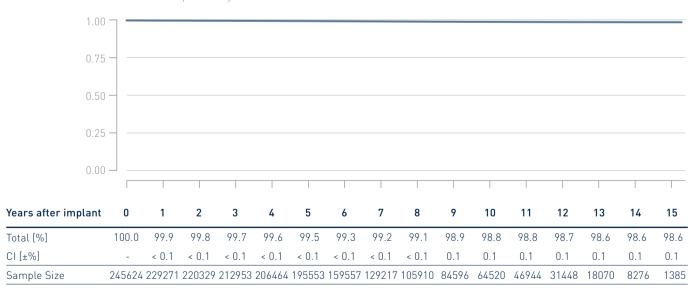
Setrox S

Product Versions	45, 53, 60
Lead Type	straight, active fixation
Polarity	bipolar
Steroid	yes
U.S. Market Release	Apr 2006
CE Market Release	Mar 2006
Worldwide Distributed Devices	681 000
Registered U.S. Implants	245 624
Estimated Active U.S. Implants	196 000
U.S. Total Returned	1713

	Quantity	Rate
U.S. Qualifying Complications	1846	0.75%
Abnormal Pacing Impedance	161	0.07%
Cardiac Perforation	9	0.00%
Conductor Fracture	116	0.05%
Extracardiac Stimulation	12	0.00%
Failure to Capture	637	0.26%
Failure to Sense	54	0.02%
Insulation Breach	83	0.03%
Lead Dislodgement	351	0.14%
Oversensing	298	0.12%
Other	125	0.05%

	Quantity	Rate
U.S. Confirmed Malfunctions	197	0.08%
Conductor Fracture	62	0.03%
Insulation Breach	130	0.05%
Other	5	0.00%
U.S. Acute Lead Observations	273	0.11%
Abnormal Pacing Impedance	1	0.00%
Cardiac Perforation		0.01%
Failure to Capture	35	0.01%
Failure to Sense	3	0.00%
Insulation Breach	4	0.00%
Lead Dislodgement	189	0.08%
Oversensing	1	0.00%
Other	16	0.01%

• Total survival Cumulative survival probability



Siello S/Solia S

Product Versions	_ 45, 53, 60
Lead Type	_ straight, active fixation
Polarity	_ bipolar
Steroid	_ yes
U.S. Market Release	_ Jan 2013
CE Market Release	Jul 2009
Worldwide Distributed Devices	_ 1942000
Registered U.S. Implants	_ 182 775
Estimated Active U.S. Implants	_ 174 000
U.S. Total Returned	_ 809

	Quantity	Rate
U.S. Qualifying Complications	696	0.38%
Abnormal Pacing Impedance	45	0.02%
Cardiac Perforation	17	0.01%
Conductor Fracture	19	0.01%
Extracardiac Stimulation		0.00%
Failure to Capture	186	0.10%
Failure to Sense	34	0.02%
Insulation Breach	11	0.01%
Lead Dislodgement	296	0.16%
Oversensing	57	0.03%
Other	22	0.01%

	Quantity	Rate
U.S. Confirmed Malfunctions	38	0.02%
Conductor Fracture	16	0.01%
Insulation Breach	17	0.01%
Other	5	0.00%
U.S. Acute Lead Observations	357	0.19%
Abnormal Pacing Impedance	4	0.00%
Cardiac Perforation	31	0.02%
Conductor Fracture	1	0.00%
Failure to Capture	61	0.03%
Failure to Sense	8	0.00%
Insulation Breach	3	0.00%
Lead Dislodgement	219	0.12%
Oversensing	9	0.00%
Other	21	0.01%

• Total survival Cumulative survival probability 1.00 0.75 0.25 -0.00 -T Τ Years after implant 2 3 4 5 7 0 1 6 Total [%] 100.0 99.7 99.6 99.5 99.4 99.3 99.1 99.0 CI [±%] _ < 0.1 < 0.1 < 0.1 < 0.1 0.1 0.2 0.2 Sample Size 182775 144384 109745 70616 32735 2934 2391 1213

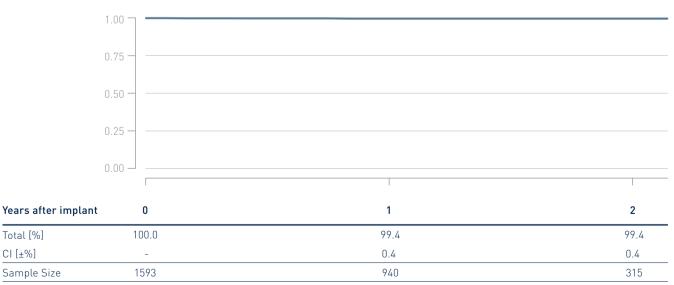
Siello JT/Solia JT

Product Versions	42, 53
Lead Type	J-shape, passive fixation
Polarity	bipolar
Steroid	yes
U.S. Market Release	Nov 2018
CE Market Release	Okt 2009
Worldwide Distributed Devices	1 250 000
Registered U.S. Implants	1 593
Estimated Active U.S. Implants	1 500
U.S. Total Returned	9

	Quantity	Rate
U.S. Qualifying Complications	8	0.50%
Failure to Capture	2	0.13%
Failure to Sense	1	0.06%
Insulation breach	1	0.06%
Lead Dislodgement	4	0.25%

	Quantity	Rate
U.S. Confirmed Malfunctions	0	0.00%
U.S. Acute Lead Observations	10	0.63%
Failure to Capture	1	0.06%
Lead Dislodgement	6	0.56%

Total survival



Siello T/Solia T

Product Versions	53, 60
Lead Type	straight, passive fixation
Polarity	bipolar
Steroid	yes
U.S. Market Release	Nov 2018
CE Market Release	Okt 2009
Worldwide Distributed Devices	1 96 000
Registered U.S. Implants	2 128
Estimated Active U.S. Implants	1990
U.S. Total Returned	7

	Quantity	Rate
U.S. Qualifying Complications	7	0.33%
Abnormal Pacing Impedance	2	0.09%
Failure to Capture	4	0.19%
Lead Dislodgement	1	0.05%

	Quantity	Rate
U.S. Confirmed Malfunctions	0	0.00%
U.S. Acute Lead Observations	9	0.42%
Failure to Capture	3	0.14%
Lead Dislodgement	6	0.28%

• Total survival

Cumulative survival probability

	4.00		
	1.00		
	0.75 —		
	0.50 -		
	0.25 -		
	0.00		
		Ι	
Years after implar	nt O	1	2
Total [%]	100.0	99.6	99.5
CI [±%]	_	0.3	0.4
Sample Size	2128	1216	387

Tilda JT

Product Versions	45, 53
Lead Type	J-shape, passive fixation
Polarity	bipolar
Steroid	yes
U.S. Market Release	Feb 2012
CE Market Release	Sep 2011
Worldwide Distributed Devices	17300
Registered U.S. Implants	1015
Estimated Active U.S. Implants	947
U.S. Total Returned	0

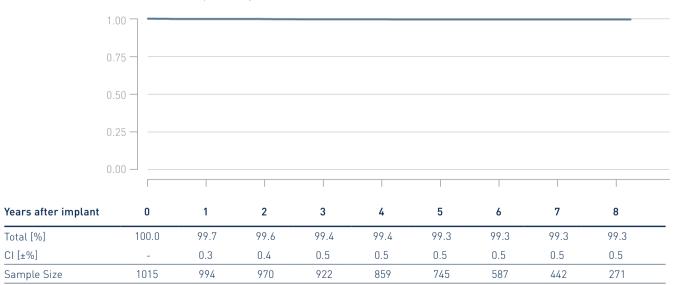
Rate 0.69% 0.20% 0.20% 0.30%

	Quantity
U.S. Qualifying Complications	7
Abnormal Pacing Impedance	2
Failure to Capture	2
Lead Dislodgement	3

	Quantity	Rate
U.S. Confirmed Malfunctions	0	0.00%
U.S. Acute Lead Observations Lead Dislodgement	1 1	0.10% 0.10%

• Total survival

Cumulative survival probability



Tilda R

Product Versions Lead Type	45, 53, 60 straight, active fixation
Polarity	bipolar
Steroid	yes
U.S. Market Release	Dec 2011
CE Market Release	Aug 2011
Worldwide Distributed Devices	41300
Registered U.S. Implants	12 637
Estimated Active U.S. Implants	11800
U.S. Total Returned	16

	Quantity	Rate
U.S. Qualifying Complications	36	0.28%
Abnormal Pacing Impedance	1	0.01%
Conductor Fracture	6	0.05%
Extracardiac Stimulation	1	0.01%
Failure to Capture		0.06%
Insulation Breach	2	0.02%
Lead Dislodgement		0.07%
Oversensing	5	0.04%
Other	4	0.03%

	Quantity	Rate
U.S. Confirmed Malfunctions	1	0.01%
Conductor Fracture	1	0.01%
U.S. Acute Lead Observations	9	0.07%
Failure to Capture	1	0.01%
Lead Dislodgement	8	0.06%

• Total survival Cumulative survival probability 1.00 -0.75 0.25 -0.00 ſ Τ Т Т Τ Years after implant 0 1 2 3 4 5 6 7 8 9 Total [%] 100.0 99.9 99.8 99.8 99.8 99.7 99.7 99.7 99.7 99.6 CI [±%] _ 0.1 0.1 0.1 0.1 0.1 0.1 0.1 0.1 0.1 12637 7757 Sample Size 12279 12048 11556 10862 9366 5999 3774 1091

Tilda T

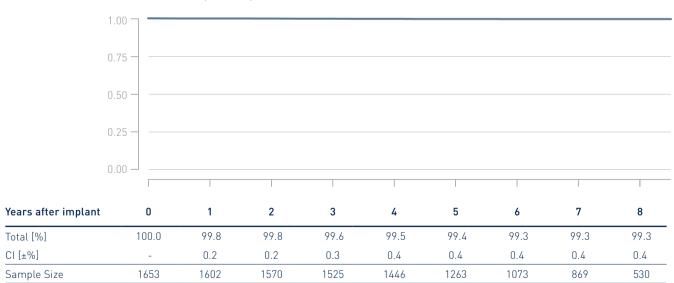
Product Versions	53, 60
Lead Type	straight, passive fixation
Polarity	bipolar
Steroid	yes
U.S. Market Release	Dec 2011
CE Market Release	Aug 2011
Worldwide Distributed Devices	22400
Registered U.S. Implants	1653
Estimated Active U.S. Implants	1510
U.S. Total Returned	2

	Quantity	Rate
U.S. Qualifying Complications	11	0.67%
Abnormal Pacing Impedance	4	0.24%
Conductor Fracture	2	0.12%
Insulation Breach	1	0.06%
Lead Dislodgement	4	0.24%

	Quantity	Rate
U.S. Confirmed Malfunctions	0	0.00%
U.S. Acute Lead Observations	0	0.00%

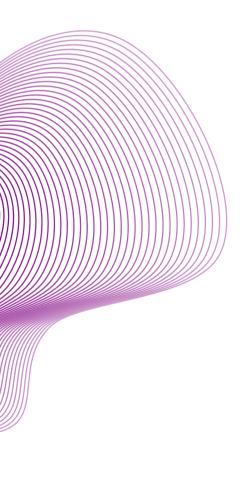
• Total survival

Cumulative survival probability



Performance of BIOTRONIK Leads Based on Returned Products and Complaint Data

6.1 Pacing Leads6.2 ICD Leads6.3 CRT Leads



Kentrox RV

Product Versions Lead Type Polarity	65, 75, -Steroid single-coil, passive fixation bipolar
Steroid	yes/no
U.S. Market Release	Mar 2002 / Oct 2004
CE Market Release	Jan 2001 / Dec 2004
Worldwide Distributed Devices	5460
Registered U.S. Implants	406
Estimated Active U.S. Implants	171
U.S. Total Returned	8

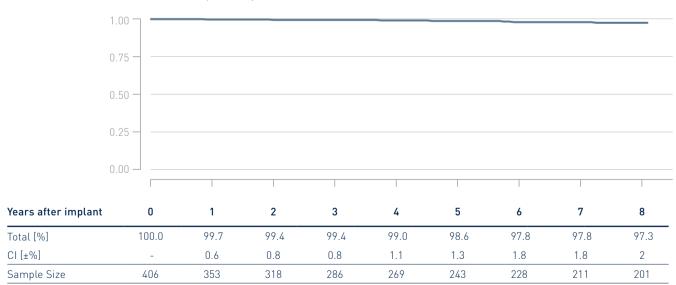
Rate 2.46% 0.25% 0.98% 0.25% 0.98%

	Quantity
U.S. Qualifying Complications	10
Conductor Fracture	1
Failure to Capture	4
Insulation Breach	1
Oversensing	4

		Quantity	Rate
U.S. Confirmed Malfun	ctions	2	0.49%
Conductor Fracture		1	0.25%
Insulation Breach		1	0.25%
U.S. Acute Lead Obser	vations	0	0.00%

• Total survival

Cumulative survival probability



Kentrox SL-S

Product Versions	65/16, 18 -Steroid
Lead Type	dual-coil, active fixation
Polarity	bipolar
Steroid	yes/no
U.S. Market Release	Oct 2004
CE Market Release	Jun 2004
Worldwide Distributed Devices	8 740
Registered U.S. Implants	2414
Estimated Active U.S. Implants	1210
U.S. Total Returned	42

	Quantity	Rate
U.S. Qualifying Complications	66	2.72%
Abnormal Defibrillation Impedance	1	0.04%
Abnormal Pacing Impedance	5	0.21%
Conductor Fracture	6	0.25%
Failure to Capture	3	0.12%
Failure to Sense	1	0.04%
Insulation Breach	3	0.12%
Lead Dislodgement	2	0.08%
Oversensing	42	1.73%
Other	3	0.12%

	Quantity	Rate
U.S. Confirmed Malfunctions	14	0.58%
Insulation Breach	14	0.58%
U.S. Acute Lead Observations	2	0.08%
Insulation Breach	1	0.04%
Oversensing	1	0.04%

• Total survival



Kentrox SL

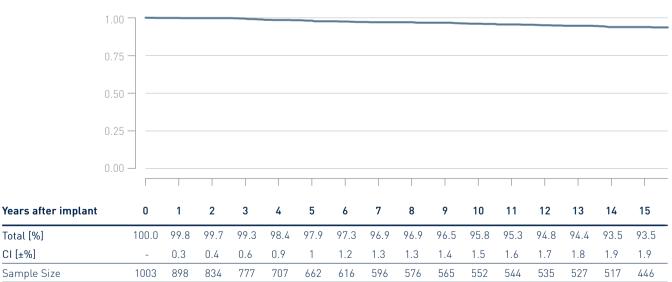
Product Versions	65, 75, 100, -Steroid
Lead Type	dual coil, passive fixation
Polarity	bipolar
Steroid	yes/no
U.S. Market Release	Oct 2004
CE Market Release	Dec 2003 / Dec 2004
Worldwide Distributed Devices	8440
Registered U.S. Implants	1 003
Estimated Active U.S. Implants	522
U.S. Total Returned	19

	Quantity	Rate
U.S. Qualifying Complications	38	3.76%
Abnormal Defibrillation Impedance	1	0.10%
Abnormal Pacing Impedance	4	0.40%
Conductor Fracture	3	0.30%
Failure to Capture	4	0.40%
Insulation Breach	6	0.59%
Oversensing	18	1.78%
Other	2	0.20%

	Quantity	Rate
U.S. Confirmed Malfunctions	5	0.49%
Insulation Breach	5	0.49%
U.S. Acute Lead Observations	0	0.00%

Total survival

Cumulative survival probability



Linox S

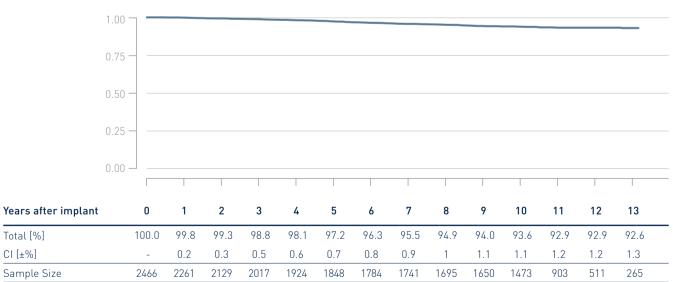
Product Versions	
Lead Type	
Polarity	
Steroid	yes
U.S. Market Release	Feb 2007
CE Market Release	Mar 2007
Worldwide Distributed Devices	32 700
Registered U.S. Implants	2466
Estimated Active U.S. Implants	1630
U.S. Total Returned	86

	Quantity	Rate
U.S. Qualifying Complications	85	3.41%
Abnormal Defibrillation Impedance	8	0.32%
Abnormal Pacing Impedance	6	0.24%
Conductor Fracture	8	0.32%
Failure to Capture	10	0.40%
Failure to Sense	1	0.04%
Insulation Breach	4	0.16%
Oversensing	42	1.68%
Other	6	0.24%

	Quantity	Rate
U.S. Confirmed Malfunctions Conductor Fracture Insulation Breach		1.89% 0.32% 1.56%
U.S. Acute Lead Observations Lead Dislodgement Other	2	0.08%

• Total survival

Cumulative survival probability



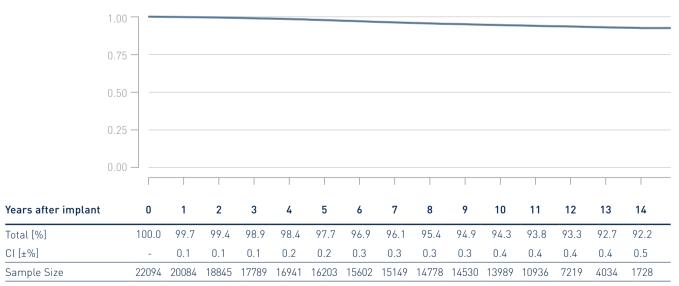
Linox SD

Product Versions	
Polarity	
Steroid	
U.S. Market Release	Apr 2006
CE Market Release	Aug 2006
Worldwide Distributed Devices	55 100
Registered U.S. Implants	22094
Estimated Active U.S. Implants	14 100
U.S. Total Returned	526

	Quantity	Rate
U.S. Qualifying Complications	897	4.03%
Abnormal Defibrillation Impedance	80	0.36%
Abnormal Pacing Impedance	64	0.29%
Cardiac Perforation	3	0.01%
Conductor Fracture	103	0.46%
Failure to Capture	76	0.34%
Failure to Sense	18	0.08%
Insulation Breach	61	0.27%
Lead Dislodgement	31	0.14%
Oversensing	414	1.86%
Other	47	0.21%

	Quantity	Rate
U.S. Confirmed Malfunctions	218	0.98%
Conductor Fracture	31	0.14%
Insulation Breach	185	0.83%
Other	2	0.01%
U.S. Acute Lead Observations	11	0.05%
Abnormal Pacing Impedance	1	0.00%
Cardiac Perforation	1	0.00%
Failure to Capture	1	0.00%
Lead Dislodgement	6	0.03%
Oversensing	1	0.00%
Other	1	0.00%

• Total survival



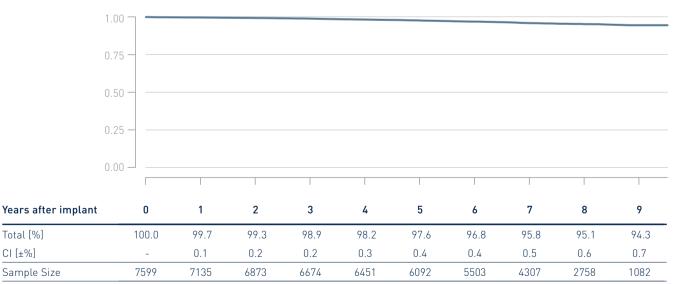
Linox^{smart} S

Product Versions Lead Type	
Polarity	5
Steroid	
U.S. Market Release	Aug 2011
CE Market Release	Dec 2010
Worldwide Distributed Devices	46 700
Registered U.S. Implants	7 599
Estimated Active U.S. Implants	6 110
U.S. Total Returned	195

	Quantity	Rate
U.S. Qualifying Complications	225	2.94%
Abnormal Defibrillation Impedance	15	0.20%
Abnormal Pacing Impedance	21	0.27%
Cardiac Perforation	1	0.01%
Conductor Fracture	26	0.34%
Failure to Capture	24	0.31%
Failure to Sense	11	0.14%
Insulation Breach	5	0.07%
Lead Dislodgement	14	0.18%
Oversensing	99	1.29%
Other	9	0.12%

	Quantity	Rate
U.S. Confirmed Malfunctions	76	0.99%
Conductor Fracture	12	0.16%
Insulation Breach	64	0.84%
U.S. Acute Lead Observations	10	0.13%
Abnormal Pacing Impedance	1	0.01%
Cardiac Perforation	1	0.01%
Lead Dislodgement	7	0.09%
Other	1	0.01%

• Total survival



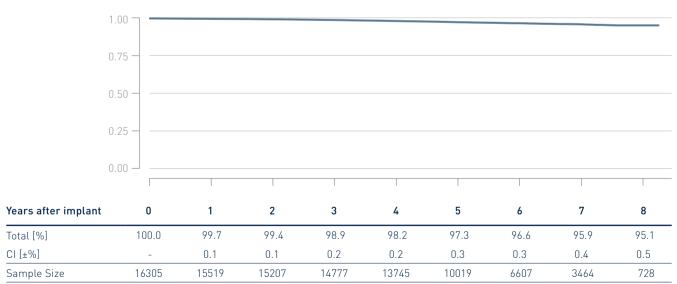
Linox^{smart} S DX

Product Versions Lead Type	
Polarity	5
Steroid	yes
U.S. Market Release	Feb 2013
CE Market Release	Mar 2010
Worldwide Distributed Devices	36300
Registered U.S. Implants	16305
Estimated Active U.S. Implants	14300
U.S. Total Returned	361

	Quantity	Rate
U.S. Qualifying Complications	384	2.34%
Abnormal Defibrillation Impedance	43	0.26%
Abnormal Pacing Impedance	27	0.16%
Conductor Fracture	42	0.26%
Failure to Capture	34	0.21%
Failure to Sense	16	0.10%
Insulation Breach	6	0.04%
Lead Dislodgement	47	0.29%
Oversensing	161	0.98%
Other		0.05%

	Quantity	Rate
U.S. Confirmed Malfunctions	108	0.66%
Conductor Fracture	9	0.05%
Insulation Breach	99	0.60%
U.S. Acute Lead Observations	39	0.24%
Cardiac Perforation	4	0.02%
Failure to Capture	9	0.05%
Lead Dislodgement	16	0.10%
Oversensing	3	0.02%
Other	7	0.04%

• Total survival



Linox^{smart} SD

Sample Size

Product Versions Lead Type	
Polarity	,
Steroid	
U.S. Market Release	Jan 2011
CE Market Release	Oct 2009
Worldwide Distributed Devices	55 700
Registered U.S. Implants	13 130
Estimated Active U.S. Implants	10 500
U.S. Total Returned	262

	Quantity	Rate
U.S. Qualifying Complications	364	2.75%
Abnormal Defibrillation Impedance	36	0.27%
Abnormal Pacing Impedance	24	0.18%
Cardiac Perforation	1	0.01%
Conductor Fracture	46	0.35%
Extracardiac Stimulation	1	0.01%
Failure to Capture	30	0.23%
Failure to Sense	11	0.08%
Insulation Breach	9	0.07%
Lead Dislodgement	25	0.19%
Oversensing	173	1.31%
Other	8	0.06%

13130

12177

11792

11429

Quantity	Rate
78	0.59%
10	0.08%
66	0.50%
2	0.02%
29	0.22%
1	0.01%
2	0.02%
4	0.03%
1	0.01%
12	0.09%
2	0.02%
7	0.05%
	,

• Total survival Cumulative survival probability 1.00 -0.75 0.25 Τ Years after implant 3 5 7 9 0 1 2 4 6 8 10 Total [%] 100.0 99.7 99.5 99.1 98.5 98.0 97.5 96.9 96.3 95.6 95.2 CI [±%] -0.1 0.1 0.2 0.2 0.3 0.3 0.3 0.4 0.4 0.5

11082

10628

10061

8997

7169

4231

925

Linox^{smart} TD

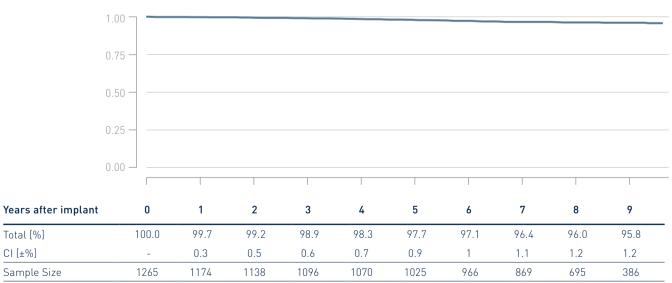
Product Versions	65/16, 65/18, 75/18
Lead Type	dual-coil, passive fixation
Polarity	bipolar
Steroid	yes
U.S. Market Release	Jan 2011
CE Market Release	Oct 2009
Worldwide Distributed Devices	7720
Registered U.S. Implants	1 265
Estimated Active U.S. Implants	994
U.S. Total Returned	22

	Quantity	Rate
U.S. Qualifying Complications	44	3.45%
Abnormal Defibrillation Impedance	7	0.55%
Abnormal Pacing Impedance	5	0.39%
Conductor Fracture	3	0.24%
Failure to Capture	9	0.71%
Insulation Breach	2	0.16%
Lead Dislodgement	4	0.31%
Oversensing	13	1.02%
Other	1	0.08%

	Quantity	Rate
U.S. Confirmed Malfunctions Insulation Breach	1 1	0.08% 0.08%
U.S. Acute Lead Observations Lead Dislodgement	3	0.24% 0.24%

• Total survival

Cumulative survival probability



Linox T

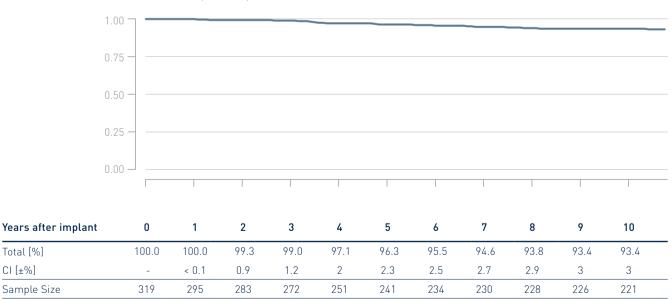
Product Versions	65, 75
Lead Type	single-coil, passive fixation
Polarity	bipolar
Steroid	yes
U.S. Market Release	Feb 2007
CE Market Release	Mar 2007
Worldwide Distributed Devices	2 260
Registered U.S. Implants	319
Estimated Active U.S. Implants	217
U.S. Total Returned	4

	Quantity	Rate
U.S. Qualifying Complications	19	5.90%
Abnormal Pacing Impedance	3	0.93%
Conductor Fracture	1	0.31%
Failure to Capture	4	1.24%
Insulation Breach	1	0.31%
Oversensing		2.80%
Other	1	0.31%

	Quantity	Rate
U.S. Confirmed Malfunctions Conductor Fracture Insulation Breach	1	0.93% 0.31% 0.62%
U.S. Acute Lead Observations	1 1	0.31% 0.31%

• Total survival

Cumulative survival probability



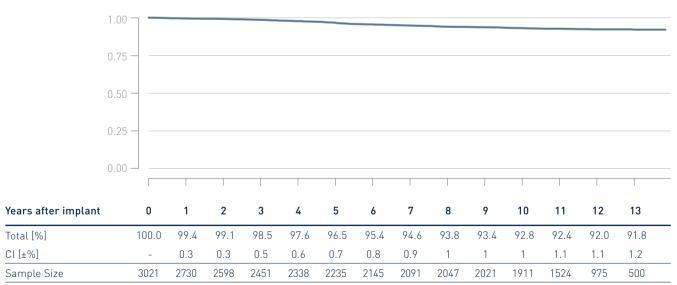
Linox TD

Product Versions Lead Type	65/16, 75/16, 100/16, 100/18 dual-coil, passive fixation
Polarity	bipolar
Steroid	yes
U.S. Market Release	Oct 2006
CE Market Release	Oct 2006
Worldwide Distributed Devices	14600
Registered U.S. Implants	3021
Estimated Active U.S. Implants	1 980
U.S. Total Returned	81

	Quantity	Rate
U.S. Qualifying Complications	146	4.79%
Abnormal Defibrillation Impedance	15	0.49%
Abnormal Pacing Impedance	14	0.46%
Cardiac Perforation	1	0.03%
Conductor Fracture	18	0.59%
Failure to Capture	24	0.79%
Failure to Sense	4	0.13%
Insulation Breach	13	0.43%
Lead Dislodgement	4	0.13%
Oversensing	50	1.64%
Other	3	0.10%

	Quantity	Rate
U.S. Confirmed Malfunctions Conductor Fracture Insulation Breach	38 6 32	1.25% 0.20% 1.05%
U.S. Acute Lead Observations Failure to Capture Lead Dislodgement	3 1 2	0.10% 0.03% 0.07%

• Total survival



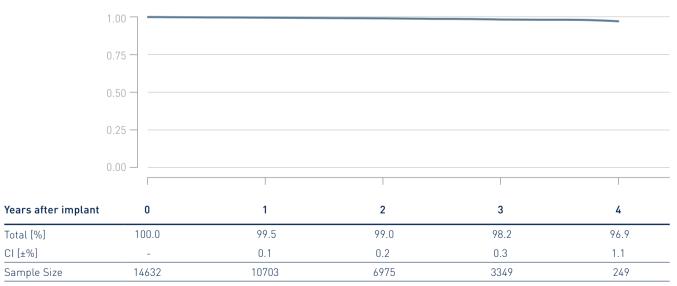
Plexa S

Product Versions	
Polarity	
Steroid	
U.S. Market Release	Mar 2017
CE Market Release	Feb 2017
Worldwide Distributed Devices	95 600
Registered U.S. Implants	14 632
Estimated Active U.S. Implants	13800
U.S. Total Returned	127

	Quantity	Rate
U.S. Qualifying Complications	129	0.88%
Abnormal Defibrillation Impedance	13	0.09%
Abnormal Pacing Impedance	2	0.01%
Cardiac Perforation	1	0.01%
Conductor Fracture	7	0.05%
Failure to Capture	16	0.11%
Failure to Sense	7	0.05%
Insulation Breach	1	0.01%
Lead Dislodgement	22	0.15%
Oversensing	53	0.36%
Other	7	0.05%

	Quantity	Rate
U.S. Confirmed Malfunctions	24	0.16%
Conductor Fracture	5	0.03%
Insulation Breach	18	0.12%
U.S. Acute Lead Observations	32	0.22%
Abnormal Pacing Impedance	2	0.01%
Cardiac Perforation	5	0.03%
Failure to Capture	8	0.05%
Lead Dislodgement	16	0.11%
Oversensing	1	0.01%

• Total survival



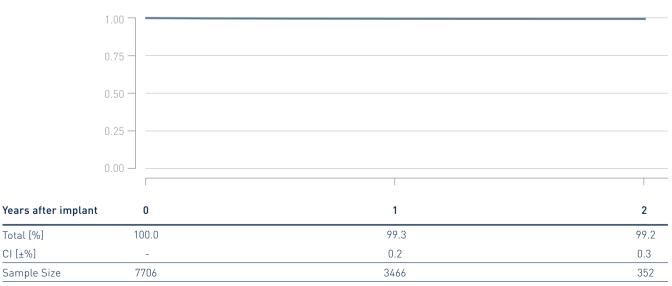
Plexa S DX

Product Versions	
Lead Type Polarity	5
Steroid	
U.S. Market Release	·
CE Market Release	Dec 2018
Worldwide Distributed Devices	18 700
Registered U.S. Implants	7 706
Estimated Active U.S. Implants	7410
U.S. Total Returned	56

	Quantity	Rate
U.S. Qualifying Complications	37	0.48%
Abnormal Defibrillation Impedance	2	0.03%
Abnormal Pacing Impedance	1	0.01%
Cardiac Perforation	1	0.01%
Failure to Capture	6	0.08%
Failure to Sense	2	0.03%
Lead Dislodgement	15	0.19%
Oversensing	10	0.13%

	Quantity	Rate
U.S. Confirmed Malfunctions	5	0.06%
Conductor Fracture	1	0.01%
Insulation Breach	4	0.05%
U.S. Acute Lead Observations	26	0.34%
Failure to Capture		0.10%
Failure to Sense	4	0.05%
Lead Dislodgement	10	0.13%
Oversensing	3	0.04%
Other	1	0.01%

• Total survival



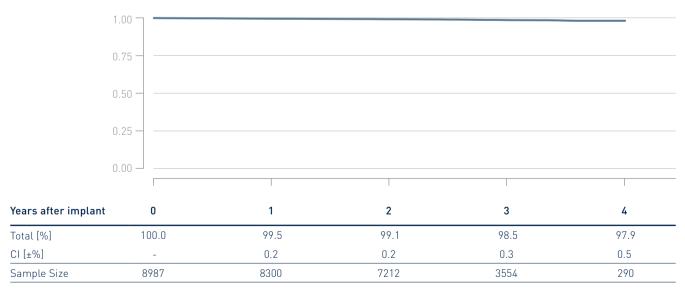
Plexa S DX DF1

Product Versions Lead Type	
Polarity	bipolar
Steroid	yes
U.S. Market Release	Mar 2017
CE Market Release	Feb 2017
Worldwide Distributed Devices	20 600
Registered U.S. Implants	8 9 8 7
Estimated Active U.S. Implants	8390
U.S. Total Returned	113

	Quantity	Rate
U.S. Qualifying Complications	88	0.97%
Abnormal Defibrillation Impedance	13	0.14%
Abnormal Pacing Impedance	1	0.01%
Conductor Fracture	1	0.01%
Failure to Capture	7	0.08%
Failure to Sense	7	0.08%
Insulation Breach	2	0.02%
Lead Dislodgement	18	0.20%
Oversensing	39	0.43%

	Quantity	Rate
U.S. Confirmed Malfunctions	28	0.31%
Conductor Fracture	2	0.02%
Insulation Breach	26	0.29%
U.S. Acute Lead Observations	20	0.22%
Cardiac Perforation	2	0.02%
Failure to Capture	2	0.02%
Failure to Sense	1	0.01%
Lead Dislodgement	12	0.13%
Oversensing	1	0.01%
Other	2	0.02%

• Total survival



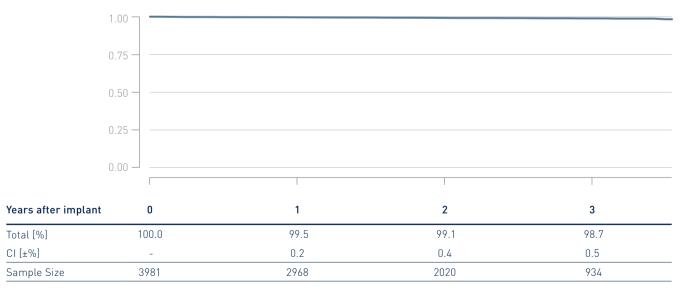
Plexa SD

Product Versions	65/16, 65/18, 75/18
Lead Type	dual-coil, active fixation
Polarity	bipolar
Steroid	yes
U.S. Market Release	Mar 2017
CE Market Release	Feb 2017
Worldwide Distributed Devices	12100
Registered U.S. Implants	3 981
Estimated Active U.S. Implants	3 740
U.S. Total Returned	19

	Quantity	Rate
U.S. Qualifying Complications	35	0.87%
Abnormal Defibrillation Impedance	4	0.10%
Abnormal Pacing Impedance	1	0.02%
Conductor Fracture	1	0.02%
Extracardiac Stimulation	1	0.02%
Failure to Capture	2	0.05%
Failure to Sense	2	0.05%
Insulation Breach	2	0.05%
Lead Dislodgement	7	0.17%
Oversensing	13	0.32%
Other	2	0.05%

	Quantity	Rate
U.S. Confirmed Malfunctions	2	0.05%
Conductor Fracture	1	0.02%
Insulation Breach	1	0.02%
U.S. Acute Lead Observations	11	0.27%
Abnormal Defibrillation Impedance	2	0.05%
Abnormal Pacing Impedance	1	0.02%
Cardiac Perforation	2	0.05%
Failure to Capture	2	0.05%
Lead Dislodgement	1	0.02%
Oversensing	2	0.05%
Other	1	0.02%

• Total survival



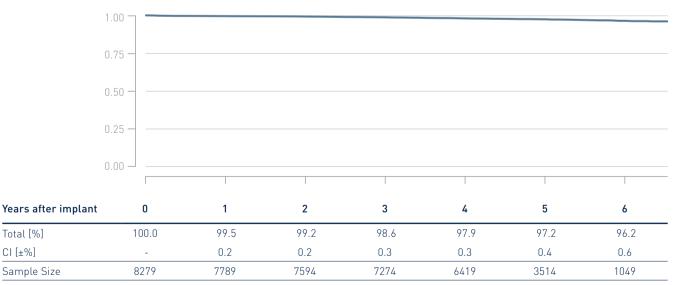
Protego S

Product Versions	60, 65, 75
Lead Type	single-coil, active fixation
Polarity	bipolar
Steroid	yes
U.S. Market Release	Jul 2014
CE Market Release	Feb 2014
Worldwide Distributed Devices	54 900
Registered U.S. Implants	8 2 7 9
Estimated Active U.S. Implants	7170
U.S. Total Returned	123

	Quantity	Rate
U.S. Qualifying Complications	167	2.00%
Abnormal Defibrillation Impedance	8	0.10%
Abnormal Pacing Impedance	7	0.08%
Cardiac Perforation	1	0.01%
Conductor Fracture	14	0.17%
Extracardiac Stimulation	2	0.02%
Failure to Capture	20	0.24%
Failure to Sense	4	0.05%
Insulation Breach	3	0.04%
Lead Dislodgement	24	0.29%
Oversensing	79	0.95%
Other	5	0.06%

	Quantity	Rate
U.S. Confirmed Malfunctions	50	0.60%
Conductor Fracture	8	0.10%
Insulation Breach	41	0.49%
Other	1	0.01%
U.S. Acute Lead Observations	28	0.34%
Cardiac Perforation	2	0.02%
Extracardiac Stimulation	1	0.01%
Failure to Capture	3	0.04%
Lead Dislodgement	13	0.16%
Other	9	0.11%

• Total survival



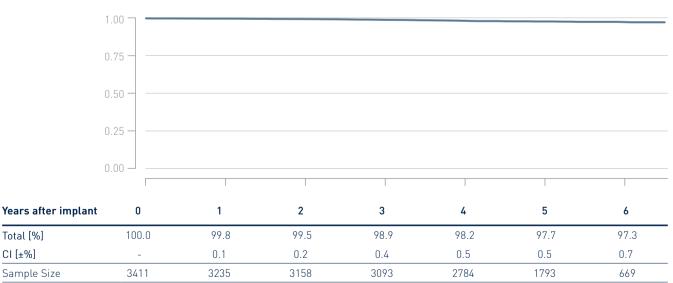
Protego SD

Product Versions Lead Type	60/16, 65/16, 65/18, 75/18 dual-coil, active fixation
Polarity	,
Steroid	yes
U.S. Market Release	Jul 2014
CE Market Release	May 2013
Worldwide Distributed Devices	18400
Registered U.S. Implants	3411
Estimated Active U.S. Implants	3010
U.S. Total Returned	41

	Quantity	Rate
U.S. Qualifying Complications	61	1.77%
Abnormal Defibrillation Impedance	6	0.17%
Abnormal Pacing Impedance	2	0.06%
Conductor Fracture	7	0.20%
Failure to Capture	8	0.23%
Failure to Sense	2	0.06%
Insulation Breach	1	0.03%
Lead Dislodgement	4	0.12%
Oversensing	29	0.84%
Other	2	0.06%

	Quantity	Rate
U.S. Confirmed Malfunctions	12	0.35%
Conductor Fracture	1	0.03%
Insulation Breach	11	0.32%
U.S. Acute Lead Observations	3	0.09%
Lead Dislodgement	2	0.06%
Other	1	0.03%

• Total survival



Protego TD

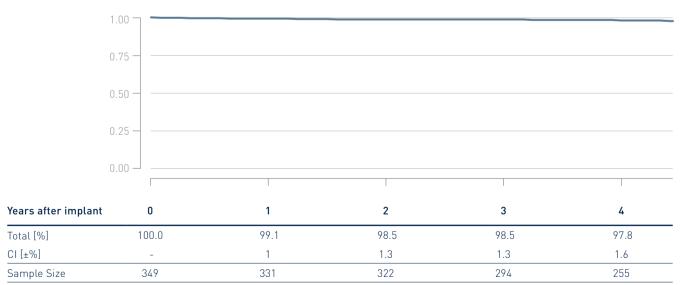
Product Versions Lead Type	65/16, 65/18, 75/18 dual-coil, passvie fixation
Polarity	bipolar
Steroid	
U.S. Market Release	Jul 2014
CE Market Release	Jan 2014
Worldwide Distributed Devices	1450
Registered U.S. Implants	349
Estimated Active U.S. Implants	306
U.S. Total Returned	4

	Quantity	Rate
U.S. Qualifying Complications	9	2.56%
Conductor Fracture	4	1.14%
Failure to Capture	2	0.57%
Failure to Sense	1	0.28%
Insulation Breach	1	0.28%
Other	1	0.28%

	Quantity	Rate
U.S. Confirmed Malfunctions	0	0.00%
U.S. Acute Lead Observations	0	0.00%

• Total survival

Cumulative survival probability



Vigila 2CR

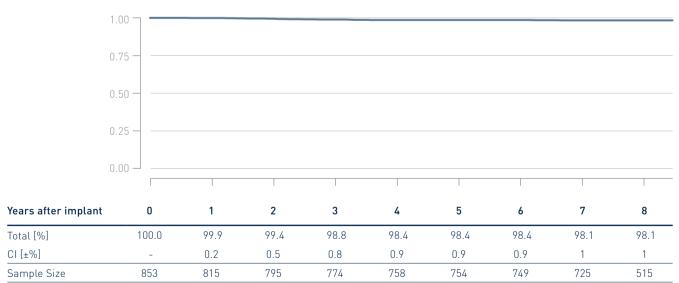
Product Versions	60/16, 65/18 dual-coil, active fixation
Lead Type Polarity	
Steroid	
U.S. Market Release	
CE Market Release	Oct 2011
Worldwide Distributed Devices	2 730
Registered U.S. Implants	853
Estimated Active U.S. Implants	739
U.S. Total Returned	12

	Quantity	Rate
U.S. Qualifying Complications	11	1.28%
Abnormal Pacing Impedance	1	0.12%
Conductor Fracture	1	0.12%
Lead Dislodgement	3	0.35%
Oversensing	6	0.70%

	Quantity	Rate
U.S. Confirmed Malfunctions	4 4	0.47% 0.47%
U.S. Acute Lead Observations	0	0.00%

• Total survival

Cumulative survival probability



Performance of BIOTRONIK Leads Based on Returned Products and Complaint Data

6.1 Pacing Leads6.2 ICD Leads6.3 CRT Leads



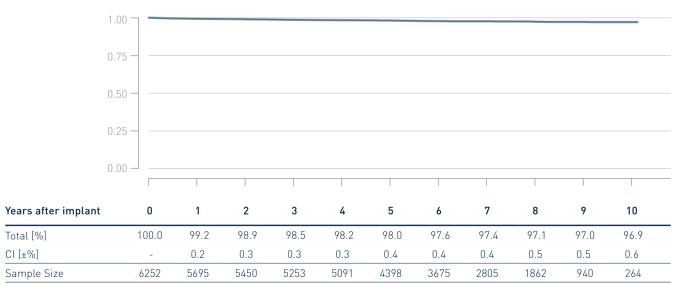
Corox OTW-L BP

Product Versions	_ 75, 85
Lead Type	_ dual-curve fixation
Polarity	_ bipolar
Steroid	_ yes
U.S. Market Release	_ Jan 2011
CE Market Release	Dec 2009
Worldwide Distributed Devices	_ 32000
Registered U.S. Implants	6 252
Estimated Active U.S. Implants	_ 4930
U.S. Total Returned	80

	Quantity	Rate
U.S. Qualifying Complications	141	2.24%
Abnormal Pacing Impedance	4	0.06%
Conductor Fracture	5	0.08%
Extracardiac Stimulation	22	0.35%
Failure to Capture	58	0.92%
Failure to Sense	2	0.03%
Insulation Breach	2	0.03%
Lead Dislodgement	38	0.60%
Oversensing	2	0.05%
Other	7	0.11%

	Quantity	Rate
U.S. Confirmed Malfunctions	4	0.06%
Conductor Fracture	3	0.05%
Insulation Breach	1	0.02%
U.S. Acute Lead Observations	21	0.33%
Extracardiac Stimulation	6	0.10%
Failure to Capture	2	0.03%
Lead Dislodgement	10	0.16%
Other	3	0.05%

• Total survival



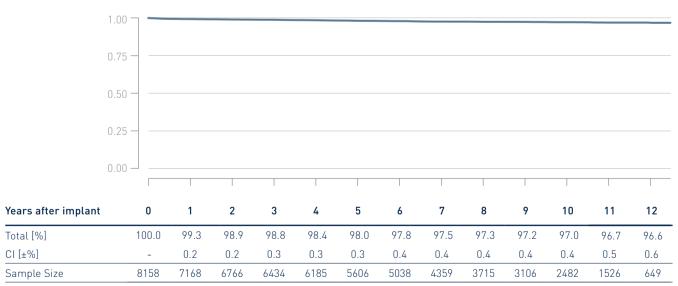
Corox OTW-S BP

Product Versions	75, 85
Lead Type	_ thread fixation
Polarity	_ bipolar
Steroid	yes
U.S. Market Release	May 2008
CE Market Release	Dec 2006
Worldwide Distributed Devices	26400
Registered U.S. Implants	8 1 5 8
Estimated Active U.S. Implants	5680
U.S. Total Returned	131

	Quantity	Rate
U.S. Qualifying Complications	174	2.12%
Abnormal Pacing Impedance	10	0.12%
Conductor Fracture	5	0.06%
Extracardiac Stimulation	15	0.18%
Failure to Capture	53	0.64%
Failure to Sense	1	0.01%
Insulation Breach	4	0.05%
Lead Dislodgement	60	0.73%
Oversensing	6	0.07%
Other	20	0.24%

	Quantity	Rate
U.S. Confirmed Malfunctions	13	0.16%
Conductor Fracture	8	0.10%
Insulation Breach	4	0.05%
Other	1	0.01%
U.S. Acute Lead Observations	33	0.40%
Cardiac Perforation	1	0.01%
Extracardiac Stimulation	5	0.06%
Failure to Capture	6	0.07%
Lead Dislodgement	20	0.24%
Other	1	0.01%

• Total survival



Corox OTW BP

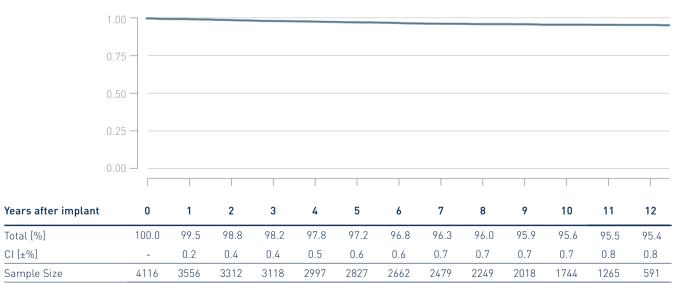
Product Versions	_ 75, 85
Lead Type	helix fixation
Polarity	_ bipolar
Steroid	_yes
U.S. Market Release	_ May 2008
CE Market Release	_ Dec 2006
Worldwide Distributed Devices	_ 28 700
Registered U.S. Implants	_ 4116
Estimated Active U.S. Implants	2660
U.S. Total Returned	_ 79

	Quantity	Rate
U.S. Qualifying Complications	120	2.90%
Abnormal Pacing Impedance	7	0.17%
Conductor Fracture	3	0.07%
Extracardiac Stimulation	8	0.19%
Failure to Capture	44	1.06%
Insulation Breach	3	0.07%
Lead Dislodgement	38	0.92%
Oversensing	5	0.12%
Other	12	0.29%

	Quantity	Rate
U.S. Confirmed Malfunctions Conductor Fracture Insulation Breach		0.39% 0.36% 0.02%
U.S. Acute Lead Observations Lead Dislodgement Other	9 7 2	0.22% 0.17% 0.05%

• Total survival

Cumulative survival probability



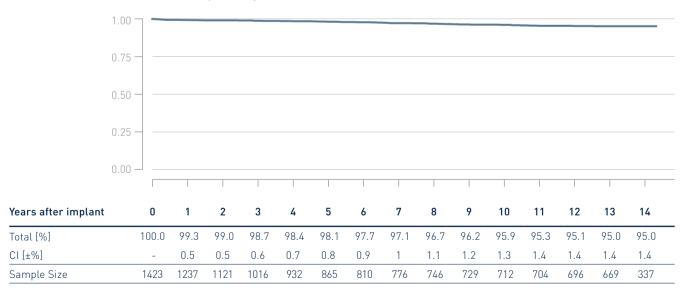
Corox OTW UP

Product Versions	_ 75, 85
Lead Type	_ helix fixation
Polarity	_ unipolar
Steroid	_yes
U.S. Market Release	_ Aug 2006
CE Market Release	_ Apr 2004
Worldwide Distributed Devices	_ 10 400
Registered U.S. Implants	_ 1 4 2 3
Estimated Active U.S. Implants	_ 696
U.S. Total Returned	_ 26

	Quantity	Rate
U.S. Qualifying Complications	44	3.09%
Abnormal Pacing Impedance	1	0.07%
Conductor Fracture	2	0.14%
Extracardiac Stimulation	7	0.49%
Failure to Capture	16	1.12%
Insulation Breach	2	0.14%
Lead Dislodgement		0.70%
Oversensing	1	0.07%
Other	5	0.35%

	Quantity	Rate
U.S. Confirmed Malfunctions	2	0.14%
Insulation Breach	2	0.14%
U.S. Acute Lead Observations	4	0.28%
Failure to Capture	3	0.21%
Lead Dislodgement	1	0.07%

• Total survival

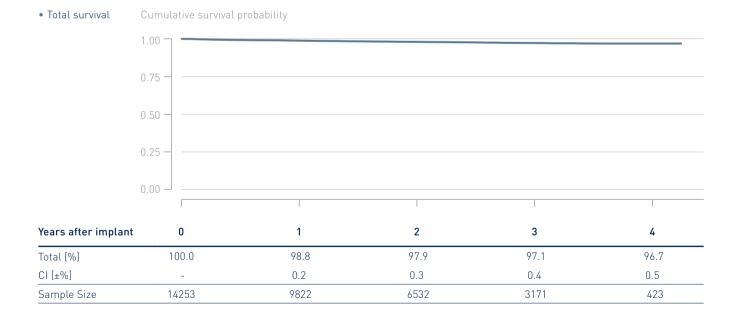


Sentus OTW QP L

Product Versions	_ 75, 75/49, 85, 85/49
Lead Type	_dual-curve fixation
Polarity	_ quadripolar
Steroid	_ yes
U.S. Market Release	_ May 2017
CE Market Release	_ Dec 2014
Worldwide Distributed Devices	_ 95800
Registered U.S. Implants	_ 14 253
Estimated Active U.S. Implants	
U.S. Total Returned	_ 154

	Quantity	Rate
U.S. Qualifying Complications	235	1.63%
Abnormal Pacing Impedance	29	0.20%
Conductor Fracture	5	0.03%
Extracardiac Stimulation	14	0.10%
Failure to Capture	53	0.37%
Failure to Sense	2	0.01%
Lead Dislodgement		0.64%
Oversensing	29	0.20%
Other	11	0.08%

	Quantity	Rate
U.S. Confirmed Malfunctions	33	0.23%
Conductor Fracture	32	0.22%
Other	1	0.01%
U.S. Acute Lead Observations	45	0.31%
Abnormal Pacing Impedance	1	0.01%
Conductor Fracture	1	0.01%
Extracardiac Stimulation	7	0.05%
Failure to Capture	8	0.06%
Lead Dislodgement	25	0.17%
Oversensing	2	0.01%
Other	1	0.01%



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Sentus OTW QP S

Product Versions	_ 75, 75/49, 85, 85/49
Lead Type	_ thread fixation
Polarity	_ quadripolar
Steroid	_ yes
U.S. Market Release	_ May 2017
CE Market Release	_ Dec 2014
Worldwide Distributed Devices	_ 21000
Registered U.S. Implants	_ 3957
Estimated Active U.S. Implants	_ 3 150
U.S. Total Returned	_ 101

	Quantity	Rate
U.S. Qualifying Complications	94	2.33%
Abnormal Pacing Impedance	6	0.15%
Conductor Fracture	1	0.02%
Extracardiac Stimulation	6	0.15%
Failure to Capture	19	0.47%
Lead Dislodgement	52	1.29%
Oversensing		0.22%
Other	1	0.02%

	Quantity	Rate
U.S. Confirmed Malfunctions	8	0.20%
Conductor Fracture	8	0.20%
U.S. Acute Lead Observations	73	1.81%
Abnormal Pacing Impedance	1	0.02%
Extracardiac Stimulation	4	0.10%
Failure to Capture	9	0.22%
Failure to Sense	1	0.02%
Lead Dislodgement	54	1.34%
Oversensing	3	0.07%
Other	1	0.02%

• Total survival Cumulative survival probability 1.00 -0.75 -0.25 -0.00 Years after implant 1 2 3 0 Total [%] 100.0 97.8 97.0 96.5 CI [±%] _ 0.5 0.6 0.7 3957 2682 1983 1116 Sample Size

Methodology for Lead Survival Estimates Based on Clinical Studies

- 7.1 Introduction
- 7.2 BIOTRONIK's Clinical Studies
- 7.3 Lead Complications
- 7.4 Lead Product Performance Graphs and Data

7. Methodology for Lead Survival Estimates Based on Clinical Studies

7.1 Introduction

All leads and lead segments returned to BIOTRONIK are thoroughly analyzed to determine whether or not they meet BIOTRONIK's long term quality standards.

Although analysis of returned product is an excellent method for gaining insight into lead failure mechanisms, this data relies on the return of explanted leads. For the majority of complications the lead is not received for analysis as challenging clinical environments may not allow for the return, e.g. the extraction of an implanted lead may not be possible.

BIOTRONIK includes all reported chronic complications in the calculation of the survival estimates as described in chapter 6, i.e. reports with returned and without returned products.

However, BIOTRONIK can only report events in the survival estimates if those events were reported to BIOTRONIK. In order to eliminate possible biased survival estimates due to underreporting, BIOTRONIK performs clinical surveillance studies with active follow-up's under FDA guidance yielding the most reliable lead performance data.

In the following chapter BIOTRONIK shows – in addition to the survival data based on returned product analysis and chronic complication information – the lead performance data from clinical trials. These studies are designed to record clinical observations representative of the total clinical experience.

7.2 BIOTRONIK's Clinical Studies

7.2.1 GALAXY and CELESTIAL

BIOTRONIK'S GALAXY and CELESTIAL Registries are prospective, nonrandomized, observational studies. The key purpose of these registries is to confirm the long-term safety and reliability of BIOTRONIK leads as used in conjunction with a BIOTRONIK ICD (GALAXY) or CRT (CELESTIAL) system. All devices in the registries are legally marketed and available to physicians according to approved FDA indications for use. GALAXY and CELESTIAL Registries are registered on clinicaltrials.gov under NCT00836589 and NCT00810264 respectively.

The evaluation of safety for GALAXY is based on the analysis of BIOTRONIK Linox ICD lead-related adverse events. The evaluation of safety for CELESTIAL is based on the analysis of BIOTRONIK Corox LV pacing lead-related adverse events. However, many CELESTIAL patients also have a Linox ICD lead implanted and the Linox clinical studies data in this report represents combined data from the GALAXY and CELESTIAL registries. Both registries are designed to continue for a 5 year follow-up duration per patient. The GALAXY Registry was completed in December 2016, while CELESTIAL completed in November 2018. The lead-related complication free survival probabilities provided for Corox LV and Linox ICD leads within chapter 8 utilize all data collected through registry closure. To ensure a sufficiently large and representative source of data, participating clinical sites must meet pre-specified selection criteria.

Every effort is made to ensure participants are representative of the

range of clinical environments in which BIOTRONIK's cardiac rhythm products are used. Patients will be seen for routine follow-up visits per their institutional standard of care until they can no longer be followed (e.g., death, lost to follow-up, etc.). However, to ensure regular patient status assessments are completed, follow-up windows consistent with typical care practices have been established with a minimum of once every six months follow-up requirement.

During each follow up at a study center the following steps are required during the follow-up visit:

- Interrogate programmed parameters
- Determine lead electrical parameters
- Evaluate device diagnostics and programmed parameters to ensure the device is providing appropriate therapy
- Determine if there are any reportable lead-related, pulse generator-related or implant procedure-related adverse events. If there are, complete an adverse event electronic case report form (eCRF)
- Complete all appropriate eCRFs

This active surveillance model ensures a robust dataset for effectively monitoring product performance.

Patient Enrollment Criteria

To support the objectives of these registries, participants are required to meet the following inclusion criteria prior to enrollment:

- Successfully implanted BIOTRONIK ICD (GALAXY) or BIOTRONIK CRT (CELESTIAL) system, including the study lead
- Able to understand the nature of the registry and give informed consent
- Available for follow-up visits on a regular basis at the study site
- Age greater than or equal to 18 years

Each site must inform BIOTRONIK whenever a lead complication has occurred or when a patient is no longer participating.

All leads that experience a complication and are subsequently explanted and returned to BIOTRONIK undergo root-cause analyses. Product performance is analyzed as a function of time using the survival analysis method. Root causes for any failures, regardless of the incidence rates, are investigated.

7.2.2 SIELLO Clinical Study

BIOTRONIK's SIELLO Clinical Study is a prospective, non-randomized, combined Pre-Market Study and Post-Approval Registry designed to demonstrate the safety and effectiveness of the Siello pacing lead as used in conjunction with any market-released BIOTRONIK pacemaker device. The SIELLO Clinical Study is registered on clinicaltrials.gov under NCT01791127.

For the Pre-Market Study, the evaluation of safety is based on the analysis of Siello lead-related adverse events through a follow-up time of 12 months post-implant, while the evaluation of effectiveness is based on analysis of the success rate of the implanted system including one or two Siello leads to sense and deliver pacing at 12 months post-implant.

For the Post-Approval Registry, the evaluation of long-term safety is based on the analysis of Siello lead-related adverse events through a follow-up time of 5 years post-implant. In April 2019, the Siello Post-Approval Registry was converted to utilize real-world data sources as part of the EP PASSION Project (as described in Section 9). The lead-related complication free survival probabilities provided for the Siello lead in Section 8 includes all data collected through study transition. To ensure a sufficiently large and representative source of data, participating clinical sites must meet pre-specified selection criteria.

Every effort is made to ensure participants are representative of the range of clinical environments in which BIOTRONIK's cardiac rhythm products are used. Patients will be seen for routine follow-up visits until they can no longer be followed (e.g., death, lost to follow-up, etc.). However, to ensure regular patient status assessments are completed, a study follow-up schedule consistent with typical care practices has been established, with required follow-ups at 3 and 6 months post-implant and every 6 months thereafter.

During each study follow-up visit the following steps are required:

- Interrogate programmed parameters
- Record electrical parameters of the implanted leads. Perform all pacing threshold measurements at 0.4 ms or 0.5 ms pulse width when feasible
- Evaluate device diagnostics, electrical parameters and programmed parameters to ensure the device is correctly pacing and sensing
- Determine if there are any leadrelated, pulse generator-related or procedure related adverse events. If any are recorded, complete the Adverse Event eCRF
- Complete all appropriate eCRFs

This active surveillance model ensures a robust dataset for effectively monitoring product performance.

Patient Enrollment Criteria

To support the objectives of the study, participants are required to meet the following inclusion criteria prior to enrollment:

• Candidate for de novo implantation of a market-released BIOTRONIK pacemaker system, including one or two Siello leads. Candidate meets recommendation for pacemaker system implant put forth by guidelines of relevant professional societies

- Able to understand the nature of the study and provide informed consent
- Available for follow-up visits on a regular basis at the investigational site for the expected 5 years of follow-up
- Age greater than or equal to 18 years

Each site must inform BIOTRONIK whenever a lead complication has occurred or when a patient is no longer participating.

All leads that experience a complication and are subsequently explanted and returned to BIOTRONIK undergo root-cause analyses. Product performance is analyzed as a function of time using the survival analysis method. Root causes for any failures, regardless of the incidence rates, are investigated.

7.2.3 QP ExCELs

BIOTRONIK'S QP ExCELS Clinical Study is a combined Pre-Market and Post-Approval, non-randomized, multi-center registry designed to confirm the safety and efficacy of BIOTRONIK'S Sentus QP leads in a clinical investigation to support regulatory approval as well as a longterm post-approval evaluation of the devices in the United States. The QP ExCELS Clinical Study is registered on clinicaltrials.gov under NCT02290028.

For the Post-Approval Study, the evaluation of safety will be based on the analysis of Sentus QP lead-related adverse events through a follow-up time of 5 years post-implant. In January 2020, the QP ExCELs Clinical Study was converted to utilize realworld data sources as part of the EP PASSION Project (as described in Section 9). The lead-related complication free survival probabilities provided for the Sentus QP lead in Section 8 includes all data collected through study transition. To ensure a sufficiently large and representative source of data, participating clinical sites must meet pre-specified selection criteria.

Every effort is made to ensure participants are representative of the range of clinical environments in which BIOTRONIK's cardiac rhythm products are used. Patients will be seen for routine follow-up visits per their institutional standard of care until they can no longer be followed (e.g., death, lost to follow-up, etc.). However, to ensure regular patient status assessments are completed, a study follow-up schedule consistent with typical care practices has been established, which required follow-ups at discharge/wound check, 3 and 6 months post-implant, and every 6 months thereafter

Patient Enrollment Criteria

To support the objectives of the study, participants are required to meet the following inclusion criteria prior to enrollment:

- Standard CRT-D indication according to clinical routine
- De novo implantation or upgrade from existing ICD or pacemaker implant (with no prior attempt at LV lead placement) utilizing a BIOTRONIK CRT-D system with IS4 LV port and Sentus QP LV lead
- Patient is able and willing to complete all routine study visits at the investigational site through 5 years of follow-up
- Patient is able to understand the nature of the clinical investigation and provide written informed consent
- Patient accepts Home Monitoring concept
- Age greater than or equal to 18 years

Each site must inform BIOTRONIK whenever a lead complication has occurred or when a patient is no longer participating.

All leads that experience a complication and are subsequently explanted and returned to BIOTRONIK undergo root-cause analyses. Product performance is analyzed as a function of time using the survival analysis method. Root causes for any failures, regardless of the incidence rates, are investigated.

7.3 Lead Complications

The data presented characterizes chronic lead performance by estimating lead-related complication free survival probabilities. Following industry practice, for analysis purposes, the complication criteria, which align with the AdvaMed "Industry Guidance for Uniform Reporting of Clinical Performance of Cardiac Rhythm Management Pulse Generators and Leads", are defined below.

7.3.1 GALAXY and CELESTIAL

All reported lead-related adverse events within the GALAXY and CELESTIAL Registries are classified by the reporting investigator and are adjudicated by an independent event adjudication committee. A lead related complication is considered to have occurred if a clinical observation happens after successful implantation, is classified with at least one of the following event classifications and at least one of the following clinical actions is made. Any Clinical Event without a related Clinical Action is not considered a Qualifying Complication. Events with an onset date 30 days or less after the implant are acute observations and are listed separately.

Event Classifications

- Failure to capture
- Failure to sense/undersensing
- Oversensing
- Abnormal pacing impedance (based on lead model, but normal range is typically 200- 2,000 ohms)
- Abnormal defibrillation impedance (based on lead model, but normal range is 25 – 150 ohms)
- Insulation breach
- Conductor fracture, confirmed electrically, visually or radiographically

- Extracardiac stimulation
- Cardiac perforation
- Lead dislodgement

Clinical Actions

- Lead surgically abandoned/capped
- Lead electrically abandoned
- Lead explanted
- Lead replaced
- Lead conductor taken out of service
- Lead use continued based on medical judgment despite a known clinical performance issue
- Other lead-related surgery

7.3.2 SIELLO

All reported lead-related adverse events within the SIELLO Clinical Study are classified by the reporting investigator and are adjudicated by an independent event adjudication committee. A lead related complication is considered to have occurred if a clinical observation happens after successful implantation and is classified with at least one of the following event classifications. Events with an onset date 30 days or less after the implant are acute observations and are listed separately.

Event Classifications

- Failure to capture
- Failure to sense/undersensing
- Oversensing

• Abnormal pacing impedance (based on lead model, but normal range is typically 200 - 2,000 Ohm)

- Insulation breach
- Conductor fracture, confirmed electrically, visually or radiographically
- Extracardiac stimulation
- Cardiac perforation
- Lead dislodgement

7.3.3 QP ExCELs

All reported lead-related adverse events within the QP ExCELs registry are classified by the reporting investigator and are adjudicated by an independent event adjudication committee. A lead related complication is considered to have occurred if a clinical observation happens after successful implantation and is classified with at least one of the following event classifications. Events with an onset date 30 days or less after the implant are acute observations and are listed separately.

Event Classifications

- Failure to capture
- Failure to sense/undersensing
- Oversensing
- Abnormal pacing impedance (based on lead model, but normal range is typically 200 - 2,000 Ohm)
- Insulation breach
- Conductor fracture, confirmed electrically, visually or radiographically
- Extracardiac stimulation
- Cardiac perforation
- Lead dislodgement

7.4 Lead Product Performance Graphs and Data

The clinical data presented on the following page is intended to show the long term clinical performance of leads based on clinical studies. The same analysis methods as described in chapter 6 are applied.

Returned Product Analysis Results

Although the returned product analysis data is not used to generate the survival estimates for the clinical data, it provides valuable insight into the causes of lead malfunction. Following the same approach as for complaint data, a malfunction is reported as described in section 6.3 of this report.

Performance of BIOTRONIK Leads Based on Clinical Study Data

8.1 Performance of Pacing Leads8.2 Performance of ICD Leads8.3 Performance of CRT Leads

8

Performance of BIOTRONIK Leads Based on Clinical Study Data

8.1 Performance of Pacing Leads8.2 Performance of ICD Leads

8.3 Performance of CRT Leads

8.1 Performance of Pacing Leads - Study Data

Siello S / Solia S

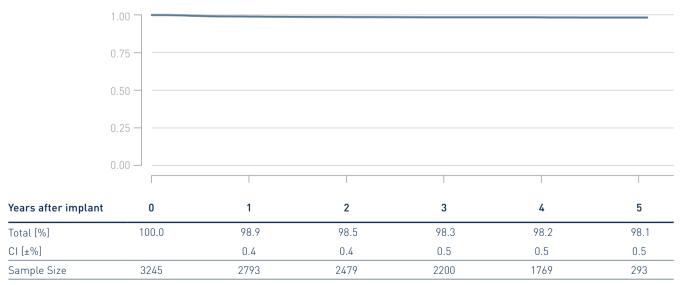
Product Versions Lead Type Polarity	straight, active fixation
Steroid	
U.S. Market Release	
CE Market Release	Jul 2009
Worldwide Distributed Devices	1616000
Registered U.S. Implants	3245

	Quantity	Rate
U.S. Qualifying Complications	54	1.66%
Abnormal Pacing Impedance	4	0.12%
Cardiac Perforation	3	0.09%
Conductor Fracture	2	0.06%
Failure to Capture	23	0.71%
Failure to Sense (undersensing)	11	0.34%
Lead Dislodgement	9	0.28%
Oversensing	1	0.03%
Other	1	0.03%

	Quantity	Rate
U.S. Confirmed Malfunctions	3	0.09%
Conductor Fracture	1	0.03%
Insulation Breach	1	0.03%
Other	1	0.03%
U.S. Acute Lead Observations	26	0.80%
Cardiac Perforation	8	0.25%
Extracardiac Stimulation	2	0.06%
Failure to Capture	6	0.18%
Failure to Sense (undersensing)	5	0.15%
Lead Dislodgement	5	0.15%



Cumulative survival probability



Performance of BIOTRONIK Leads Based on Clinical Study Data

8.1 Performance of Pacing Leads8.2 Performance of ICD Leads8.3 Performance of CRT Leads

8.2 Performance of ICD Leads – Study Data

Linox SD

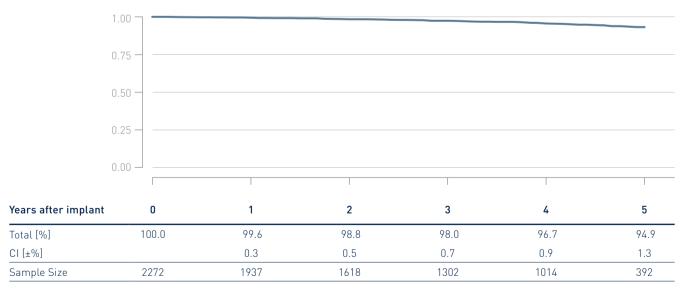
Product Versions	60/16, 65/16, 65/18, 75/18
Lead Type	dual-coil, active fixation
Polarity	bipolar
Steroid	yes
U.S. Market Release	Apr 2006
CE Market Release	Aug 2006
Worldwide Distributed Devices	55100
Registered U.S. Implants	2 272

	Quantity	Rate
U.S. Qualifying Complications	68	2.99%
Abnormal Defibrillation Impedance	4	0.18%
Abnormal Pacing Impedance	10	0.44%
Cardiac Perforation	1	0.04%
Conductor Fracture	10	0.44%
Failure to Capture	7	0.31%
Failure to Sense	3	0.13%
Insulation Breach	13	0.57%
Lead Dislodgement	3	0.13%
Oversensing	17	0.75%

	Quantity	Rate
U.S. Confirmed Malfunctions	24	1.06%
Conductor Fracture	3	0.13%
Insulation Breach	21	0.92%
U.S. Acute Lead Observations		0.35%
Cardiac Perforation	4	0.18%
Conductor Fracture	1	0.04%
Failure to Capture	1	0.04%
Lead Dislodgement	1	0.04%
Other	1	0.04%

• Total survival

Cumulative survival probability



8.2 Performance of ICD Leads – Study Data

Linox^{smart} SD

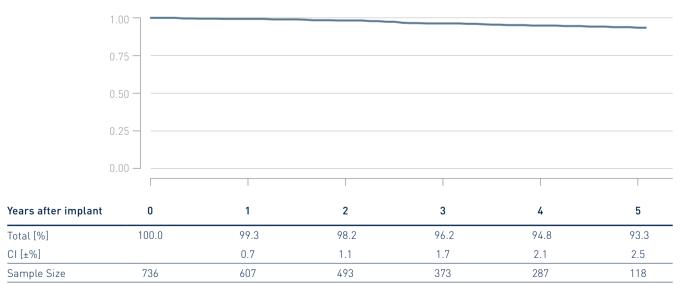
Product Versions	_ 60/16, 65/16, 65/18, 75/18
Lead Type Polarity	_ dual-coil, active fixation _ bipolar
•	1
Steroid U.S. Market Release	_ yes _ Jan 2011
CE Market Release	_ Oct 2009
Worldwide Distributed Devices	_ 55 700
Registered U.S. Implants	_ 736

	Quantity	Rate
U.S. Qualifying Complications	29	3.94%
Abnormal Defibrillation Impedance	2	0.27%
Abnormal Pacing Impedance	2	0.27%
Conductor Fracture	3	0.41%
Failure to Capture	3	0.41%
Insulation Breach	4	0.54%
Lead Dislodgement	6	0.82%
Oversensing		1.22%

	Quantity	Rate
U.S. Confirmed Malfunctions Insulation Breach	7	0.95% 0.95%
U.S. Acute Lead Observations	2	0.27% 0.27%

• Total survival

Cumulative survival probability



Performance of BIOTRONIK Leads Based on Clinical Study Data

8.1 Performance of Pacing Leads8.2 Performance of ICD Leads8.3 Performance of CRT Leads

Corox OTW BP

• Total survival

Product Versions	_ 75, 85
Lead Type	helix fixation
Polarity	_ bipolar
Steroid	_yes
U.S. Market Release	_ May 2008
CE Market Release	_ Dec 2006
Worldwide Distributed Devices	_ 28 700
Leads registered in study	_ 696

	Quantity	Rate
U.S. Qualifying Complications	35	5.03%
Abnormal Pacing Impedance	6	0.86%
Conductor Fracture	5	0.72%
Extracardiac Stimulation	3	0.43%
Failure to Capture	5	0.72%
Lead Dislodgement	16	2.30%

Cumulative survival probability

	Quantity	Rate
U.S. Confirmed Malfunctions	6	0.86%
Conductor Fracture	6	0.86%
U.S. Acute Lead Observations	4	0.57%
Extracardiac Stimulation	1	0.14%
Lead Dislodgement	3	0.43%

1.00 0.75 0.25 -0.00 -Years after implant 1 2 3 4 5 0 Total [%] 100.0 98.2 96.9 95.2 94.2 93.0 CI [±%] 1.1 1.4 1.8 2.1 2.3 Sample Size 696 589 489 407 329 135

140

Corox OTW-L BP

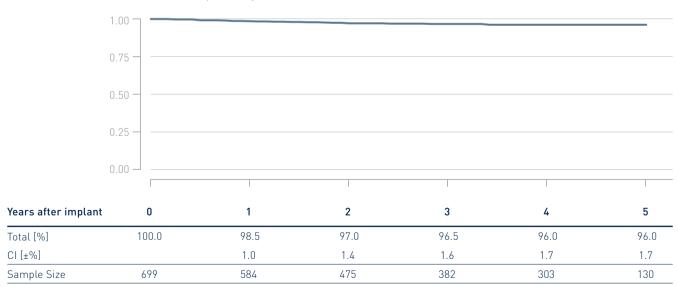
Product Versions	_ 75, 85
Lead Type	_dual-curve fixation
Polarity	_ bipolar
Steroid	_yes
U.S. Market Release	_ Jan 2011
CE Market Release	_ Dec 2009
Worldwide Distributed Devices	_ 32 000
Leads registered in study	_ 699

	Quantity	Rate
U.S. Qualifying Complications	22	3.15%
Extracardiac Stimulation	4	0.57%
Failure to Capture	8	1.14%
Lead Dislodgement	10	1.43%

	Quantity	Rate
U.S. Confirmed Malfunctions	0	0.00%
U.S. Acute Lead Observations	4	0.57%
Extracardiac Stimulation	3	0.43%
Lead Dislodgement	1	0.14%

• Total survival

Cumulative survival probability



Corox OTW-S BP

Product Versions	_ 75, 85
Lead Type	_thread fixation
Polarity	_ bipolar
Steroid	_yes
U.S. Market Release	_ May 2008
CE Market Release	_ Dec 2006
Worldwide Distributed Devices	_ 26 400
Leads registered in study	_ 1 141

	Quantity	Rate
U.S. Qualifying Complications	49	4.29%
Abnormal Pacing Impedance	13	1.14%
Extracardiac Stimulation		0.79%
Failure to Capture	9	0.79%
Lead Dislodgement	18	1.58%

_ 1	0.09%
_ 1	0.09%
_ 5	0.44%
_ 1	0.09%
1	0 09%
- 1	0.0770
	_ 1 _ 1 _ 5 _ 1 _ 1

1.00 0.75 0.25 -0.00 — Years after implant 1 2 3 4 5 0 Total [%] 100.0 98.2 97.3 96.6 95.0 93.5 CI [±%] 0.8 1.0 1.2 1.5 1.9 1141 Sample Size 963 813 648 505 192

• Total survival Cumulative survival probability

Sentus OTW QP S

• Total survival

Product Versions	_ 75, 75/49, 85, 85/49
Lead Type	_ thread fixation
Polarity	_ quadripolar
Steroid	_ yes
U.S. Market Release	_ May 2017
CE Market Release	_ Dec 2014
Worldwide Distributed Devices	_ 21 000
Registered U.S. Implants	_ 436

	Quantity	Rate
U.S. Qualifying Complications	15	3.44%
Conductor Fracture	1	0.23%
Extracardiac Stimulation	1	0.23%
Failure to Capture	3	0.69%
Lead Dislodgement	10	2.29%

Cumulative survival probability

	Quantity	Rate
U.S. Confirmed Malfunctions		0.46%
Conductor Fracture	2	0.46%
U.S. Acute Lead Observations	10	2.29%
Cardiac Perforation	1	0.23%
Failure to Capture	1	0.23%
Lead Dislodgement	8	1.83%

1.00 0.75 -0.25 -0.00 -Years after implant 2 3 0 1 4 Total [%] 100.0 96.7 95.9 95.9 95.9 CI [±%] 1.8 2.2 2.2 2.2 436 299 170 55 0 Sample Size

Sentus OTW QP L

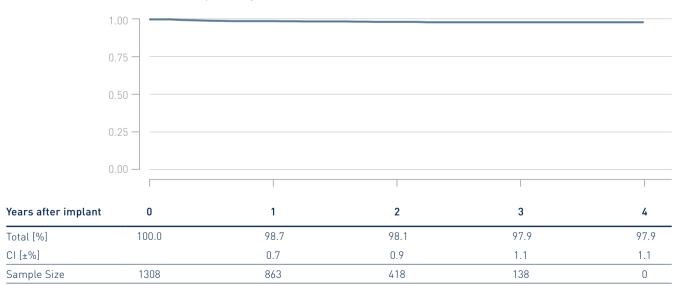
Product Versions	_ 75, 75/49, 85, 85/49
Lead Type	_dual-curve fixation
Polarity	_ quadripolar
Steroid	_ yes
U.S. Market Release	_ May 2017
CE Market Release	_ Dec 2014
Worldwide Distributed Devices	_ 95800
Registered U.S. Implants	_ 1 308

	Quantity	Rate
U.S. Qualifying Complications	21	1.61%
Abnormal Pacing Impedance	3	0.23%
Conductor Fracture	1	0.08%
Extracardiac Stimulation	2	0.15%
Failure to Capture	4	0.31%
Lead Dislodgement	11	0.84%

	Quantity	Rate
U.S. Confirmed Malfunctions	8	0.61%
Conductor Fracture	7	0.54%
	1	0.08%
U.S. Acute Lead Observations	7	0.54%
Extracardiac Stimulation	1	0.08%
Failure to Capture	4	0.31%
Lead Dislodgement	2	0.15%

Total survival

Cumulative survival probability



Methodology for Lead Survival Estimates based on Insurance Claims Data

9.1 Introduction

9.2 Claims Data Methodologies and Data Sets

9.1 Introduction

All leads and lead segments returned to BIOTRONIK are thoroughly analyzed to determine whether or not they meet BIOTRONIK's long term quality standards. Although analysis of returned product is an excellent method for gaining insight into lead failure mechanisms, this data relies on the return of explanted leads. For the majority of complications the lead is not received for analysis as challenging clinical environments may not allow for the return, e.g. the extraction of an implanted lead may not be possible.

BIOTRONIK includes all reported chronic complications in the calculation of the survival estimates as described in chapter 5, i.e. reports with returned and without returned products. However, BIOTRONIK can only report events in the survival estimates if those events were reported to BIOTRONIK. In order to eliminate possible biased survival estimates due to underreporting, active surveillance methodologies utilizing extant real-world data sources have been developed in collaboration with FDA and other key stakeholders under the Device Pilot Project EP PASSION, established under Section 708 of the FDA Reauthorization Act of 2017 (FDARA). Identical methodology is being applied to the analysis provided in this PPR.

In the following chapter BIOTRONIK shows – in addition to the survival data based on returned product analysis and chronic complication information from customer reported complaints as well as clinical studies – the lead performance data from active surveillance of real-world data sources. These analyses are designed to record clinical observations representative of the total real-world clinical experience.

9.2 Claims Data Methodologies and Data Sets

To perform real-world analysis, insurance claims data obtained via the Centers for Medicare and Medicaid Services (CMS), as well as data from BIOTRONIK's device tracking database, are utilized to identify leadrelated complications. As the source of the claims data is CMS, the U.S. federal health insurance program, the analysis is limited to the sub-set of patients with a device implant that receive benefits through CMS with coverage that was active at the time of device implant. Diagnosis and procedure codes from CMS insurance claims that correspond to lead-related complications are identified and each event is evaluated to identify the related system component(s). This approach combines the advantages from passive complaint reporting (large device populations) with the advantage from clinical studies (reliable, consistent reporting) to ensure statistically sound device performance figures. However, due to the nature of insurance claims, fewer details of the device complications are known

As part of the Device Pilot Project EP PASSION, the real-world methodology developed in collaboration with the stakeholders was validated in a proof of concept analysis. Results demonstrated high agreement of 99.7% between the real-world data outcomes and results from a prospective study . Based on the proof of concept results, BIOTRONIK received FDA approval to utilize this methodology to fulfil post-approval reporting requirements for both low and high voltage leads.

For PPR analysis, the complication criteria are aligned with the AdvaMed "Industry Guidance for Uniform Reporting of Clinical Performance of Cardiac Rhythm Management Pulse Generators and Leads". Specifically, the codes identify lead-related complications that would result in a cardiac lead being removed or replaced, or result in a new lead being implanted as a result of the leadrelated complication. Identified complications are limited to events with an onset date of more than 30 days after implant. Acute complications, those with an onset date of 30 days or less after implant, are excluded from analysis.

To protect patient confidentiality, CMS restricts direct reporting of data cell values of 1 to 10. Therefore, lead models with 10 or less identified complications will not be reported within the PPR. In addition, lead models that are no longer distributed with less than 500 leads available for analysis are excluded.

Lead Tracking and Reporting

Patients implanted with a BIOTRONIK lead after U.S. market approval as identified in BIOTRONIK's U.S. device registration system are directly linked with CMS beneficiary information and claims data. The claims datasets will be updated for each Product Performance Report. Lead-related complications identified from CMS claims data and identified to be related to the BIOTRONIK leads are reported. The overall lead-related complication rate by lead model is provided.

In order to provide statistically sound data, sample sizes of less than 100 subjects are not reported.

1 Hicks J, Keith M, Moll P, Simeles J, Offer E, Diani C, Rock A, and Mitchell K. Novel Method to Identify Lead Complications in Pacemaker Systems from Real-World Data: Proof of Concept for the Siello S Pacing Lead. Heart Rhythm. 2019; 16(5), Supplement, S-P003-089.

Performance of BIOTRONIK Leads Based on Insurance Claims Data

10.1 Pacing Leads Performance – Insurance Claims Data
10.2 ICD Leads Performance – Insurance Claims Data

Performance of BIOTRONIK Leads Based on Insurance Claims Data

10.1 Pacing Leads Performance – Insurance Claims Data

10.2 ICD Leads Performance – Insurance Claims Data

10.1 Pacing Leads Performance – Insurance Claims Data

Siello S / Solia S

Product Versions	_ 45, 53, 60
Lead Type	_straight, active fixation
Polarity	_bipolar
Steroid	_ yes
U.S. Market Release	_ Jul 2013
CE Market Release	_ Feb 2009
Worldwide Distributed Devices	_ 1942000
Registered U.S. Implants	_ 73 994

• Total survival Cumulative survival probability 1.00 0.75 0.25 -Years after implant 0 1 2 3 4 5 7 6 Total [%] 99.2 100.0 99.6 99.4 99.0 98.8 98.6 98.3 CI [±%] 0.0 0.1 0.2 0.4 0.6 0.9 1.9 0.1 Sample Size 73994 43970 27644 12378 2055 1134 615 117

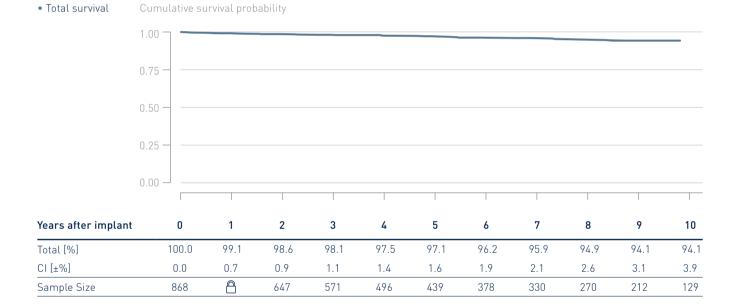
Performance of BIOTRONIK Leads Based on Insurance Claims Data

 10.1 Pacing Leads Performance – Insurance Claims Data
 10.2 ICD Leads Performance –

INSURANCE Claims Data

Linox S

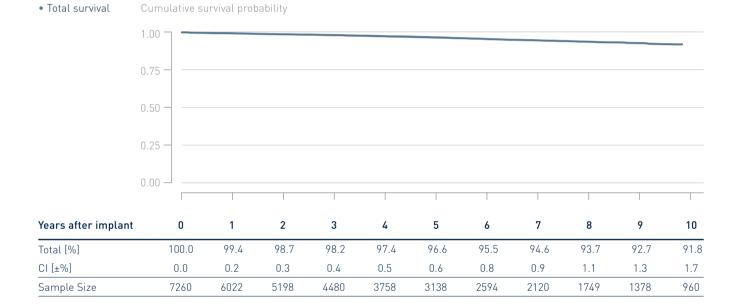
Product Versions	_ 65, 75
Lead Type	_single-coil, active fixation
Polarity	_ bipolar
Steroid	_yes
U.S. Market Release	_ Feb 2007
CE Market Release	_ Mar 2007
Worldwide Distributed Devices	_ 32 700
Registered U.S. Implants	_ 868



cell size suppression criteria defined by CMS do not allow to report these data, see section 9.2

Linox SD

Product Versions	_ 60/16, 65/16, 65/18, 75/18
Lead Type	_ dual-coil, active fixation
Polarity	_bipolar
Steroid	_yes
U.S. Market Release	_ Apr 2006
CE Market Release	_ Aug 2006
Worldwide Distributed Devices	_ 55 100
Registered U.S. Implants	_ 7 260

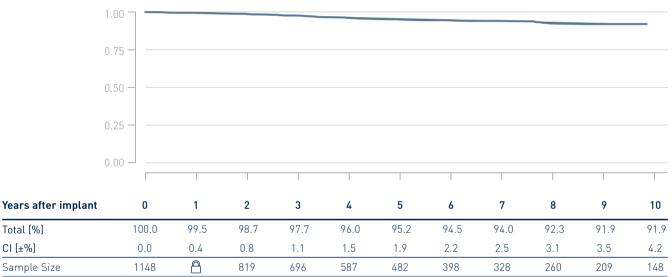


Linox TD

Product Versions	_ 65/16, 75/16, 100/16, 100/18
Lead Type	_ dual-coil, active fixation
Polarity	_ bipolar
Steroid	_ yes
U.S. Market Release	_ Oct 2006
CE Market Release	_ Oct 2006
Worldwide Distributed Devices	_ 14 600
Registered U.S. Implants	_ 1 1 4 8

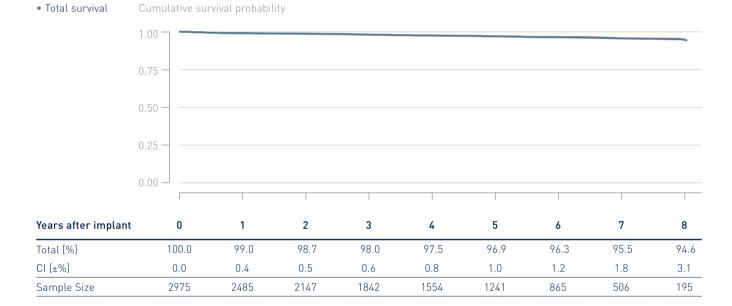
Total survival

Cumulative survival probability



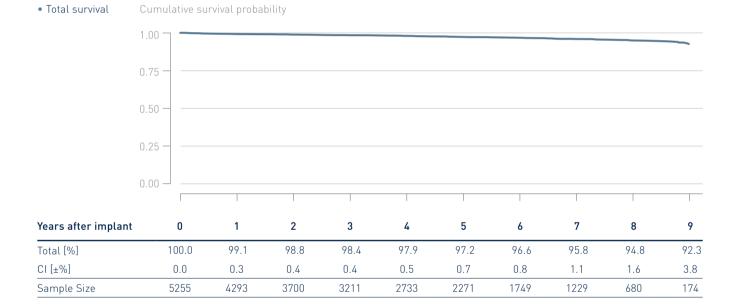
Linox Smart S

Product Versions	_ 60, 65, 75
Lead Type	_single-coil, active fixation
Polarity	_ bipolar
Steroid	_yes
U.S. Market Release	_ Aug 2011
CE Market Release	_ Dec 2010
Worldwide Distributed Devices	_ 46 700
Registered U.S. Implants	_ 2 975



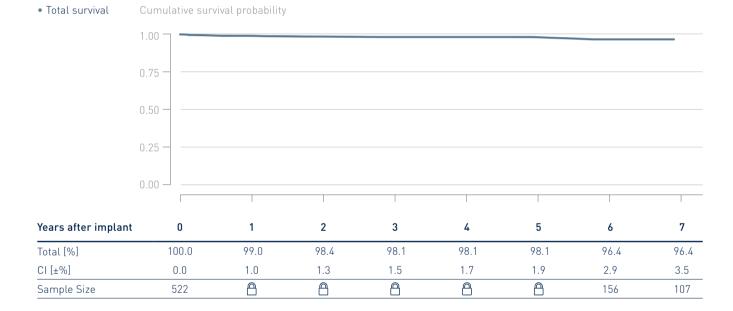
Linox Smart SD

Product Versions	_ 60/16, 65/16, 65/18, 75/18
Lead Type	_ dual-coil, active fixation
Polarity	_ bipolar
Steroid	_yes
U.S. Market Release	_ Jan 2011
CE Market Release	_ Oct 2009
Worldwide Distributed Devices	_ 55 700
Registered U.S. Implants	_ 5 2 5 5



Linox Smart TD

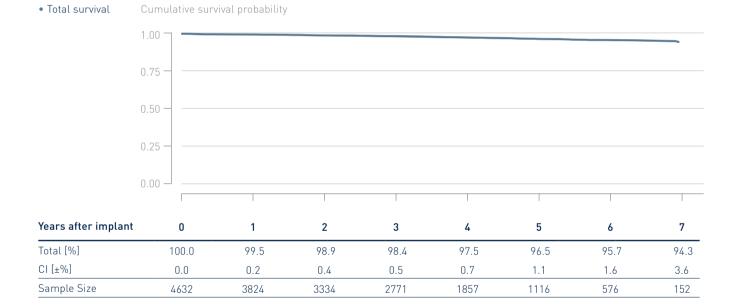
Product Versions	_ 65/16, 65/18, 75/18
Lead Type	_dual-coil, passive fixation
Polarity	_ bipolar
Steroid	_yes
U.S. Market Release	_ Jan 2011
CE Market Release	_ Oct 2009
Worldwide Distributed Devices	_ 7 720
Registered U.S. Implants	_ 522



Cell size suppression criteria defined by CMS do not allow to report these data, see section 9.2

Linox Smart S DX

Product Versions	_ 65/15, 65/17
Lead Type	_ single-coil, active fixation
Polarity	_ bipolar
Steroid	_yes
U.S. Market Release	_ Feb 2013
CE Market Release	_ Mar 2010
Worldwide Distributed Devices	_ 36300
Registered U.S. Implants	_ 4 632

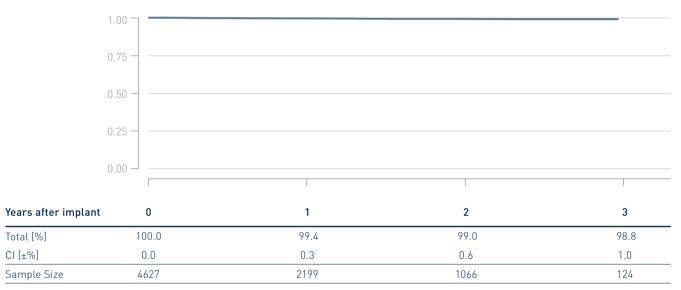


Plexa S

Product Versions	_ 60, 65, 75
Lead Type	_ single-coil, active fixation
Polarity	_bipolar
Steroid	_yes
U.S. Market Release	_ Mar 2017
CE Market Release	_ Feb 2017
Worldwide Distributed Devices	_ 95 600
Registered U.S. Implants	_ 4 627



Cumulative survival probability

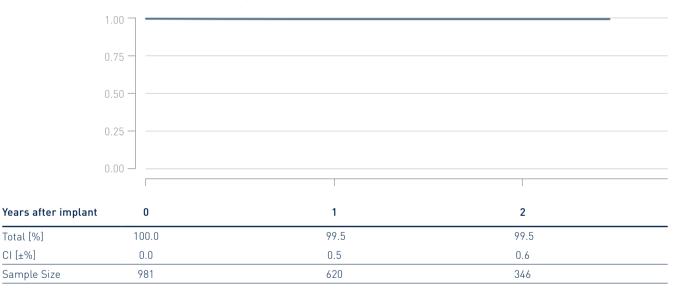


Plexa SD

Product Versions	_ 60/16, 65/18, 75/18
Lead Type	_dual-coil, active fixation
Polarity	_ bipolar
Steroid	_yes
U.S. Market Release	_ Mar 2017
CE Market Release	_ Feb 2017
Worldwide Distributed Devices	_ 11000
Registered U.S. Implants	_ 981

• Total survival

Cumulative survival probability

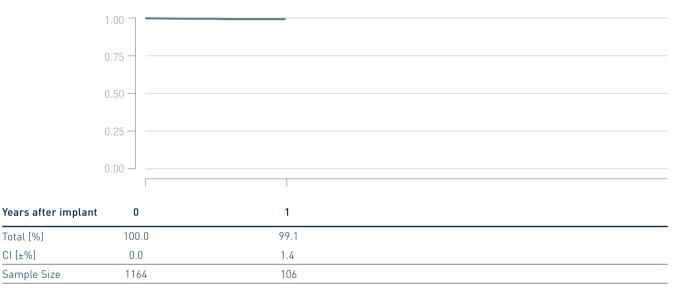


Plexa S DX

Product Versions	_ 65/15, 65/17
Lead Type	_ single-coil, active fixation
Polarity	_ bipolar
Steroid	_yes
U.S. Market Release	_ Mar 2019
CE Market Release	_ Dec 2018
Worldwide Distributed Devices	_ 14 100
Registered U.S. Implants	_ 1164

• Total survival

Cumulative survival probability

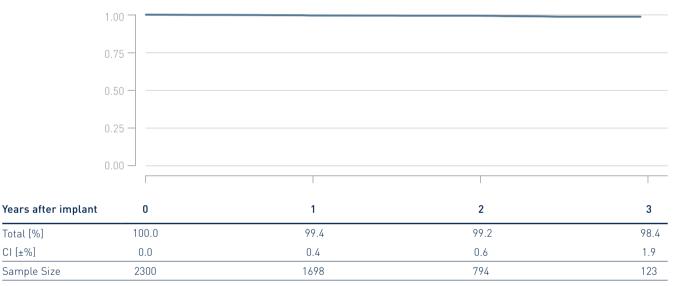


Plexa S DX DF1

Product Versions	_ 65/15, 65/17
Lead Type	_single-coil, active fixation
Polarity	_ bipolar
Steroid	_yes
U.S. Market Release	_ Mar 2017
CE Market Release	_ Feb 2017
Worldwide Distributed Devices	_ 20 600
Registered U.S. Implants	_ 2300



Cumulative survival probability

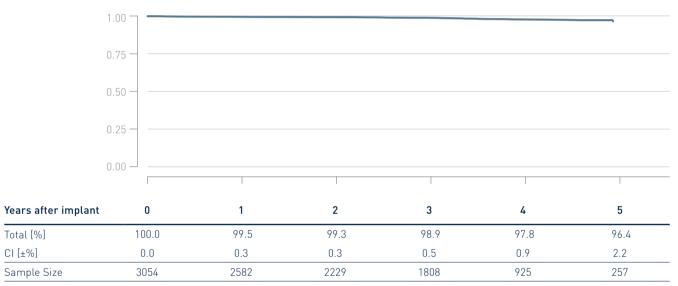


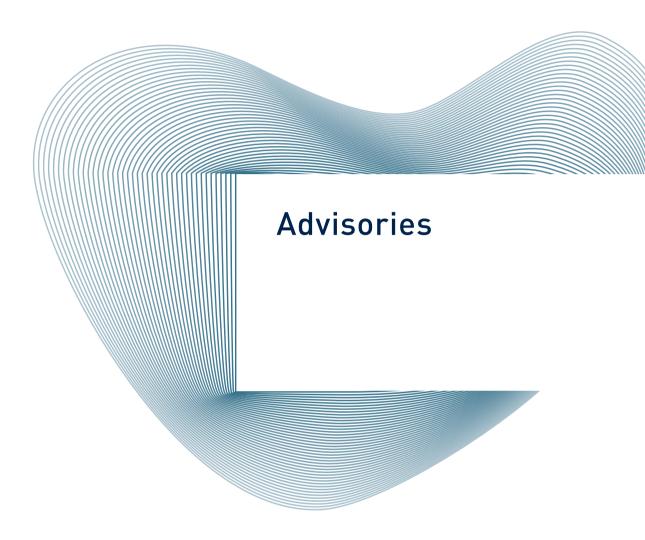
Protego S

Product Versions	_ 60, 65, 75
Lead Type	_single-coil, active fixation
Polarity	_bipolar
Steroid	_yes
U.S. Market Release	_ Jul 2014
CE Market Release	_ Feb 2014
Worldwide Distributed Devices	_ 54 900
Registered U.S. Implants	_ 3 0 5 4



Cumulative survival probability





11. Advisories

BIO-LQC Potential premature battery depletion in a subset of ICD and CRT-D devices

162,000 devices worldwide, 38,000 in the United States

Status Update

FDA has classified this advisory as a class II recall.

The updated software version 2100 or later is available. It has been released on April 30th, 2021 in the United States. The corresponding CE-Version has been released on March 31st, 2021.

Since the start of the FSCA the distribution of all devices with an affected battery has been immediately stopped. All data of returned and analyzed devices have been carefully assessed to provide a comprehensive update to the FSN.

As of March 2022

- The cumulative failure rate is 0.57%
- No failures for devices with less than 2 years of implant duration have been reported.
- The failure probability after 2 years remains constant at 0.0012%. The failure probability after 5 years of implant is 0.5%
- One event has been reported with patient death related to early battery depletion after the patient was lost to follow-up for two years. All other events are associated with an additional replacement surgery only.
- Availability of therapy has been assessed for all returned devices to update risk estimation for loss of therapy depending on the service time:

Risk for loss of pacing therapy

Service Time	Risk per month	
	for loss of pacing	
0 – 24 months	< 0.00001%	
24 – 48 months	0.0012%	
48 – 72 months	0.0090%	

Risk for loss of high-voltage therapy

Service Time	Risk per month for loss of shock therapy
0 – 24 months	< 0.00001%
24 – 48 months	0.0020%
48 – 72 months	0.0146%

Original communication: March 2021

BIOTRONIK has become aware of an increased likelihood of premature battery depletion in a subset of devices of the following models of Implantable Cardioverter Defibrillators ("ICDs") and Cardiac Resynchronization Therapy Defibrillators ("CRT-Ds").

Idova, Iforia, Ilesto Inventra, Iperia, Itrevia Ilivia, Inlexa, Intica Ilivia Neo, Intica Neo ICDs and CRT-Ds

These devices have been distributed since 2013. Please note that not all devices of the above models are affected, nor are other ICD or CRT-D families.

We have received no reports of serious injury or death associated with this issue. To date, all reports describe devices that fell short of expected longevity, resulting in an earlier than expected need for device exchange.

Reason for this Communication

The current observed rate of confirmed premature battery depletion events is 0.1% of all devices susceptible to this issue. Since every case of battery depletion may not be reported to BIOTRONIK, the exact number of devices that have experienced this issue is not entirely known. BIOTRONIK estimates the number of active devices which are potentially susceptible to this issue to be approximately 162,000 worldwide.

Analyses of returned devices has revealed the potential for a certain mode of lithium deposition on the anodes of the batteries, known as lithium plating, to occur.

Lithium plating is a very rare phenomenon that may cause a battery drain at a higher rate than under typical use.

The observed onset for devices experiencing this issue is about 2 years with a failure rate of 0.0012%. The projected failure rate at 5 years after implantation is estimated to be 0.17%

Risk to Health

There is a very low risk that premature battery depletion could result in sudden loss of high-voltage or pacing therapy. Analyses of returned devices indicate that the risk for loss of highvoltage therapy is 0.0069% and the risk for loss of pacing therapy is 0.0015% on a per month basis. Due to the identified issue, the interval between the elective replacement indicator ("ERI") being triggered and the loss of ability to provide therapy may be shorter than expected. Our records show, that for impacted devices, the median interval from ERI to loss of high-voltage therapy was 58 days. The median interval until loss of pacing therapy was 6 months.

Early Battery Failure Detection

By design, BIOTRONIK's programmer and Home Monitoring system are equipped with a battery depletion detector. This feature allows a battery depletion, including any premature depletion, to be detected early and displayed by an ERI during in-office follow-up, or via daily remote

11. Advisories

monitoring using BIOTRONIK Home Monitoring.

Patient Management Recommendations

Following a consultation with our medical advisory board, BIOTRONIK recommends you consider the following management options:

- Devices in stock: Do not implant any potentially affected devices, which include all models identified in this communication. Local BIOTRONIK representatives will replace affected devices in hospital inventory.
- Continue with the standard patient follow-up schedule.
 - During follow-ups: Verify the status of the device and battery during inoffice or Home Monitoring followups. Please note that unresponsive devices or those that are not transmitting data may be experiencing this issue and your BIOTRONIK representative should be informed if you observe any unusual device behavior.
 - Home Monitoring should be utilized whenever possible as it provides timely ERI warnings to reduce the risk of sudden loss of therapy. If you do not yet use Home Monitoring, please consider if this option is appropriate for you and your patients. BIOTRONIK will provide CardioMessenger devices free of charge to monitor implants affected by this advisory.

If you would like to register for Home Monitoring, please contact your local BIOTRONIK representative. Also, visit www. biotronik.com/en-de/products/ home-monitoring for further information about Home Monitoring and how it can help you with remote monitoring of your patients in daily practice.

- If there is an unexpected ERI notification for a device that is subject to this advisory, a timely replacement should be considered based on the patient's underlying conditions:
 - For patients that are not pacemaker dependent, or patients with a primary prevention ICD, device replacement within one week after ERI notification is recommended.
 - For pacemaker dependent patients, replacement of the device is recommended immediately after ERI notification.

In consultation with our medical advisory board, BIOTRONIK does not recommend prophylactic replacement. The risk of complications for ICD exchange^{1, 2, 3} outweighs the risk associated with this issue. We refer to the above patient management recommendations in case an unexpected ERI is observed.

We recognize that individual patients have unique clinical needs. Ultimately, patient care—including the frequency of follow-ups—is determined by the physician's clinical judgement, based on individual patient circumstances.

> 1 McCarthy KJ, Locke AH, Coletti M, Young D, Merchant FM, Kramer DB. Outcomes Following Implantable Cardioverter-Defibrillator Generator Replacement in Adults: A Systematic Review. Heart Rhythm. 2020. [median: 4.57% for complications including reoperation]

2 Biffi M, Ammendola E, Menardi E, et al. Reallife outcome of implantable cardioverterdefibrillator and cardiac resynchronization defibrillator replacement/upgrade in a contemporary population: Observations from the multicentre DECODE registry. Europace. 2019;21(10):1527-1536. [4.4% patients needed at least one surgical action to treat an adverse event following device replacement]

3 Lewis KB, Stacey D, Carroll SL, Boland L, Sikora L, Birnie D. Estimating the Risks and Benefits of Implantable Cardioverter Defibrillator Generator Replacement: A Systematic Review. Pacing and clinical electrophysiology : PACE. 2016;39(7). [median rates: 4.0% major complications, 3.5% minor complications]

X-Ray Identifiers for Pacemakers and ICDs

Pacemaker/ICD Product Versions	X-Ray ID
Acticor 7 VR-T DX, HF-T	•
Cylos DR, DR-T, VR	RZ
Cylos 990 DR, DR-T, VR	FV
Edora 8 DR, DR-T, SR, SR-T, HF-T	•
Entovis DR, DR-T, SR, SR-T	SF
Eluna 8 DR, DR-T	SF
Estella SR, SR-T, DR, DR-T	SF
Etrinsa 8 SR-T, DR-T, HF-T	SF
Evia DR, DR–T, SR, SR-T, HF, HF-T	SF
Iforia 7 VR-T DX, DR-T	NT
Ilesto 7 DR-T, HF-T, VR-T DX, VR-T, DR-T DF4	NT
Ilivia 7 VR-T, DR-T, DR-T DF4, VR-T DX, VR-T DF4, HF-T DF4	NK
Intica 7 VR-T DX, HF-T	NK
Inventra 7 VR-T DX, HF-T DF4	AH
Iperia 7 VR-T, DR-T, VR-T DX, VR-T DF4, HF-T DF4	NH
Itrevia 7 VR-T, DR-T, VR-T DX, DR-T DF4, HF-T, HF-T DF4	NH
Lumax 340 DR-T, HF-T, VR-T	HR
Lumax 540 DR-T, HF-T, VR-T	SH
Lumax 740 DR-T, HF-T, VR-T, VR-T DX	RH
Philos II DR, D, S, SLR, SR	ET
Philos II DR-T	KP
Rivacor 7 DR-T, HF-T, VR-T DF4	•
Talos DR, D, SLR, SR, S	PV

Contacting BIOTRONIK

Regarding this Report

BIOTRONIK invites your suggestions and questions related to this Product Performance Report. Please send your comments to:

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Address

BIOTRONIK SE & Co. KG Attn: Quality Patient Safety Woermannkehre 1 12359 Berlin, Germany

Regarding Products

BIOTRONIK invites customers to call the following locations with suggestions, comments or specific questions related to BIOTRONIK products:

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Address

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